

## Non-Archimedean weighted spaces of continuous functions

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*RIASSUNTO: Si studiano le proprietà di certi spazi non-Archimedei di funzioni continue. In particolare si esamina la completezza di questi spazi e si stabiliscono alcuni teoremi del tipo di quello di Arzelà-Ascoli*

*ABSTRACT: Some properties of non-Archimedean weighted spaces of continuous functions are investigated. Completeness of these spaces is examined and Arzelà-Ascoli type theorems are given.*

### – Introduction

Weighted spaces of continuous functions were introduced in the complex scalar case by L. NACHBIN in [23] and in the vector case by J. PROLLA in [25]. Several other authors have continued the investigation of such spaces. The papers [1], [2]-[13], [17], [18], [25] and many others deal with problems referring to such spaces. JOSÈ PAULO CARNEIRO introduced in [14] the  $p$ -adic weighted spaces (see also [15]). Some  $p$ -adic Ascoli type theorems concerning spaces of continuous functions were given in [16], [22], and [24].

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In this paper, we will study some of the properties of non-Archimedean Nachbin spaces. Among other things, we will investigate the completeness of such spaces and we will obtain some Arzelá-Ascoli type theorems. In subsequent papers we will continue with the investigation of such spaces.

## 1 – Preliminaries

Throughout this paper,  $\mathbf{K}$  will stand for a complete non-Archimedean valued field whose valuation is non-trivial. By a seminorm on a vector space  $E$  over  $\mathbf{K}$  we will mean a non-Archimedean seminorm. Let  $E$  be a locally convex space over  $\mathbf{K}$ . The collection of all the continuous seminorms on  $E$  will be denoted by  $cs(E)$ . When the valuation of  $\mathbf{K}$  is discrete, we will consider only seminorms  $p$  such that  $p(E) \subseteq \{|\lambda|, \lambda \in \mathbf{K}\}$ . Note that these seminorms generate the topology of  $E$ . For a subset  $S$  of  $E$ , we will denote by  $co(S)$  the absolutely convex hull of  $S$ . In case of a finite set  $S = \{x_1, \dots, x_n\}$ , we have

$$co(S) = \left\{ \sum_{\kappa=1}^n \lambda_{\kappa} x_{\kappa}, \lambda_{\kappa} \in \mathbf{K}, |\lambda_{\kappa}| \leq 1 \right\}.$$

Recall that a subset  $A$  of  $E$  is called compactoid if, for each neighbourhood  $W$  of zero in  $E$ , there exists a finite subset  $S$  of  $E$  such that

$$A \subseteq co(S) + W.$$

The topological dual space of  $E$  will be denoted by  $E'$ . By  $\sigma(E, E')$  and  $\sigma(E', E)$  we will denote the weak topology of  $E$  and  $E'$ , respectively.

The polar and the bipolar set of a subset  $B$  of  $E$  will be denoted by  $B^0$  and  $B^{00}$ , respectively. A seminorm  $p$  on  $E$  is called polar if  $p = \sup\{|f|, f \in E^*, |f| \leq p\}$ . The space  $E$  is called a polar space if its topology is generated by a family of polar seminorms.

If  $E$  and  $F$  are locally convex spaces over  $\mathbf{K}$ , then  $E \otimes_{\pi} F$  denotes the projective tensor product of these spaces. Also by  $p \otimes q$  we will denote the tensor product of the seminorm  $p$  and  $q$ . For all unexplained terms concerning non-Archimedean spaces, we will refer to [29].

## 2 – The weighted spaces $CV(T, E)$ and $CV_0(T, E)$

Let  $T$  be a Hausdorff topological space and let  $E$  be a non-Archimedean locally convex space. The space of all continuous  $E$ -valued functions on  $T$  will be denoted by  $C(T, E)$ . In case  $E$  is the scalar field  $\mathbf{K}$ , we will write  $C(T)$  instead of  $C(T, \mathbf{K})$ . If  $\tau$  is the topology of  $T$  and if  $\tau_0$  is the finest zero-dimensional topology on  $T$  which is coarser than  $\tau$ , then an  $E$ -valued function on  $T$  is  $\tau$ -continuous iff it is  $\tau_0$ -continuous. Since we are only studying spaces of continuous  $E$ -valued functions on  $T$  there is no much loss of generality if we assume that  $T$  is zero-dimensional.

A Nachbin family on  $T$  is a family  $V$  of non-negative upper semicontinuous functions on  $T$  such that: a) For every  $\nu_1$  and  $\nu_2$  in  $V$  and any  $\alpha \geq 0$  there exists  $\nu \in V$  such that  $\alpha \nu_1, \alpha \nu_2 \leq \nu$  (pointwise on  $T$ ).  $\beta$ ) For every  $t \in T$  there exists  $\nu \in V$  with  $\nu(t) > 0$ . Let  $p \in cs(E)$  and  $\nu \in V$ . For every  $E$ -valued function  $x$  on  $T$ , we define

$$q_{\nu,p}(x) = \|x\|_{\nu,p} = \sup\{\nu(t)p(x(t)), \quad t \in T\}.$$

In case  $x$  is a  $\mathbf{K}$ -valued function on  $T$ , we define

$$q_{\nu}(x) = \|x\|_{\nu} = \sup\{\nu(t)|x(t)|, \quad t \in T\}.$$

The weighted space  $CV(T, E)$  is defined to be the space of all  $x \in C(T, E)$  for which  $q_{\nu,p}(x) < \infty$  for all  $\nu \in V$  and all  $p \in cs(E)$ . Note that each  $q_{\nu,p}$  is a non-Archimedean seminorm on  $CV(T, E)$ . On  $CV(T, E)$  we will consider the locally convex topology defined by the family of seminorms  $\{q_{\nu,p}, \nu \in V, p \in cs(E)\}$ . We will denote by  $CV_0(T, E)$  the subspace of  $CV(T, E)$  consisting of all  $x \in C(T, E)$  for which the function  $t \rightarrow \nu(t)p(x(t))$ ,  $t \in T$ , vanishes at infinity, for all  $\nu \in V$  and all  $p \in cs(E)$ . So  $x \in CV_0(T, E)$  iff, for any  $p \in cs(E)$ , any  $\nu \in V$  and any  $\varepsilon > 0$  there exists a compact subset  $Y$  of  $T$  such that  $\nu(t)p(x(t)) < \varepsilon$ , for  $t \notin Y$ . If  $E = \mathbf{K}$ , we will write  $CV(T)$  and  $CV_0(T)$  instead of  $CV(T, \mathbf{K})$  and  $CV_0(T, \mathbf{K})$ , respectively.

### EXAMPLES

1) Taking as  $V$  the family of all positive multiples of the  $\mathbf{R}$ -characteristic functions of the compact subsets of  $T$ , we get that both  $CV_0(T, E)$

and  $CV(T, E)$  coincide with the space  $C(T, E)$  with the topology of uniform convergence on the compact subsets of  $T$ .

2) If  $V$  is the family of all positive multiples of the  $\mathbf{R}$ -characteristic functions of the finite subsets of  $T$ , then the corresponding spaces  $CV(T, E)$  and  $CV_0(T, E)$  coincide with the space  $C(T, E)$  with the topology of simple convergence.

3) Let  $C_b(T, E)$  denote the space of all bounded continuous  $E$ -valued functions on  $T$  and let  $C_0(T, E)$  be the space of all continuous  $E$ -valued functions on  $T$  which vanish at infinity. On both of these spaces we consider the topology of uniform convergence. If  $V$  is the of all positive constant functions on  $T$ , then  $CV(T, E)$  and  $CV_0(T, E)$  coincide with  $C_b(T, E)$  and  $C_0(T, E)$ , respectively.

4) Let  $T$  be locally compact and let

$$V = \{|\varphi|, \varphi \in C_0(T, \mathbf{K})\}, \quad \text{where } |\varphi|(t) = |\varphi(t)|, \quad \forall t \in T.$$

Since, for  $\varphi_1, \varphi_2 \in C_0(T, \mathbf{K})$  there exists  $\varphi \in C_0(T, \mathbf{K})$  with  $|\varphi| = \max\{|\varphi_1|, |\varphi_2|\}$  (by [20, Lemma 3.1]) it is easy to see that  $V$  is a Nachbin family on  $T$ . For this Nachbin family we have that

$$(*) \quad CV(T, E) = CV_0(T, E) = C_b(T, E) \quad (\text{algebraically}).$$

Indeed it is clear that every  $f \in C_b(T, E)$  belongs to  $CV_0(T, E)$ . On the other hand, suppose that some  $f \in CV(T, E)$  is not bounded. Hence there exists  $p \in cs(E)$  with  $\sup_{t \in T} p(f(t)) = \infty$ . Let  $\lambda \in \mathbf{K}$ ,  $|\lambda| > 1$ , and choose a sequence  $(t_n)$  of distinct elements of  $T$  such that  $p(f(t_n)) > |\lambda|^{2n}$ . Let  $\varphi: T \rightarrow \mathbf{K}$ ,  $\varphi(t_n) = \lambda^{-n}$  and  $\varphi(t) = 0$  if  $t \neq t_n$ ,  $n = 1, 2, \dots$ . As in the proof of 2.5 in [19], there exists  $\omega \in C_0(T, \mathbf{K})$  with  $|\varphi| \leq |\omega|$ . Since  $\sup_n |\omega(t_n)| p(f(t_n)) \geq \sup_n |\lambda|^{2n} = \infty$ , we have a contradiction. This contradiction proves (\*). Also the topology of  $CV(T, E) = CV_0(T, E)$  is the topology  $\beta$  introduced by PROLLA in [26]. By [19, Proposition 2.5],  $\beta$  coincides with the strict topology  $\beta_0$  introduced by the first author in [19]. Thus both  $CV(T, E)$  and  $CV_0(T, E)$  coincide with the space  $C_b(T, E)$  equipped with the strict topology.

We have the following result that can be easily established

LEMMA 2.1.  $\alpha)$   $CV_0(T, E)$  is a closed subspace of  $CV(T, E)$ .  
 $\beta)$  If  $E$  is Hausdorff, then  $CV(T, E)$  is a Hausdorff space.

LEMMA 2.2. If  $E$  is a polar space, then  $CV(T, E)$  and  $CV_0(T, E)$  are polar spaces.

PROOF. Since  $CV_0(T, E)$  is a subspace of  $CV(T, E)$ , we only need to prove our result for  $CV(T, E)$ . Let  $p$  a polar continuous seminorm on  $E$  and  $v \in V$ . Let  $\lambda \in \mathbf{K}$ , with  $|\lambda| > 1$ , and choose, for each  $t \in T$ ,  $\lambda_t \in \mathbf{K}$  such that  $|\lambda_t| \leq v(t) \leq |\lambda \lambda_t|$ . Let  $\varphi: T \rightarrow \mathbf{K}$ ,  $\varphi(t) = \lambda_t$ , and set  $q = q_{|\varphi|, p}$ . Then

$$q \leq q_{\nu, p} \leq |\lambda|q.$$

We will finish the proof by showing that  $q$  is a polar seminorm on  $CV(T, E)$ . So let  $x \in CV(T, E)$  with  $q(x) > \theta > 0$ . There exists  $t \in T$  with  $|\varphi(t)|p(x(t)) > \theta$ . Since  $p$  is polar, there exists  $\omega \in E'$ ,  $|\omega| \leq p$ ,  $|\omega(x(t))| > \theta/|\varphi(t)|$ . Now the mapping

$$f : CV(T, E) \rightarrow \mathbf{K}, \quad f(y) = \varphi(t)\omega(y(t)),$$

is a linear form on  $CV(T, E)$  with  $|f| \leq p$  and  $|f(x)| > \theta$ . □

PROPOSITION 2.3. The mapping  $\omega: CV(T) \otimes_{\pi} E \rightarrow CV(T, E)$

$$\sum_{\kappa=1}^n x_{\kappa} \otimes u_{\kappa} \rightarrow \sum_{\kappa=1}^n x_{\kappa} u_{\kappa}$$

is a well defined linear map which is one-to-one. Moreover  $\omega$  is a topological isomorphism between  $CV(T) \otimes_{\pi} E$  and  $G = \omega(CV(T) \otimes_{\pi} E)$ .

PROOF. It is not hard to show that  $\omega$  is a well defined linear map which is one-to-one. We will show that both  $\omega$  and  $\omega^{-1}$  are continuous. So let  $v \in V$  and  $p \in cs(E)$ . If  $z \in CV(T) \otimes_{\pi} E$ , then for each representation  $z = \sum_{\kappa=1}^m x_{\kappa} \otimes u_{\kappa}$  of  $z$ , we have

$$\begin{aligned} \sup_t \nu(t)p\left(\sum_{\kappa=1}^m x_{\kappa}(t)u_{\kappa}\right) &\leq \sup_t \max_{\kappa}(\nu(t))|x_{\kappa}(t)|p(u_{\kappa}) = \\ &= \max_{\kappa}(\sup_t \nu(t)|x_{\kappa}(t)|)p(u_{\kappa}) = \max_{\kappa} \|x_{\kappa}\|_{\nu} p(u_{\kappa}). \end{aligned}$$

This proves that  $q_{\nu,p}(\omega(z)) \leq (q_{\nu} \otimes p)(z)$  and so  $\omega$  is continuous. On the other hand, given  $0 < s < 1$ , there exists a representation  $z = \sum_{\kappa=1}^n y_{\kappa} \otimes w_{\kappa}$  of  $z$  such that  $w_1, \dots, w_n$  are  $s$ -orthogonal with respect to  $p$ . Now, for each  $t \in T$ , we have

$$p\left(\sum_{\kappa=1}^n y_{\kappa}(t)w_{\kappa}\right) \geq s \max_{\kappa}(|y_{\kappa}(t)|p(w_{\kappa}))$$

and so  $q_{\nu,p}(\omega(z)) \geq s \max_{\kappa} \|y_{\kappa}\|_{\nu} p(w_{\kappa}) \geq s(q_{\nu} \otimes p)(z)$ .

Since  $0 < s < 1$  was arbitrary, we get that  $q_{\nu,p}(\omega(z)) \geq (q_{\nu} \otimes p)(z)$  and so  $q_{\nu,p}(\omega(z)) = (q_{\nu} \otimes p)(z)$ .  $\square$

In view of the preceding proposition, we may identify  $CV(T) \otimes_{\pi} E$  with the topological subspace  $\{\sum_{\kappa=1}^m x_{\kappa}u_{\kappa}, x_{\kappa} \in CV(T), u_{\kappa} \in E, m \in \mathbb{N}\}$  of  $CV(T, E)$ . Analogously,  $CV_0(T) \otimes_{\pi} E$  may be identified with the subspace  $\{\sum_{\kappa=1}^m x_{\kappa}u_{\kappa}, x_{\kappa} \in CV(T), u_{\kappa} \in E, m \in \mathbb{N}\}$  of  $CV_0(T, E)$ .

**PROPOSITION 2.4.** *If, for every  $t \in T$ , there exists  $x_t \in CV_0(T)$  with  $x_t(t) \neq 0$ , then  $CV_0(T) \otimes_{\pi} E$  is a dense subspace of  $CV_0(T, E)$ .*

**PROOF.** We may assume that  $x_t(t) = 1$  for every  $t \in T$ . Let  $h \in CV_0(T, E)$ ,  $v \in V$  and  $p \in cs(E)$ . Given  $\varepsilon > 0$ , there exists a compact subset  $S$  of  $T$  such that  $v(t)p(h(t)) < \varepsilon$  if  $t \notin S$ . Since  $v$  is upper-semicontinuous and  $S$  compact, there exists  $d > 0$  such that  $v(t) < d$  for each  $t \in S$ . The set  $\Omega = \{t \in T, v(t) < d\}$ , is open and contains  $S$ . Since  $T$  is zero-dimensional, there exists a clopen (*i.e.* closed and open) subset  $D$  of  $T$  such that  $S \subseteq D \subseteq \Omega$ . For each  $t \in S$ , set

$$D_t = D \cap \{s, p(h(t) - h(s)) < \varepsilon/d\} \cap \{s, |x_t(s) - 1| < \varepsilon_t\}$$

where  $\varepsilon_t$  is such that  $\varepsilon_t p(h(t)) < \varepsilon/d$ . Using the compactness of  $S$ , it is clear that there are  $t_1, \dots, t_m$  in  $S$  and pairwise disjoint clopen subsets  $W_1, \dots, W_m$  of  $T$  covering  $S$  and such that  $t_{\kappa} \in W_{\kappa} \subseteq D_{t_{\kappa}}$ . Let  $\chi_{W_{\kappa}}$  denote the  $\mathbf{K}$ -characteristic function of  $W_{\kappa}$ ,  $y_{\kappa} = x_{t_{\kappa}} \cdot \chi_{W_{\kappa}}$  and  $f = \sum_{\kappa=1}^m y_{\kappa} h(t_{\kappa})$ . Clearly  $f \in CV(T)_0 \otimes E$ . We will finish the proof by showing that  $q_{\nu,p}(f - h) \leq \varepsilon$ . So let  $t \in T$ . If  $t \notin \bigcup_{\kappa=1}^m W_{\kappa}$ , then  $t \notin S$  and so  $v(t)p(f(t) - h(t)) = v(t)p(h(t)) < \varepsilon$ . Let  $t \in W_{\kappa}$ . Then

$$f(t) = y_{\kappa}(t)h(t_{\kappa}) = x_{t_{\kappa}}(t)h(t_{\kappa})$$

and so  $f(t) - h(t) = h(t_\kappa)(x_{t_\kappa}(t) - 1) + h(t_\kappa) - h(t)$  which implies that

$$\nu(t)p(f(t) - h(t)) \leq \nu(t) \cdot \max_{\kappa} \{ |1 - x_{t_\kappa}(t)|p(h(t_\kappa)), p(h(t_\kappa) - h(t)) \} \leq \varepsilon.$$

Hence the result follows.  $\square$

REMARK. Our hypothesis about  $CV_0(T)$  in the preceding proposition is rather weak and it is satisfied for instance for every Nachbin family  $V$  if  $T$  is locally compact.

PROPOSITION 2.5. *If  $E' \neq \{0\}$ , then  $CV(T)$  (resp.  $CV_0(T)$ ) is topologically isomorphic to a complemented subspace of  $CV(T, E)$  (resp. of  $CV_0(T, E)$ ).*

PROOF. Let  $\varphi \in E'$  and  $u \in E$ , with  $\varphi(u) = 1$ , and let  $q \in cs(E)$ ,  $|\varphi| \leq q$ . For  $f \in CV(T, E)$  we have that  $\varphi \circ f \in CV(T)$ . Define

$$Q : CV(T, E) \rightarrow CV(T, E), \quad Q(f) = (\varphi \circ f)u.$$

For every  $v \in V$  and  $p \in cs(E)$ , we have that  $\|(\varphi \circ f)u\|_{\nu, p} \leq p(u)\|f\|_{\nu, p}$  and so  $Q$  is continuous. Since  $Q^2 = Q$ , it follows that  $Q$  is a continuous projection. We will show that  $G = Q(CV(T, E))$  is topologically isomorphic to  $CV(T)$ . Indeed, we consider the mapping

$$H : CV(T) \rightarrow G, \quad H(x) = Q(xu).$$

Clearly  $H$  is linear and one-to-one. Also  $H$  is onto since for  $h = (\varphi \circ f)u$ , we have  $H(\varphi \circ f) = h$ . Finally,  $H$  is a homeomorphism. In fact, it is clear that  $H$  is continuous. Also  $H^{-1}$  is continuous. Indeed, the map  $p(w) = |\varphi(w)|$  is a continuous seminorm on  $E$  and  $p(u) = 1$ . Now, for  $x \in CV(T)$  and  $v \in V$ , we have  $H(x) = xu$  and  $\nu(t)|x(t)| = \nu(t)p(x(t)u) \leq \|H(x)\|_{\nu, p}$  and so  $\|x\|_{\nu} \leq \|H(x)\|_{\nu, p}$ . This proves that  $H$  is a topological isomorphism. The proof for  $CV_0(T)$  is analogous.

PROPOSITION 2.6. *If  $CV(T)$  (resp.  $CV_0(T)$ ) has a non-zero element, then  $E$  is topologically isomorphic to a complemented subspace of  $CV(T, E)$  (resp. of  $CV_0(T, E)$ ). In particular this happens if  $T$  is locally compact.*

PROOF. Let  $h$  be a non-zero element of  $CV(T)$ . We may assume that  $h(t_0) = 1$  for some  $t_0 \in T$ . Let

$$P : CV(T, E) \rightarrow CV(T, E), \quad P(f) = hf(t_0).$$

Then  $P$  is a continuous linear projection. Let  $G = P(CV(T, E))$  and consider the mapping

$$S : E \rightarrow G, \quad u \rightarrow hu.$$

For  $p \in cs(E)$  and  $v \in V$ , we have

$$\|hu\|_{\nu, p} = \|h\|_{\nu} p(u)$$

and so  $S$  is continuous. Also  $S^{-1}$  is continuous since we can choose  $v \in V$  with  $v(t_0) > 0$  and so  $\|h\|_{\nu} \neq 0$ . This proves that  $G$  is topologically isomorphic to  $E$ . The proof for the case of  $CV_0(T, E)$  is analogous.  $\square$

### 3 – Completeness

As in the classical case (see [28]), for a topological space  $Y$ , we will say that  $T$  is a  $V_Y$ -space, with respect to a Nachbin family  $V$  on  $T$ , if any function  $j$  from  $T$  to  $Y$ , whose restriction to each of the sets  $\{t \in T, \nu(t) \geq 1\}$ ,  $\nu \in V$ , is continuous, is also continuous on  $T$ .

PROPOSITION 3.1.  $\alpha)$  *If  $T$  is a  $V_R$ -space, then  $T$  is also a  $V_K$ -space.*  
 $\beta)$  *Every  $V_K$ -space is also a  $V_F$ -space, for every zero-dimensional topological space  $F$ .*

PROOF.  $\alpha)$  Let  $f : T \rightarrow \mathbf{K}$  be such that its restriction to each of the sets  $G_{\nu} = \{t \in T, \nu(t) \geq 1\}$ ,  $\nu \in V$ , is continuous and let  $(t_{\alpha})$  be  $\alpha$  net in  $T$  which converges to some  $t \in T$ . Let  $D$  be a clopen neighbourhood of  $f(t)$  in  $\mathbf{K}$ . If  $\varphi$  is the  $\mathbf{R}$ -characteristic function of  $D$ , then  $\varphi$  is continuous and so  $h = \varphi \circ f$  is continuous on each  $G_{\nu}$ ,  $\nu \in V$ , which implies that  $h$  is continuous on  $T$ . Hence, there exists  $\alpha_0$  such that  $|h(t) - h(t_{\alpha})| < 1$  if  $\alpha \succeq \alpha_0$ . Since  $h(t) = 1$ , it follows that  $|h(t_{\alpha})| = |h(t_0)| = 1$ , for  $\alpha \succeq \alpha_0$ , and so  $f(t_{\alpha}) \in D$ . This proves that  $f$  is continuous at  $t$ .

$\beta)$  The proof is analogous to that of  $\alpha)$ .  $\square$



**THEOREM 3.2.** *If  $E$  is complete and  $T$  is a  $V_K$ -space then  $CV(T, E)$  and  $CV_0(T, E)$  are complete.*

**PROOF.** Since  $CV_0(T, E)$  is a closed subspace of  $CV(T, E)$ , it suffices to prove the result for  $CV(T, E)$ . So let  $(f_\alpha)$  be a Cauchy net in  $CV(T, E)$ . Since for each  $t \in T$  there exists  $v$  in  $V$  with  $v(t) > 0$ , it follows that the map  $\omega_t : CV(T, E) \rightarrow E, f \rightarrow f(t)$  is continuous and so  $(f_\alpha(t))$  is a Cauchy net in  $E$ .

Define  $f : T \rightarrow E, f(t) = \lim f_\alpha(t)$ .

**CLAIM 1.** The restriction of  $f$  to each  $G_\nu = \{t : v(t) \geq 1\}, v \in V$ , is continuous. Indeed, let  $(t_\delta)$  be net in  $G_\nu$  converging to some  $t_0 \in G_\nu$ . Given  $\varepsilon > 0$ , there exists  $\alpha_0$  such that

$$q_{\nu,p}(f_\alpha - f_\beta) \leq \varepsilon \quad \text{if } \alpha, \beta \succeq \alpha_0.$$

Thus for  $\alpha, \beta \succeq \alpha_0$ , we have  $p(f_\alpha(t) - f_\beta(t)) \leq \varepsilon$  for each  $t \in G_\nu$ . Since  $f_{\alpha_0}$  is continuous at  $t_0$ , there exists  $\delta_0$  such that

$$p(f_{\alpha_0}(t_\delta) - f_{\alpha_0}(t_0)) < \varepsilon \quad \text{if } \delta \succeq \delta_0.$$

Also, for  $t \in G_\nu$ , we have  $p(f_{\alpha_0}(t) - f(t)) \leq \varepsilon$ . Now for  $\delta \succeq \delta_0$ , we have

$$\begin{aligned} & p(f(t_\delta) - f(t_0)) \leq \\ & \leq \max \{p(f(t_\delta) - f_{\alpha_0}(t_\delta)), p(f_{\alpha_0}(t_\delta) - f_{\alpha_0}(t_0)), p(f_{\alpha_0}(t_0) - f(t_0))\} \leq \varepsilon. \quad \square \end{aligned}$$

**CLAIM 2.**  $f \in CV(T, E)$ . Indeed, in view of Claim 1,  $f$  is continuous since  $T$  is a  $V_K$ -space and hence a  $V_E$ -space (by Proposition 3.1). Let  $v \in V, p \in cs(E)$ , and  $\varepsilon > 0$ . There exists  $\alpha_0$  such that  $q_{\nu,p}(f_\alpha - f_\beta) \leq \varepsilon$  if  $\alpha, \beta \succeq \alpha_0$ . Thus, for  $\alpha, \beta \succeq \alpha_0$ , we have  $\nu(t)p(f_\alpha(t) - f_\beta(t)) \leq \varepsilon$  and so  $\nu(t)p(f_{\alpha_0}(t) - f(t)) \leq \varepsilon$  for each  $t \in T$ . Now

$$\sup_{t \in T} \nu(t)p(f(t)) \leq \max\{\varepsilon, q_{\nu,p}(f_{\alpha_0})\}$$

and thus  $f \in CV(T, E)$ . □

**CLAIM 3.**  $f_\alpha \rightarrow f$  in  $CV(T, E)$ . the proof of this is analogous to that of Claim 2. □

Combining Proposition 2.4 with the preceding theorem, we get the following:

**PROPOSITION 3.3.** *Let  $E$  be complete and Hausdorff and  $T$  a  $V_K$ -space. If for every  $t \in T$  there exists  $x_t \in CV_0(T)$  with  $x_t(t) \neq 0$ , then  $CV_0(T, E)$  coincides with the completion  $CV_0(T) \widehat{\otimes}_\pi E$  of  $CV_0(T) \otimes_\pi E$ .*

**4 – Compactoid subsets of  $CV_0(T, E)$**

Given  $v \in V$  and  $\lambda \in \mathbf{K}$ , with  $|\lambda| > 1$ , there exists  $\varphi : T \rightarrow E$  such that  $|\varphi| \leq v \leq |\lambda\varphi|$ . If  $|\mu| > 1$  and if  $\varphi' : T \rightarrow E$  is another function with  $|\varphi'| \leq v \leq |\mu\varphi'|$  then  $|\varphi| \leq |\mu\varphi'|$  and  $|\varphi'| \leq |\lambda\varphi|$ .

Let now  $CV_{co}(T, E)$  be the space of all  $f \in CV(T, E)$  such that, for all  $v \in V$ , there exists  $\varphi \in \mathbf{K}^T$ , with  $|\varphi| \leq v \leq |\lambda\varphi|$ , such that  $(\varphi f)(T)$  is a compactoid subset of  $E$ . If  $\varphi$  is such a function and if  $\varphi' \in \mathbf{K}^T$ , with  $|\varphi'| \leq v \leq |\lambda\varphi'|$ , then  $(\varphi' f)(T)$  is compactoid. It follows now easily that  $CV_{co}(T, E)$  is a vector subspace of  $CV(T, E)$ . We will consider on  $CV_{co}(T, E)$  the topology induced by the topology of  $CV(T, E)$ .

**PROPOSITION 4.1.**  *$CV_0(T, E)$  is a subspace of  $CV_{co}(T, E)$ .*

**PROOF.** Let  $f \in CV_0(T, E)$  and  $v \in V$ . Let  $|\lambda| > 1$  and  $\varphi \in \mathbf{K}^T$  with  $|\varphi| \leq v \leq |\lambda\varphi|$ . For  $p \in cs(E)$  and  $\varepsilon > 0$ , there exists a compact subset  $S$  of  $T$  such that  $v(t)p(f(t)) < \varepsilon$  if  $t \notin S$ . Let  $d > 0$  be such that  $v(t) < d$  for all  $t \in S$ . For each  $t \in S$ , set

$$W_t = \{s \in T, p(f(s) - f(t)) < \varepsilon/d\}.$$

Each  $W_t$  is clopen and  $W_t = W_s$  whenever  $W_t \cap W_s \neq \emptyset$ . By the compactness of  $S$ , there are  $t_1, \dots, t_n$  in  $S$  such that the sets  $W_{t_1}, \dots, W_{t_n}$  are pairwise disjoint and cover  $S$ . If  $|\mu| > d$ , then

$$(\varphi f)(T) \subseteq co(\mu f(t_1), \dots, \mu f(t_n)) + \{u \in E, p(u) < \varepsilon\} = M.$$

Indeed, the set  $D = \{t, v(t) < d\}$  is open and contains  $S$ . Let now  $t \in T$ . If  $t \in W_{t_i} \cap D$ , then

$$\varphi(t)f(t) = \varphi(t)(f(t) - f(t_i)) + \varphi(t)f(t_i)$$

with  $|\varphi(t)|p(f(t) - f(t_i)) < \varepsilon$  and  $|\varphi(t)| < |\mu|$ , which implies that  $\varphi(t)f(t) \in M$ . □

PROPOSITION 4.2. *Let  $F$  be a Hausdorff polar space and let  $G$  denote the dual space of  $F$  equipped with the topology of uniform convergence on the compactoid subsets of  $F$ . If  $F$  is quasi-complete, then  $G' = F$ .*

PROOF. For  $B \subseteq F$ , let  $B^{00}$  be the bipolar of  $B$  with respect to the pair  $\langle F, F' \rangle$ . Let  $\mathcal{B} = \{B^{00}, B \subseteq F, B \text{ compactoid}\}$ . Each element  $B^{00}$  of  $\mathcal{B}$  is compactoid (by [29, Theorem 5.13]). Also  $B^{00}$  is closed and bounded and hence complete. Since  $(B^{00})^0 = B^0$ , it follows that the topology of  $G$  coincides with the topology  $\tau_{\mathcal{B}}$  of uniform convergence on the members of  $\mathcal{B}$ . Since on compactoid subsets of  $F$ , the topology of  $F$  coincides with the weak topology  $\sigma(F, F')$  (by [29, Theorem 5.12]), each  $B^{00}$  is weakly complete. Thus, each member of  $\mathcal{B}$  is edged, weakly bounded, and weakly complete. Taking the space  $M = (F', \sigma(F', F))$ , we have that  $M' = F$ . It is easy to see that  $\mathcal{B}$  is a special covering of  $M' = F$  (see [29, Definition 7.3]), and thus (by [29, Proposition 7.4])

$$G' = (M, \tau_{\mathcal{B}})' = M' = F. \quad \square$$

LEMMA 4.3. *Let  $T$  be a  $V_{\mathbf{K}}$ -space,  $F = CV(T)$  and  $G$  the dual space of  $F$  equipped with the topology of uniform convergence on the compactoid subsets of  $F$ . Then the mapping  $\Delta : T \rightarrow G, t \rightarrow \delta_t, \delta_t(x) = x(t)$ , is continuous.*

PROOF. In view of Theorem 3.2,  $F$  is complete and  $G' = F$  by the preceding proposition. We first observe that  $\Delta$  is continuous as a map from  $T$  to the weak dual  $F'_\sigma$  of  $F$ . To prove our result, it suffices (in view of Proposition 3.1) to show that, for each  $v \in V$ , the restriction of  $\Delta$  to  $Y_v = \{t \in T, \nu(t) \geq 1\}$  is continuous. Since

$$\Delta(Y_v) \subseteq \{x \in F, \|x\|_\nu \leq 1\}^0,$$

$\Delta(Y_v)$  is an equicontinuous subset of  $F'$ . Since  $F$  is a polar space (by Lemma 2.2), its topology coincides with the topology of uniform convergence on the equicontinuous subsets of  $F'$ . By [21, Proposition 3.12], each

equicontinuous subset of  $F'$  is a compactoid subset of  $G$ . Since  $G' = F$ , on  $\Delta(Y_\nu)$  the topology of  $G$  coincides with the weak topology  $\sigma(G, G')$ . Now  $\Delta : Y_\nu \rightarrow \Delta(Y_\nu)$  is continuous since it is continuous if we consider on  $\Delta(Y_\nu)$  the weak topology.  $\square$

LEMMA 4.4. *If  $T$  is a  $V_K$ -space, then every compactoid subset  $D$  of  $CV(T)$  is equicontinuous.*

PROOF. Let  $F = CV(T)$  and let  $G, \Delta$  be as in the preceding Lemma. Since  $D^0$  is a neighbourhood of zero in  $G$ , given  $t \in T$  and  $\mu \neq 0$  in  $\mathbf{K}$ , there exists an open subset  $A$  of  $T$  containing  $t$  such that

$$\Delta(A) \subseteq \mu D^0 + \delta_t.$$

If now  $x \in D$  and  $s \in A$ , then  $\delta_s - \delta_t \in \mu D^0$  and so  $|x(s) - x(t)| \leq |\mu|$ , which proves that  $D$  is equicontinuous at  $t$ .  $\square$

PROPOSITION 4.5. *Let  $T$  be a  $V_K$ -space and  $E$  a polar space. Then, every compactoid subset  $D$  of  $CV_{co}(T, E)$  is equicontinuous.*

PROOF. Let  $f \in CV_{co}(T, E)$ . For each  $x' \in E'$ , the function  $x' \circ f$  is in  $CV(T)$ . Let

$$\tilde{f} : E' \rightarrow CV(T), \quad x' \rightarrow x' \circ f.$$

If we consider on  $E'$  the topology  $\tau_{co}$  of uniform convergence on the compactoid subsets of  $E$ , then  $\tilde{f}$  is continuous. In fact, let  $v \in V$  and choose  $\varphi \in \mathbf{K}^T$  with  $|\varphi| \leq v \leq |\lambda\varphi|$ ,  $|\lambda| > 1$ . Since  $M = (\varphi f)(T)$  is compactoid in  $E$ , its polar  $M^0$  is a neighborhood of zero for  $\tau_{co}$ . Moreover

$$\tilde{f}(\lambda^{-1}M^0) \subseteq \{x \in CV(T), \|x\|_\nu \leq 1\}$$

which proves the continuity of  $\tilde{f}$ . Let now  $p \in cs(E)$  be a polar seminorm and set

$$B_p = \{u \in E, p(u) \leq 1\}.$$

We will show that the set

$$H = \bigcup \{ \tilde{f}(B_p^0), f \in D \}$$

is a compactoid subset of  $CV(T)$ . Indeed, let  $v \in V$ . Since  $D$  is a compactoid, there are  $f_1, \dots, f_n$  in  $CV_{co}(T, E)$  such that

$$D \subseteq co(f_1, \dots, f_n) + W, \quad W = \{f \in CV_{co}(T, E), q_{\nu,p}(f) \leq 1\}.$$

Let  $f = \sum_{i=1}^n \lambda_i f_i + h$  in  $D, h \in W, |\lambda_i| \leq 1$ . Then

$$\tilde{f}(B_p^0) \subseteq \sum_{i=1}^n \lambda_i \tilde{f}_i(B_p^0) + \tilde{h}(B_p^0).$$

Each  $B_p^0$  is a  $\tau_{co}$ -compactoid and so  $\tilde{f}_i(B_p^0)$  is a compactoid subset of  $CV(T)$ . Thus, the absolutely convex hull  $M$  of  $\bigcup_{i=1}^n \tilde{f}_i(B_p^0)$  is compactoid in  $CV(T)$  and so there exists  $x_1, \dots, x_m$  in  $CV(T)$  such that

$$M \subseteq co(x_1, \dots, x_m) + W_1, \quad W_1 = \{x \in CV(T), \|x\|_\nu \leq 1\}.$$

Since  $\tilde{h}(B_p^0) \subseteq W_1$ , for  $h \in W$ , it follows that

$$H \subseteq co(x_1, \dots, x_m) + W_1,$$

which proves that  $H$  is compactoid in  $CV(T)$ . In view of Lemma 4.4,  $H$  is equicontinuous. Thus, given  $t_0 \in T$  and  $\mu \neq 0$  in  $\mathbf{K}$ , there exist a neighbourhood  $A$  of  $t_0$  in  $T$  such that

$$|\tilde{f}(x')(t) - \tilde{f}(x')(t_0)| \leq |\mu| \quad \text{for all } f \in D, x' \in B_p^0, t \in A,$$

and so

$$\mu^{-1}(f(t) - f(t_0)) \in B_p^{00} = B_p$$

if  $t \in A$ . Hence, for all  $t \in A, f \in D$ , we have  $p(f(t) - f(t_0)) \leq |\mu|$ , and so the result follows.  $\square$

The following is an Arzelá-Ascoli type theorem for  $CV_0(T, E)$ .

**THEOREM 4.6.** *Let  $E$  be a polar space,  $T$  a  $V_K$ -space and  $D$  a subset of  $CV_0(T, E)$ . Then,  $D$  is compactoid iff:*

- a)  $D$  is equicontinuous.
- b) For each  $t \in T$ , the set  $D(t) = \{f(t), f \in D\}$  is a compactoid subset of  $E$ .
- c) For any  $p \in cs(E), v \in V$  and  $\varepsilon > 0$ , there exists a compact subset  $S$  of  $T$  such that  $v(t)p(f(t)) < \varepsilon$  for all  $f \in D$  and all  $t \notin S$ .

PROOF. *Necessity:* Assume that  $D$  is compactoid. Part a) follows from the preceding proposition in view of Proposition 4.1. As regards part b), since for each  $t \in D$  there exists  $v \in V$  with  $v(t) > 0$ , it follows that the mapping

$$\varphi_t : CV_0(T, E) \rightarrow E, \quad \varphi_t(f) = f(t),$$

is continuous and so  $D(T) = \varphi_t(D)$  is compactoid. Finally, to show part c), let  $f_1, \dots, f_n$  in  $CV_0(T, E)$  be such that

$$D \subseteq co(f_1, \dots, f_n) + \{f, q_{\nu, p}(f) < \varepsilon\}.$$

Let  $S$  be a compact subset of  $T$  such that  $v(t)p(f_i(t)) < \varepsilon$  for all  $t \in S$ ,  $i = 1, \dots, n$ . Let now  $f \in D$ ,  $f = \sum_{i=1}^n \lambda_i + h$ ,  $|\lambda_i| \leq 1$ ,  $q_{\nu, p}(h) < \varepsilon$ . Then, for  $t \notin S$ , we have  $v(t)p(f(t)) < \varepsilon$ .

*Sufficiency:* Assume that  $D$  satisfies properties a), b), c). Since  $co(D)$  also has properties a), b), c), when  $D$  does, we may assume that  $D$  is absolutely convex. Let

$$d > \sup_{t \in S} \nu(t) \quad \text{and} \quad B = \{t \in T, \nu(t) < d\}.$$

Then  $B$  is open and contains  $S$ . For each  $t \in S$ , there exists a clopen set  $W_t$  with  $t \in W_t \subseteq B$ , such that  $p(f(t) - f(s)) < \varepsilon_1 = \frac{\varepsilon}{d|\lambda|}$  for all  $f \in D$  and all  $s \in W_t$ . It is now clear, using the compactness of  $S$ , that there are  $t_1, \dots, t_m$  in  $S$  and pairwise disjoint clopen sets  $A_1, \dots, A_m$  covering  $S$ ,  $t_\kappa \in A$ , such that  $p(f(t) - f(t_\kappa)) < \varepsilon_1$  for all  $t \in A_\kappa$  and all  $f \in D$ . Since  $D(t_\kappa)$  is an absolutely convex compactoid, there are  $f_{\kappa 1}, \dots, f_{\kappa n_\kappa}$  in  $D$  such that

$$D(t_\kappa) \subseteq \lambda \cdot co(f_{\kappa 1}(t_\kappa), \dots, f_{\kappa n_\kappa}(t_\kappa)) + B_{p, \varepsilon_1},$$

where  $|\lambda| > 1$  and  $B_{p, \varepsilon_1} = \{u \in E, p(u) \leq \varepsilon_1\}$ . If  $\chi_{A_\kappa}$  is the  $\mathbf{K}$ -characteristic function of  $A_\kappa$ , we will show that

$$(*) \quad D \subseteq \lambda \cdot co(H) + \{f, q_{\nu, p}(f) \leq \varepsilon\},$$

where  $H = \{f_{\kappa j} \chi_{A_\kappa}, \kappa = 1, \dots, m, j = 1, \dots, n_\kappa\}$ . In fact, let  $f \in D$ . Then

$$f(t_\kappa) = \lambda \sum_{j=1}^{n_\kappa} \lambda_{\kappa j} f_{\kappa j}(t_\kappa) + w_\kappa, \quad |\lambda_{\kappa j}| \leq 1, \quad p(w_\kappa) \leq \varepsilon_1.$$

Set

$$h = f - \lambda \sum_{\kappa=1}^m \sum_{j=1}^{n_\kappa} \lambda_{\kappa j} f_{\kappa j} \chi_{A_\kappa}$$

and let  $t \in B$ . If  $t \in A_\kappa$ , then

$$\begin{aligned} h(t) &= f(t) - \lambda \sum_{j=1}^{n_\kappa} \lambda_{\kappa j} f_{\kappa j}(t) = \\ &= [f(t) - f(t_\kappa)] + \left[ f(t_\kappa) - \lambda \sum_{j=1}^{n_\kappa} \lambda_{\kappa j} f_{\kappa j}(t_\kappa) \right] + \lambda \sum_{j=1}^{n_\kappa} \lambda_{\kappa j} (f_{\kappa j}(t) - f_{\kappa j}(t_\kappa)) \\ &= [f(t) - f(t_\kappa)] + w_\kappa + \lambda \sum_{j=1}^{n_\kappa} \lambda_{\kappa j} [f_{\kappa j}(t) - f_{\kappa j}(t_\kappa)]. \end{aligned}$$

Since

$$\nu(t)p(f(t) - f(t_\kappa)) < d\varepsilon_1 < \varepsilon, \quad \nu(t)p(w_\kappa) < \varepsilon$$

and

$$|\lambda| \nu(t)p(f_{\kappa j}(t) - f_{\kappa j}(t_\kappa)) < |\lambda| \cdot d \cdot \frac{\varepsilon}{|\lambda| \cdot d} = \varepsilon,$$

it follows that  $\nu(t)p(h(t)) < \varepsilon$ . If  $t \notin \bigcup_{\kappa=1}^m A_\kappa$ , then  $t \notin S$  and so  $\nu(t)p(h(t)) = \nu(t)p(f(t)) < \varepsilon$ . Thus  $q_{\nu,p}(h) \leq \varepsilon$ , which proves (\*).  $\square$

Taking  $T$  the set  $\mathbb{N}$  of positive integers, with the discrete topology, and as  $V$  the family of all constant positive functions on  $\mathbb{N}$ , we get as a corollary the following

PROPOSITION 4.7. *If  $E$  is a polar space, then a subset  $D$  of  $c_0(E)$  is compactoid iff:*

- 1) *For each  $n \in \mathbb{N}$ , the set  $\{x_n, x \in D\}$  is compactoid in  $E$ .*
- 2) *For each  $p \in cs(E)$  and each  $\varepsilon > 0$ , there exists  $n_0$  such that  $p(x_n) < \varepsilon$  for all  $x \in D$  and all  $n \geq n_0$ .*

In case  $E = \mathbf{K}$  in the preceding proposition, we get we known result that a subset  $D$  of  $c_0$  is compact iff there exists  $y \in c_0$  such that

$$D \subseteq \hat{y} = \{x \in c_0, |x_n| \leq |y_n| \text{ for all } n \in \mathbf{N}\}.$$

Finally, taking as  $V$  the family of all positive constant functions on  $T$ , we get the following.

PROPOSITION 4.8. *Let  $E$  be a polar space and let  $C_0(T, E)$  have the topology of uniform convergence. Then a subset  $D$  of  $C_0(T, E)$  is compactoid iff:*

- 1)  $D$  is equicontinuous.
- 2) For each  $t \in T$ , the set  $D(t)$  is compactoid in  $E$ .
- 3)  $D$  vanishes uniformly at infinity, i.e. for each  $\varepsilon > 0$  and each  $p \in cs(E)$  there exists a compact subset  $S$  of  $T$  such that  $p(f(t)) < \varepsilon$  for all  $f \in D$  and all  $t \notin S$ .

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