

## Transversally CR foliations

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RIASSUNTO: *Si studiano foliazioni a struttura CR trasversa e la loro coomologia di Kohn-Rossi trasversa. Per CR foliazioni non degeneri a struttura pseudohermitiana trasversa si costruisce una connessione adattata che generalizza la connessione di Webster di una CR foliazione per punti. Si ottiene un risultato di immergibilità locale per CR foliazioni reali analitiche.*

ABSTRACT: *We study foliations with transverse CR structure and their transverse Kohn-Rossi cohomology. For nondegenerate CR foliations with transverse pseudohermitian structure we build an adapted connection which generalizes the Webster connection of a CR foliation by points. We establish a local embeddability result for real analytic CR foliations.*

### 1 – Introduction

The purpose of the present paper is to study the geometry of  $\Gamma_{\text{CR}}^r(N)$ -foliations (with  $r = \infty$  or  $r = \omega$ ) where  $\Gamma_{\text{CR}}^r(N)$  is the pseudogroup of all local CR automorphisms of class  $C^r$  of a given (model) CR manifold  $N$ . These are called CR *foliations* and CR manifolds correspond to the case of the trivial CR foliation by points.

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Our motivation comes from the theory of complex (and Levi) foliations on CR manifolds (cf. *e.g.* E.M. CHIRKA [5], p. 150). A complex foliation  $\mathcal{F}$  of a CR manifold  $(M, H(M))$  (where  $H(M)$  is its Levi distribution) is one whose tangent bundle  $P$  is a complex subbundle of  $H(M)$  and whose foliated charts restricted to plaques give biholomorphisms (therefore such foliations occur on degenerate CR manifolds). The quotient  $H(M)/P$  carries a natural complex structure  $J$  and in cases of interest (cf. our Theorem 6)  $J$  is parallel with respect to the Bott connection of  $\mathcal{F}$ , so that  $\mathcal{H} = \text{Eigen}(i)$  (the eigenbundle of  $J$  corresponding to the eigenvalue  $i = \sqrt{-1}$ ) is a *transverse almost CR structure*. Moreover  $\mathcal{H}$  is *integrable* (for any  $x \in M$  there is an open neighborhood  $U$  and an *admissible* frame  $\{\zeta_\alpha\}$  of  $\mathcal{H}$  on  $U$ , that is each  $\zeta_\alpha$  is a transverse vector field and  $[\zeta_\alpha, \zeta_\beta] \in \mathcal{H}$ ) in most examples at hand (cf. Section 6). To further motivate our line of thought, let us recall (cf. [5], p. 155, or S.I. PINCHUK S.I. TSYGANOV [14]) that a complex foliation  $\mathcal{F}$  of complex dimension  $k$  of a CR manifold  $M$  is *CR-straightenable* if there are a domain  $\Omega \subset \mathbf{C}^k$ , a CR manifold  $N$ , and a CR diffeomorphism  $\varphi : \Omega \times N \rightarrow M$  so that  $\varphi(\Omega \times \{p\})$  is a leaf of  $\mathcal{F}$ , for any  $p \in N$ . If  $\mathcal{F}$  is CR-straightenable then the transverse geometry of  $\mathcal{F}$  is modelled on  $N$ , *i.e.*  $\mathcal{F}$  is a  $\Gamma_{\text{CR}}^\infty(N)$ -foliation.

In the end, it is worth mentioning that the notion of (transversally) CR foliation is implicit in [5], p. 157. There, a CR foliation is a foliation  $\mathcal{F}$  of a CR manifold  $M$  so that, for any defining local submersion  $f : U \rightarrow U'$  (*i.e.* the leaves of  $\mathcal{F}_U$  are the fibres of  $f$ ) the local quotient manifold  $U'$  is a CR manifold,  $f$  is a CR map, and  $f_* : H(U) \rightarrow H(U')$  is on-to. Such  $\mathcal{F}$  carries a transverse CR structure. Yet, on one hand  $\Gamma_{\text{CR}}^\infty(N)$ -foliations make sense on arbitrary  $C^\infty$  manifolds (not just on CR manifolds); on the other, the requirement that  $f : U \rightarrow U'$  be CR is somewhat misleading. Indeed, this yields a “tangential” CR structure (so that each leaf becomes a CR submanifold of  $M$ ) thus prompting the choice of terminology (CR foliations) in [5] (the transverse CR structure is not looked at there). Also (at least in the CR codimension one case) the Levi form of  $M$  must have a nontrivial kernel. Our point of view is, of course, that the tangential CR structure of  $\mathcal{F}$  is only incidental, and that E. Chirka’s approach to CR foliations (requiring that the local quotient manifolds possess some  $G$ -structure) is just the typical manner (cf. *e.g.* Proposition 2.6 in [13], p. 51-52) of assigning a transverse  $G$ -structure to  $\mathcal{F}$ .

Let  $N$  be a CR manifold. The group  $\text{Aut}_{\text{CR}}(N)$  of all global CR auto-

morphisms of  $N$  is a Lie transformation group (by a result of S.S. CHERN and J. MOSER [4]). In Section 2 we discuss foliations defined by suspension of a homomorphism  $h : \pi_1(B, x_0) \rightarrow \text{Aut}_{\text{CR}}(N)$  (these turn out to be CR foliations (with nontrivial holonomy), cf. Theorem 3).

When the normal bundle of the given CR foliation has odd real rank we develop a foliated analogue of S. WEBSTER'S (cf. [15]) pseudohermitian geometry, cf. our Theorems 4 and 5. We introduce notions such as *transverse pseudohermitian structure*, *transverse Levi form*, and *transverse Webster metric*  $g_\theta$ , as well as notions of (transverse) nondegeneracy and strict pseudoconvexity. In the nondegenerate case, the transverse Webster metric  $g_\theta$  is a transverse metric (in the sense of [13], p. 77) for  $\mathcal{F}$  and thus there is a bundle-like semi-Riemannian metric  $g$  on  $M$  inducing  $g_\theta$ . Our main result in this direction is that there is an adapted connection  $\nabla$  in the normal bundle of the given nondegenerate CR foliation  $\mathcal{F}$  which parallelizes both the transverse Levi form and the complex structure in the transverse Levi distribution ( $\nabla$  is unique under some assumption on its torsion, cf. Theorem 10). In addition,  $\nabla$  does not depend upon the choice of bundle-like semi-Riemannian metric  $g$  (inducing the transverse Webster metric) used in its construction. For the case of a CR foliation by points  $\nabla$  is the Webster connection (cf. [15]).

We show that any CR foliation comes equipped with a natural differential operator  $\bar{\partial}_Q$  (a foliated analogue of the tangential Cauchy-Riemann operator in complex analysis) acting on transverse  $(0, k)$ -forms. We look at the cohomology of the resulting  $\bar{\partial}_Q$ -complex; for the case of a simple CR foliation defined by a submersion this cohomology turns out to be the Kohn-Rossi cohomology of the base CR manifold (cf. Theorems 7 and 8).

Let  $\mathcal{F}$  be a CR foliation of type  $(n, k)$  of  $M$  and  $\mathcal{H}$  its transverse CR structure. We introduce a concept of *embedding* of  $(M, \mathcal{H})$ . This is essentially an immersion  $\psi : M \rightarrow \mathbf{C}^N$  for some  $N > n + k$  which induces a bundle monomorphism  $G$  of the normal bundle into  $T(\mathbf{C}^{n+k})$  so that  $G$  maps  $\mathcal{H}$  into the holomorphic tangent bundle over  $\mathbf{C}^{n+k}$ . Any real analytic transverse CR structure is shown (cf. our Theorem 11) to be locally embeddable.

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## 2 – Transversally CR foliations

Let  $M$  be a  $C^\infty$  manifold and  $\mathcal{F}$  a codimension  $q$  foliation ( $q = 2n + k$ ,  $k \geq 1$ ) of class  $C^\infty$  of  $M$  thought of as (the collection of all connected maximal integral manifolds of) an integrable subbundle  $P = T(\mathcal{F}) \subset T(M)$ . Let  $Q = \nu(\mathcal{F}) = T(M)/P$  be the normal (or transverse) bundle of  $\mathcal{F}$ . Let  $\pi : T(M) \rightarrow Q$  be the natural bundle epimorphism. Let  $\nabla^0$  be the *Bott connection* of  $(M, \mathcal{F})$ .

Let  $\mathcal{H} \subset Q \otimes \mathbf{C}$  be a complex subbundle, of complex rank  $n$ . Set  $H = \text{Re}\{\mathcal{H} \oplus \overline{\mathcal{H}}\} \subset Q$ . Throughout an overbar denotes complex conjugation. Then  $H$  carries the complex structure  $J : H \rightarrow H$  given by:

$$(1) \quad J(\alpha + \bar{\alpha}) = i(\alpha - \bar{\alpha})$$

for any  $\alpha \in \Gamma^\infty(\mathcal{H})$ . Here  $i = \sqrt{-1}$ . The following notations are central for the rest of the present paper. We call  $\mathcal{H}$  a *transverse almost CR structure* (of *transverse CR dimension*  $n$ ) if 1)  $\mathcal{H} \cap \overline{\mathcal{H}} = \{0\}$ , 2)  $H$  is parallel with respect to the Bott connection of  $\mathcal{F}$  and 3)  $\mathcal{L}_X J = 0$  for any  $X \in \Gamma^\infty(P)$ . Lie derivatives are defined with respect to  $\nabla^0$ .

Let  $L(\mathcal{F}) = L(M, \mathcal{F}) \subset \mathcal{X}(M)$  be the Lie subalgebra of all *foliate vector fields* (or infinitesimal automorphisms of  $\mathcal{F}$ ). Let  $\ell(\mathcal{F}) = \ell(M, \mathcal{F}) \subset \Gamma^\infty(Q)$  be the Lie algebra of all *transverse vector fields* (i.e.  $s \in \ell(\mathcal{F})$  iff  $s = \pi Y$  for some  $Y \in L(\mathcal{F})$ ). Let  $\Gamma_B^\infty(Q)$  consist of all  $s \in \Gamma^\infty(Q)$  with  $\mathcal{L}_X s = 0$  for any  $X \in \Gamma^\infty(P)$ . Note that  $\Gamma_B^\infty(Q) = \ell(\mathcal{F})$  (so that the Lie bracket  $[s, r]$  of any  $s, r \in \Gamma_B^\infty(Q)$  is well defined).

A transverse almost CR structure  $\mathcal{H} \subset Q \otimes \mathbf{C}$  is termed *integrable* if for any  $x \in M$  there is an open neighborhood  $U \subseteq M$ ,  $x \in U$ , and there is a frame  $\{\zeta_1, \dots, \zeta_n\}$  of  $\mathcal{H}$  on  $U$  so that  $\zeta_\alpha \in \Gamma_B^\infty(Q \otimes \mathbf{C})$  and  $[\zeta_\alpha, \zeta_\beta] \in \Gamma^\infty(\mathcal{H})$  for any  $1 \leq \alpha, \beta \leq n$ . Such a (local) frame of  $\mathcal{H}$  is termed *admissible*. An integrable transverse almost CR structure is referred to as a *transverse CR structure* on  $(M, \mathcal{F})$ .

A  $\Gamma$ -*foliation of codimension*  $q$  and class  $C^\infty$  on  $M$  consists of the following data i) an open covering  $\{U_i\}_{i \in I}$  of  $M$ , ii) an additional  $C^\infty$  manifold  $N$  and a pseudogroup  $\Gamma$  of local transformations of  $N$ , iii) for each  $i \in I$  a  $C^\infty$  submersion  $f_i : U_i \rightarrow N$ , iv) for any  $i, j \in I$  (with  $U_{ji} = U_i \cap U_j \neq \emptyset$ ) an element  $\gamma_{ji} \in \Gamma$  so that  $f_j = \gamma_{ji} \circ f_i$  on  $U_{ji}$ . Cf. [8]. Let  $x \in M$  and  $i \in I$  with  $x \in U_i$  and set  $P_x = \text{Ker}(d_x f_i)$ . Then

$P \subset T(M)$  is a well defined (by iv)) integrable distribution so that any  $\Gamma$ -foliation gives rise to a foliation  $\mathcal{F}$  of  $M$ .

Let  $N$  be a  $(2n + k)$ -dimensional  $C^\infty$  manifold. Let  $T_{1,0}(N)$  be a CR structure (of CR dimension  $n$ ) on  $N$ , i.e. a complex subbundle (of complex rank  $n$ ) of the complexified tangent bundle  $T(N) \otimes \mathbf{C}$  so that:

$$(2) \quad T_{1,0}(N) \cap T_{0,1}(N) = \{0\}$$

and

$$(3) \quad [\Gamma^\infty(T_{1,0}(N)), \Gamma^\infty(T_{1,0}(N))] \subset \Gamma^\infty(T_{1,0}(N))$$

Here  $T_{0,1}(N) = \overline{T_{1,0}(N)}$ . Let  $H(N) = \text{Re}\{T_{1,0}(N) \oplus T_{0,1}(N)\}$  be the *Levi distribution*. It carries the complex structure  $J_N : H(N) \rightarrow H(N)$  given by  $J_N(Z + \bar{Z}) = i(Z - \bar{Z})$  for any  $Z \in T_{1,0}(N)$ . If  $(N, T_{1,0}(N))$  and  $(N', T_{1,0}(N'))$  are two CR manifolds then a  $C^\infty$  map  $\lambda : N \rightarrow N'$  is a CR map if  $\lambda_* T_{1,0}(N) \subseteq T_{1,0}(N')$  (or equivalently  $\lambda_* H(N) \subseteq H(N')$  and  $\lambda_* \circ J_N = J_{N'} \circ \lambda_*$ ). A CR automorphism of  $N$  is a  $C^\infty$  diffeomorphism and a CR map. Let  $\Gamma_{\text{CR}}^\infty(N)$  be the pseudogroup of all (local) CR automorphisms of  $(N, T_{1,0}(N))$  (of class  $C^\infty$ ). Let  $\mathcal{F}$  be a  $\Gamma_{\text{CR}}^\infty(N)$ -foliation of  $M$ . Then  $\mathcal{F}$  is said to be a (transversally) CR foliation (of transverse CR dimension  $n$  and transverse CR codimension  $k$ ).

Let  $\mathcal{F}$  be a CR foliation and  $x \in U_i$ . The differential  $d_x f_i : T_x(M) \rightarrow T_{f_i(x)}(N)$  descends to a  $\mathbf{R}$ -linear isomorphism  $F_{i,x} : Q_x \rightarrow T_{f_i(x)}(N)$  with  $F_{i,x} \circ \pi_x = d_x f_i$ . Set:

$$H_x = F_{i,x}^{-1} H(N)_{f_i(x)}$$

As  $\gamma_{ji} \in \Gamma_{\text{CR}}^\infty(N)$  one has in particular  $(\gamma_{ji})_* H(N) = H(N)$  so that  $H_x$  is well defined. It carries the complex structure  $J_x$  given by:

$$J_x = F_{i,x}^{-1} \circ J_{N, f_i(x)} \circ F_{i,x}$$

Once again, as  $\gamma_{ji} \in \Gamma_{\text{CR}}^\infty(N)$ , in particular  $(\gamma_{ji})_* \circ J_N = J_N \circ (\gamma_{ji})_*$  so that  $J_x$  is well defined. Then  $H$  is referred as the *transverse Levi distribution* of  $(M, \mathcal{F})$ . We may state the following:

**THEOREM 1.** *Let  $(N, T_{1,0}(N))$  be a CR manifold of class  $C^\infty$  and type  $(n, k)$ . Let  $\mathcal{F}$  be a CR foliation of  $M$  whose transverse geometry is modelled on  $(N, T_{1,0}(N))$ . Let  $H$  be the transverse Levi distribution of  $\mathcal{F}$ .*

Extend  $J$  to  $H \otimes \mathbf{C}$  by  $\mathbf{C}$ -linearity and set  $\mathcal{H} = \text{Eigen (i)}$ . Then  $\mathcal{H}$  is a transverse CR structure of transverse CR dimension  $n$  (and  $F_{i,x}(\mathcal{H}_x) = T_{1,0}(N)_{f_i(x)}$  for any  $x \in U_i$ ).

One may look at transverse (almost) CR structures as transverse  $G$ -structures, as well. Let  $\mathcal{F}$  be a codimension  $q$  foliation of  $M$ . Let:

$$B_T^1 = B_T^1(M, \mathcal{F})$$

be the (total space of the) principal  $GL(q, \mathbf{R})$ -bundle of transverse frames (cf. [13], p. 44). Let  $\theta_T^1 \in \Gamma^\infty(T^*(B_T^1) \otimes \mathbf{R}^q)$  be the fundamental 1-form on  $B_T^1$  (cf. (2.11) in [13], p. 45) and set:

$$P_T^1 = \{X \in T(B_T^1) : X \lrcorner \theta_T^1 = X \lrcorner d\theta_T^1 = 0\}$$

If  $G \subset GL(q, \mathbf{R})$  is a Lie subgroup then a principal  $G$ -subbundle  $E \subset B_T^1$  is a *transverse  $G$ -structure* if:

$$(4) \quad P_{T,z}^1 \subset T_z(E)$$

for any  $z \in E$ . The distribution  $P_T^1$  is known to be integrable (cf. Proposition 2.4 in [13], p. 47) so that it gives rise to a foliation  $\mathcal{F}_T^1$  of  $B_T^1$  (the *lifted foliation*, cf. [13]) each leaf of which is a Galois covering of some leaf of  $\mathcal{F}$ . Then the geometric meaning of (4) is that  $E$  is a union of leaves of  $\mathcal{F}_T^1$ . We call  $E$  *locally flat* if for any  $x \in M$  there is a foliated coordinate chart  $(U, y^1, \dots, y^q, x^1, \dots, x^p)$  at  $x$  so that  $\sigma_T(U) \subset E$ . Here  $\sigma_T : U \rightarrow B_T^1$  is the natural field of transverse frames:

$$\sigma_T(x) = (x, \{(\pi \frac{\partial}{\partial y^j})_x\}_{1 \leq j \leq q})$$

Let  $\mathcal{H}$  be a transverse almost CR structure of type  $(n, k)$  and  $(H, J)$  the corresponding transverse Levi distribution. Let  $E_x$  consist of all  $\mathbf{R}$ -linear isomorphisms  $z : \mathbf{R}^q \rightarrow Q_x$  with  $z(e_\alpha) \in H_x$  and  $J_x z(e_\alpha) = z(e_{\alpha+n})$ . Here  $q = 2n + k$  while  $\{e_\alpha, e_{\alpha+n}, e_{j+2n}\}$  denotes the canonical linear basis in  $\mathbf{R}^q$  (with  $1 \leq \alpha \leq n$ ,  $1 \leq j \leq k$ ). Let  $G \subset GL(q, \mathbf{R})$  consist of all nonsingular matrices of the form:

$$\begin{bmatrix} g_\beta^\alpha & \Omega_\beta^\alpha & u_j^\alpha \\ -\Omega_\beta^\alpha & g_\beta^\alpha & v_j^\alpha \\ 0 & 0 & w_j^i \end{bmatrix}$$

**THEOREM 2.**  *$E$  is a transverse  $G$ -structure. If  $E$  is locally flat then  $\mathcal{H}$  is integrable.*

We end this section by looking at an example of CR foliation. Let  $B, T$  be two compact connected manifolds and  $h : \pi_1(B, x_0) \rightarrow \text{Diff}(T)$  a group homomorphism. Here  $\pi_1(B, x_0)$  is the first homotopy group of  $B$  (with base point  $x_0 \in B$ ) and  $\text{Diff}(T)$  denotes the group of all global diffeomorphisms of  $T$ . Set  $G = h(\pi_1(B, x_0))$ . We may state the following:

**THEOREM 3.** *Let  $\mathcal{F}$  be a CR foliation (whose transverse geometry is modelled on the CR manifold  $(N, T_{1,0}(N))$  of the  $C^\infty$  manifold  $M$ ). If  $\mathcal{F}$  is defined by suspension of  $h : \pi_1(B, x_0) \rightarrow \text{Diff}(T)$  then  $T$  is a CR manifold of type  $(n, k)$  and  $G$  a group of global CR automorphisms of  $T$ . Conversely, if  $\text{Aut}_{\text{CR}}(T)$  is the group of global CR automorphisms of a CR manifold  $(T, T_{1,0}(T))$  then the foliation  $\mathcal{F}$  defined by suspension of  $h : \pi_1(B, x_0) \rightarrow \text{Aut}_{\text{CR}}(T)$  is a CR foliation.*

Let  $\hat{p} : \hat{B} \rightarrow B$  be the universal cover of  $B$ . Set  $\tilde{M} = \hat{B} \times T$ . There is a natural action of  $\pi_1(B, x_0)$  on  $\tilde{M}$  given by:

$$(\hat{x}, y) \cdot [\gamma] = (\hat{x} \cdot [\gamma], h([\gamma]^{-1})(y))$$

for any  $\hat{x} \in \hat{B}$ ,  $y \in T$  and  $[\gamma] \in \pi_1(B, x_0)$ . Let:

$$\rho : \tilde{M} \rightarrow \tilde{M}/\pi_1(B, x_0)$$

be the canonical projection. Let  $\tilde{\mathcal{F}}$  be the (simple) foliation of  $\tilde{M}$  whose leaves are the fibres of:

$$p_2 : \tilde{M} \rightarrow T, \quad p_2(\hat{x}, y) = y.$$

The assumption on  $(M, \mathcal{F})$  in Theorem 3 amounts to  $M = \tilde{M}/\pi_1(B, x_0)$  and  $\tilde{\mathcal{F}} = \rho^*\mathcal{F}$  (that is  $\tilde{\mathcal{F}}$  is the pullback of  $\mathcal{F}$  by  $\rho$ , cf. [9] and [13], p. 28). Then:

$$T(\tilde{\mathcal{F}})_{\tilde{x}} = (d_{\tilde{x}}\rho)^{-1}T(\mathcal{F})_{\rho(\tilde{x})}$$

for any  $\tilde{x} \in \tilde{M}$ . Consequently  $d_{\tilde{x}}\rho$  descends to an isomorphism:

$$\Pi_{\tilde{x}} : \nu(\tilde{\mathcal{F}})_{\tilde{x}} \rightarrow \nu(\mathcal{F})_{\rho(\tilde{x})}.$$

Let  $H$  be the transverse Levi distribution of  $\mathcal{F}$  and  $J$  its complex structure. Set:

$$\begin{aligned}\tilde{H}_{\tilde{x}} &= \Pi_{\tilde{x}}^{-1} H_{\rho(\tilde{x})} \\ \tilde{J}_{\tilde{x}} &= \Pi_{\tilde{x}}^{-1} \circ J_{\rho(\tilde{x})} \circ \Pi_{\tilde{x}}.\end{aligned}$$

Then  $(\tilde{H}, \tilde{J})$  makes  $\mathcal{F}$  into a (transversally) CR foliation. This may be seen in yet another way. Let  $\{U_i, f_i, \gamma_{ji}\}_{i,j \in I}$  be the data defining  $\mathcal{F}$  (as a  $\Gamma_{\text{CR}}^\infty(N)$ -foliation, for some given model CR manifold  $N$ ). We may assume w.l.o.g. that  $U_i = \rho(\hat{V}_i \times T)$  where  $\hat{V}_i = \hat{p}^{-1}(V_i)$  for some simply connected open subset  $V_i \subset B$ . Let  $\tilde{U}_i = \hat{V}_i \times T$  and define  $\tilde{f}_i : \tilde{U}_i \rightarrow N$  by  $\tilde{f}_i = f_i \circ \rho$ . Then the data  $\{\tilde{U}_i, \tilde{f}_i, \gamma_{ji}\}_{i,j \in I}$  determines  $\tilde{\mathcal{F}}$  (that is  $\mathcal{F}$  is a  $\Gamma_{\text{CR}}^\infty(N)$ -foliation of  $\tilde{M}$ ).

The differential  $d_{\tilde{x}} p_2$  descends to an isomorphism:

$$A_{\tilde{x}} : \nu(\tilde{\mathcal{F}})_{\tilde{x}} \rightarrow T_y(T)$$

for any  $\tilde{x} = (\hat{x}, y) \in \tilde{M}$ . Let  $y \in T$  and  $\tilde{x} \in p_2^{-1}(y)$ . Set by definition:

$$H(T)_y = A_{\tilde{x}} \tilde{H}_{\tilde{x}}.$$

As  $\tilde{H}$  is invariant under sliding along the leaves of  $\tilde{\mathcal{F}}$  (and  $p_2^{-1}(y)$  is a leaf of  $\tilde{\mathcal{F}}$ ) it follows that  $H(T)_y$  is well defined (*i.e.* its definition does not depend upon the choice of  $\tilde{x} \in p_2^{-1}(y)$ ). Similar considerations apply to the complex structure  $J_{T,y}$  given by:

$$J_{T,y} = A_{\tilde{x}} \circ \tilde{J}_{\tilde{x}} \circ A_{\tilde{x}}^{-1}$$

for any  $y \in T$  and some  $\tilde{x} \in p_2^{-1}(y)$ . Thus  $T$  becomes a CR manifold (and  $H(T)$  is its Levi distribution).

Let  $g = h([\gamma]) \in G$ . Consider  $L_g : T \rightarrow T$  given by  $L_g(y) = g^{-1}(y)$ . Then:

$$(5) \quad p_2 \circ R_{[\gamma]} = L_g \circ p_2$$

where  $R_{[\gamma]} : \tilde{M} \rightarrow \tilde{M}$  is the right translation with  $[\gamma] \in \pi_1(B, x_0)$ . If  $X \in T(\tilde{\mathcal{F}})_{\tilde{x}} = \text{Ker}(d_{\tilde{x}} p_2)$  then (by (5)) we obtain:

$$(d_{\tilde{x} \cdot [\gamma]} p_2) \circ (d_{\tilde{x}} R_{[\gamma]}) X = 0$$



that is  $T(\tilde{\mathcal{F}})$  is  $\pi_1(B, x_0)$ -invariant. Thus  $d_{\tilde{x}}R_{[\gamma]}$  descends to an isomorphism:

$$B_{\tilde{x},[\gamma]} : \nu(\tilde{\mathcal{F}})_{\tilde{x}} \rightarrow \nu(\tilde{\mathcal{F}})_{\tilde{x} \cdot [\gamma]}.$$

Furthermore:

$$(d_y L_g) \circ A_{\tilde{x}} = A_{\tilde{x} \cdot [\gamma]} \circ B_{\tilde{x},[\gamma]}$$

and:

$$\Pi_{\tilde{x}} = \Pi_{\tilde{x} \cdot [\gamma]} \circ B_{\tilde{x},[\gamma]}$$

yield:

$$(d_y L_g)H(T)_y = H(T)_{g^{-1}y}.$$

It may be shown similarly that  $(L_g)_*$  commutes with  $J_T$ . Thus  $L_g \in \text{Aut}_{\text{CR}}(T)$ , Q.E.D.

Given a CR foliation  $\mathcal{F}$  defined by suspension of the homomorphism  $h : \pi_1(B, x_0) \rightarrow \text{Aut}_{\text{CR}}(T)$  one may attempt (in analogy with the case of Riemannian foliations, cf. [13], p. 97-99) to describe the closure of the leaf  $L$  passing through a point of the fibre  $p^{-1}(x_0) \approx T$ , under the assumption that  $\text{Aut}_{\text{CR}}(T)$  is compact.

### 3 – Transverse pseudohermitian geometry

Let  $(N, T_{1,0}(N))$  be an orientable CR manifold of hypersurface type (*i.e.*  $k = 1$ ). Set  $E_p = \{\omega \in T_p^*(N) : \text{Ker}(\omega) \supseteq H(N)_p\}$  for any  $p \in N$ . This gives a real line bundle  $E \subset T^*(N)$ . As  $N$  is orientable and  $H(N)$  is oriented by its complex structure  $J_N$  it follows that  $E$  admits nowhere zero globally defined sections  $\theta_N \in \Gamma^\infty(E)$  each of which is referred to as a *pseudohermitian structure* on  $(N, T_{1,0}(N))$ . Cf. [15]. The *Levi form*  $G_N$  is given by:

$$(6) \quad G_N(X, Y) = (d\theta_N)(X, J_N Y)$$

for any  $X, Y \in \Gamma^\infty(H(N))$ . Then  $(N, T_{1,0}(N))$  is *nondegenerate* if  $G_N$  is nondegenerate for some choice of pseudohermitian structure on  $N$  (and thus for all).

The basic ideas of pseudohermitian geometry carry over to the context of CR foliations, as follows. Let  $(\mathcal{F}, H, J)$  be a CR foliation (whose transverse geometry is modelled on the CR manifold  $N$  (of hypersurface

type)). On each  $U_i$  one may consider the 1-form  $\theta_{T,i}$  given by  $\theta_{T,i} = f_i^* \theta_N$ . Next, define  $\theta_i \in \Gamma^\infty(Q^*)$  by  $\theta_{i,x} \circ \pi_x = (\theta_{T,i})_x$  for any  $x \in U_i$ . Clearly  $H_x = \text{Ker}(\theta_{i,x})$  for any  $x \in U_i$ . Let  $j \in I$  with  $U_{ji} \neq \emptyset$ . As  $\gamma_{ji} \in \Gamma_{\text{CR}}^\infty(N)$  it follows in particular that  $\gamma_{ji}$  is a contact transformation. Therefore:

$$(7) \quad \theta_j = (\lambda_{ji} \circ f_i) \theta_i$$

(on  $U_{ji}$ ) for some nowhere vanishing  $C^\infty$  functions  $\lambda_{ji} : f_i(U_{ji}) \rightarrow \mathbf{R}$ . To investigate the properties of  $(U_i, \theta_i)$  we only need to look at the case of a simple foliation (defined by a submersion). We may state:

**THEOREM 4.** *Let  $f : M \rightarrow N$  be a  $C^\infty$  submersion with connected fibres from a  $C^\infty$  manifold  $M$  on-to an orientable CR manifold  $(N, T_{1,0}(N))$  (of hypersurface type) on which a pseudohermitian structure  $\theta_N$  has been fixed. Let  $\mathcal{F}$  be the foliation of  $M$  tangent to the vertical bundle of  $f$ . Let  $\theta_T = f^* \theta_N$  and  $\theta \in \Gamma^\infty(Q^*)$  given by  $\theta \circ \pi = \theta_T$ . Then  $\mathcal{L}_X \theta = 0$  for any  $X \in \Gamma^\infty(P)$ , i.e.  $\theta \in \Gamma_B^\infty(Q^*)$ . Assume that  $(N, T_{1,0}(N))$  is nondegenerate and set  $\xi_x = F_x^{-1}(\xi_{N, f(x)})$  where  $\xi_N$  is the characteristic direction of  $d\theta_N$  and  $F_x : Q_x \rightarrow T_{f(x)}(N)$  is given by  $F_x \circ \pi_x = d_x f$  for any  $x \in M$ . Then  $\xi \in \Gamma_B^\infty(Q)$  and:*

$$(8) \quad \theta(\xi) = 1, \quad \xi \lrcorner d_Q \theta = 0$$

Throughout  $\Gamma_B^\infty(\Lambda^k Q^*)$  consists of all  $\omega \in \Gamma^\infty(\Lambda^k Q^*)$  with  $\mathcal{L}_X \omega = 0$  for any  $X \in \Gamma^\infty(P)$ .

Recall that a CR automorphism  $\lambda : N \rightarrow N$  is *isopseudohermitian* if  $\lambda^* \theta_N = \theta_N$ . Clearly, if  $\mathcal{F}$  is a  $\Gamma$ -foliation of  $M$  where  $\Gamma \subset \Gamma_{\text{CR}}^\infty(N)$  is the subpseudogroup of all (local) isopseudohermitian (with respect to a fixed pseudohermitian structure  $\theta_N$  on  $N$ ) CR automorphisms of  $N$ , then the (local) sections  $\theta_i$  glue up to a global section  $\theta \in \Gamma_B^\infty(Q^*)$ . We are led to the following general considerations. Let  $(\mathcal{F}, H, J)$  be a CR foliation (of transverse CR codimension  $k = 1$ ). A globally defined nowhere vanishing section  $\theta \in \Gamma_B^\infty(Q^*)$  is a *transverse pseudohermitian structure* if  $H = \text{Ker}(\theta)$ . By Theorem 4 any simple foliation given by a  $C^\infty$  submersion on-to a CR hypersurface  $N$  carries a transverse pseudohermitian structure (induced by a fixed pseudohermitian structure on  $N$ ).

Let  $\Omega_B^k(\mathcal{F}) = \Omega_B^k(M, \mathcal{F})$  be the  $\Omega_B^0(\mathcal{F})$ -module of basic  $k$ -forms, where  $\Omega_B^0(\mathcal{F}) = \Omega_B^0(M, \mathcal{F})$  is the ring of all basic  $C^\infty$  functions  $\lambda: M \rightarrow \mathbf{R}$ .

Let  $\mathcal{F}$  be a CR foliation and  $\theta$  a transverse pseudohermitian structure. Let  $\theta_T = \theta \circ \pi$  be the corresponding basic 1-form. Set  $D = \text{Ker}(\theta_T)$ . Then  $H = D/P$ . Next, set:

$$K_x = \{\alpha \in Q_x^* : \text{Ker}(\alpha) \supseteq H_x\}$$

for any  $x \in M$ . This furnishes a real line subbundle  $K \subset Q^*$  and any transverse pseudohermitian structure may be viewed as a (globally defined nowhere zero) section in  $K$ . Thus, if  $\hat{\theta}$  is another transverse pseudohermitian structure then  $\hat{\theta} = \lambda\theta$  for some nowhere vanishing basic function  $\lambda$  on  $M$ .

With each  $\omega \in \Gamma^\infty(\Lambda^k Q^*)$  we associate a differential  $k$ -form  $\omega_T = \Phi_k \omega$  on  $M$  given by:

$$\omega_T(Y_1, \dots, Y_k) = \omega(\pi Y_1, \dots, \pi Y_k)$$

for any  $Y_1, \dots, Y_k \in \mathcal{X}$ . The map  $\Phi_k$  yields a  $\mathbf{R}$ -linear isomorphism:

$$\Gamma_B^\infty(\Lambda^k Q^*) \approx \Omega_B^k(\mathcal{F}).$$

We shall need the differential operator:

$$d_Q = \Phi_{k+1}^{-1} \circ d \circ \Phi_k : \Gamma_B^\infty(\Lambda^k Q^*) \rightarrow \Gamma_B^\infty(\Lambda^{k+1} Q^*).$$

Let  $\mathcal{F}$  be a CR foliation and  $\theta$  a transverse pseudohermitian structure. The *transverse Levi form*  $G_\theta$  is defined by:

$$(9) \quad G_\theta(s, r) = (d_Q \theta)(s, Jr)$$

for any  $s, r \in \Gamma^\infty(H)$ . Then  $G_{\lambda\theta} = \lambda G_\theta$ . We need the following:

LEMMA 1. For any  $\alpha, \beta \in \Gamma^\infty(\mathcal{H})$ :

$$(10) \quad (d_Q \theta)(\alpha, \beta) = (d_Q \theta)(\bar{\alpha}, \bar{\beta}) = 0.$$

That is  $G_\theta$  (as a real  $(0, 2)$ -tensor field) is symmetric and  $G_\theta(Js, Jr) = G_\theta(s, r)$  for any  $s, r \in \Gamma^\infty(H)$ .

A CR foliation  $(\mathcal{F}, \mathcal{H})$  is *nondegenerate* if  $G_\theta$  is nondegenerate for some transverse pseudohermitian structure  $\theta$  (and thus for all). Any simple foliation given by a  $C^\infty$  submersion on-to a nondegenerate CR manifold (of hypersurface type) is itself nondegenerate. We establish the following:

**THEOREM 5.** *For any transversally orientable nondegenerate CR foliation  $(\mathcal{F}, \mathcal{H})$  on which a transverse pseudohermitian structure has been fixed, there is a globally defined nowhere vanishing section  $\xi \in \Gamma^\infty(Q)$  so that  $\xi \lrcorner \theta = 1$  and  $\xi \lrcorner d_Q\theta = 0$ . Such  $\xi$  is unique and invariant under sliding along the leaves.*

Let  $\text{Null}(d_Q\theta)$  be the null space bundle of  $d_Q\theta$ , i.e.  $z \in \text{Null}(d_Q\theta)_x$  iff  $z \in Q_x$  and  $z \lrcorner (d_Q\theta)_x = 0$ . Then  $\text{rank}_{\mathbf{R}} \text{Null}(d_Q\theta) = 1$  by the nondegeneracy of  $d_Q\theta$  on  $H$ . Note that:

$$(11) \quad \text{Null}(d_Q\theta) \approx Q/H$$

(a vector bundle isomorphism). To check (11) it suffices to show that the map  $z \mapsto z + H_x$ ,  $z \in \text{Null}(d_Q\theta)_x$ , is a bundle monomorphism. This follows from  $\text{Null}(d_Q\theta) \cap H = \{0\}$ .

As  $Q$  is orientable and  $H$  oriented by its complex structure it follows that  $Q/H$  admits a globally defined nowhere zero section  $S$  ( $M$  is assumed to be connected). Next there is  $\gamma \in \Gamma^\infty(Q)$  so that  $S(x) = \gamma(x) + H_x$  for any  $x \in M$ . Set  $\lambda = \theta(\gamma) \in \Omega^0(M)$ . Then  $\lambda$  is nowhere zero. Indeed, if  $\lambda(x) = 0$  for some  $x \in M$  then  $\gamma(x) \in \text{Ker}(\theta_x) = H_x$ , i.e.  $S(x) = 0$ , a contradiction. Set  $s = (1/\lambda)\gamma$ . By (11) there is  $\xi \in \Gamma^\infty(\text{Null}(d_Q\theta))$  so that  $\xi(x) + H_x = s(x) + H_x$ . Consequently  $\xi(x) - s(x) \in H_x = \text{Ker}(\theta_x)$ , i.e.  $\theta(\xi) = \theta(s) = 1$ . To prove the last statement in Theorem 5, note that  $\mathcal{L}_X\theta = 0$  and  $\theta(\xi) = 1$  yield  $0 = (\mathcal{L}_X\theta)\xi = X(\theta\xi) - \theta(\mathcal{L}_X\xi)$  that is  $\mathcal{L}_X\xi \in \Gamma^\infty(H)$  for any  $X \in \Gamma^\infty(P)$ . Next, for any  $s \in \Gamma^\infty(H)$  we have  $0 = (\mathcal{L}_Xd_Q\theta)(\xi, s) = X((d_Q\theta)(\xi, s)) - (d_Q\theta)(\mathcal{L}_X\xi, s) - (d_Q\theta)(\xi, \mathcal{L}_Xs)$  so that (by the nondegeneracy of  $d_Q\theta$  on  $H$ ) we get:

$$(12) \quad \mathcal{L}_X\xi = 0$$

for any  $X \in \Gamma^\infty(P)$ , i.e.  $\xi \in \Gamma_B^\infty(Q)$ .

The transverse vector field  $\xi$  in Theorem 5 is referred to as the *characteristic direction* of  $(\mathcal{F}, \mathcal{H}, \theta)$ . Note that:

$$(13) \quad Q = H \oplus \mathbf{R}\xi.$$

By taking into account (13) we may extend the transverse Levi form  $G_\theta$  to a semi-Riemannian holonomy invariant bundle metric  $g_\theta$  in  $Q$  by setting  $g_\theta(s, r) = G_\theta(s, r)$ ,  $g_\theta(s, \xi) = 0$  and  $g_\theta(\xi, \xi) = 1$ , for any  $s, r \in \Gamma^\infty(H)$ . The holonomy invariance of  $g_\theta$  follows from: i) the fact that  $H$  is parallel (with respect to the Bott connection of  $\mathcal{F}$ ), ii)  $\mathcal{L}_X d_Q \theta = 0$  for any  $X \in \Gamma^\infty(P)$ , and from (12). Then  $g_\theta$  is referred to as the *transverse Webster metric* of  $(M, (\mathcal{F}, \mathcal{H}, \theta))$ .

The transverse Levi form may be viewed as a Hermitian form on  $H \otimes \mathbf{C}$ , *i.e.* let  $L_\theta$  be given by:

$$\begin{aligned} L_\theta(\alpha, \bar{\beta}) &= -i(d_Q \theta)(\alpha, \bar{\beta}) \\ L_\theta(\alpha, \beta) &= L_\theta(\bar{\alpha}, \bar{\beta}) = 0 \\ L_\theta(\bar{\alpha}, \beta) &= \overline{L_\theta(\alpha, \bar{\beta})} \end{aligned}$$

for any  $\alpha, \beta \in \Gamma^\infty(\mathcal{H})$ . Then  $L_\theta$  and the  $\mathbf{C}$ -linear extension of  $G_\theta$  (to  $H \otimes \mathbf{C}$ ) coincide. A CR foliation  $(\mathcal{F}, \mathcal{H})$  is *strictly pseudoconvex* if  $(L_\theta)_x(\sigma, \bar{\sigma}) > 0$  for any  $\sigma \in \mathcal{H}_x - \{0\}$ ,  $x \in M$ , and some transverse pseudohermitian structure  $\theta$ . If this is the case then  $(\mathcal{F}, g_\theta)$  is a Riemannian foliation.

Let  $(\mathcal{F}, \mathcal{H})$  be a nondegenerate CR foliation carrying the transverse pseudohermitian structure  $\theta$ . Let  $\{\zeta_\alpha\} \subset \Gamma_B^\infty(\mathcal{H})$  be an admissible frame of  $\mathcal{H}$  on  $U \subseteq M$ . Set  $h_{\alpha\bar{\beta}} = L_\theta(\zeta_\alpha, \zeta_{\bar{\beta}})$  where  $\zeta_{\bar{\alpha}} = \overline{\zeta_\alpha}$ . Then  $h_{\alpha\bar{\beta}} : U \rightarrow \mathbf{C}$  are basic functions. Let  $\lambda_\alpha(x)$  be the eigenvalues of  $[h_{\alpha\bar{\beta}}(x)]$ ,  $x \in U$ . As  $[h_{\alpha\bar{\beta}}]$  is Hermitian each  $\lambda_\alpha$  is  $\mathbf{R}$ -valued and  $C^\infty$  thus  $L_\theta$  has constant index on  $U$  (hence  $G_\theta$  is a semi-Riemannian bundle metric in  $H$ ). Assume the Levi form  $L_\theta$  to have signature  $(r, s)$ . Consider the Hermitian form:

$$\langle z, w \rangle_r = \sum_{j=1}^r z_j \bar{w}_j - \sum_{j=r+1}^n z_j \bar{w}_j$$

where  $n = r + s$  and  $z, w \in \mathbf{C}^n$ . Let:

$$U(r, s) = \{A \in GL(n, \mathbf{C}) : \langle Az, Aw \rangle_r = \langle z, w \rangle_r, \forall z, w \in \mathbf{C}^n\}$$

Let  $G \subset GL(q, \mathbf{R})$  consist of all matrices of the form:

$$\begin{bmatrix} g_\beta^\alpha & \Omega_\beta^\alpha & 0 \\ -\Omega_\beta^\alpha & g_\beta^\alpha & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

with  $[g_\beta^\alpha + i\Omega_\beta^\alpha] \in U(r, s)$ . Here  $q = 2n + 1$ . Let  $E_{\theta, x}$  consist of all  $z \in E_x$  with  $z(e_{2n+1}) = \xi(x)$  and  $g_\theta(z(e_\alpha), z(e_\beta)) = \epsilon_\alpha \delta_{\alpha\beta}$ ,  $g_\theta(z(e_\alpha), z(e_{\beta+n})) = 0$ . Here  $\xi$  is the characteristic direction of  $(\mathcal{F}, \theta)$ . Also  $\epsilon_\alpha = 1$  if  $1 \leq \alpha \leq r$  and  $\epsilon_\alpha = -1$  if  $r + 1 \leq \alpha \leq r + s$ . Then  $E_\theta$  is a transverse  $G$ -structure.

#### 4 – Degenerate CR manifolds

Let  $(M, T_{1,0}(M))$  and  $(N, T_{1,0}(N))$  be two CR manifolds (of hypersurface type) of CR dimensions  $N = n + k$  and  $n$ , respectively. Let  $f : M \rightarrow N$  be a CR submersion (*i.e.* a  $C^\infty$  submersion and a CR map).

PROPOSITION 1.  $(M, T_{1,0}(M))$  is degenerate.

PROOF.

STEP 1. Let  $\theta_M$  and  $\theta_N$  be choices of pseudohermitian structures on  $M$  and  $N$ , respectively. Then  $f^*\theta_N = \lambda\theta_M$  for some nowhere vanishing  $\lambda \in \Omega^0(M)$ .

If  $\omega$  is a differential form on  $M$ , we adopt the notation  $\text{Sing}(\omega) = \{x \in M : \omega_x = 0\}$ . Let  $x \in \text{Sing}(f^*\theta_N)$ . Then  $\theta_{N, f(x)} \circ (d_x f) = 0$ . On the other hand  $d_x f : T_x(M) \rightarrow T_{f(x)}(N)$  is on-to. Thus  $T_{f(x)}(N) \subseteq \text{Ker}(\theta_{N, f(x)}) = H(N)_{f(x)}$ , a contradiction. Thus:

$$(14) \quad \text{Sing}(f^*\theta_N) = \emptyset.$$

Let  $X \in H(M)$ . Then (as  $f$  is a CR map)  $f_*X \in H(N)$ . Hence:

$$(f^*\theta_N)X = \theta_N(f_*X) = 0$$

that is  $X \in \text{Ker}(f^*\theta_N)$ . Let  $x \in M$  and  $d = \dim_{\mathbf{R}} \text{Ker}(f^*\theta_N)_x$ . Then  $2N \leq d \leq 2N + 1$ . If  $d = 2N + 1$  then  $x \in \text{Sing}(f^*\theta_N)$ , a contradiction. It remains that  $d = 2N$ , that is:

$$(15) \quad H(M) = \text{Ker}(f^*\theta_N).$$

By (14)-(15) it follows that  $f^*\theta_N$  is a pseudohermitian structure on  $M$  (and Step 1 is proved).

STEP 2. The Levi form  $G_M$  is degenerate on the vertical bundle  $P = \text{Ker}(f_*)$ .

Let  $X \in P$ . By Step 1 we have:

$$\theta_M X = \frac{1}{\lambda}(f^*\theta_N)X = \frac{1}{\lambda}\theta_N(f_*X) = 0$$

so that  $P \subseteq H(M)$ . Then Step 2 follows from the calculation:

$$\begin{aligned} G_M(X, Y) &= (d\theta_M)(X, J_M Y) = d\left(\frac{1}{\lambda}f^*\theta_N\right)(X, J_M Y) = \\ &= \left(\left(d\frac{1}{\lambda}\right) \wedge f^*\theta_N + \frac{1}{\lambda}d(f^*\theta_N)\right)(X, J_M Y) = \\ &= \frac{1}{\lambda}(d\theta_N)(f_*X, f_*J_M Y) = 0. \end{aligned}$$

Proposition 1 is proved. By our Theorems 1 and 4 the vertical bundle  $P = \text{Ker}(f_*)$  of  $f$  is the tangent bundle of a CR foliation  $\mathcal{F}$  of  $M$  whose transverse geometry is that of  $N$ . Also  $\mathcal{F}$  is nondegenerate if so is  $(N, T_{1,0}(N))$ . Its transverse Levi distribution is given by  $H = H(M)/P$ . One may say loosely that by passing to the quotient  $H(M)/P$  one 'factors out' the degeneracy.

In general, let  $(M, T_{1,0}(M))$  be a CR manifold (of hypersurface type) of CR dimension  $n + k$ . Given a pseudohermitian structure  $\theta_M$  set:

$$P_{M,x} = \{v \in H(M)_x : (d\theta_M)_x(v, w) = 0, \quad \forall w \in H(M)_x\}$$

for any  $x \in M$ . Then  $P_M$  is involutive and  $J_M$ -invariant (cf. e.g. [7]). Thus (by applying both the Frobenius and Newlander-Nirenberg theorems) if  $\dim_{\mathbf{R}} P_{M,x} = 2k = \text{const.}$  then  $M$  carries a foliation  $\mathcal{F}$  by complex  $k$ -manifolds (with  $T(\mathcal{F}) = P_M$ ). This is referred to as the *Levi foliation* of  $M$ . Set  $H = H(M)/P_M \subset Q$ . If  $X \in \Gamma^\infty(P_M)$  and  $s \in \Gamma^\infty(H)$  then:

$$0 = (d\theta_M)(X, Y_s) = -\frac{1}{2}\theta_M([X, Y_s])$$

(for some  $Y_s \in \Gamma^\infty(H(M))$  with  $\pi Y_s = s$ ) yields  $[X, Y_s] \in \Gamma^\infty(H(M))$  and thus:

$$\nabla_X^0 s = \pi[X, Y_s] \in \Gamma^\infty(H)$$

*i.e.*  $H$  is parallel with respect to the Bott connection of  $\mathcal{F}$ . Define  $J : H \rightarrow H$  by setting:

$$(16) \quad Js = \pi J_M Y_s$$

for any  $s \in \Gamma^\infty(H)$ . As  $J_M$  descends to a complex structure in  $P_M$  we may extend it (by  $\mathbf{C}$ -linearity) to  $P_M \otimes \mathbf{C}$  and let  $P_M^{1,0} = \text{Eigen}(i)$  be the eigenbundle corresponding to the eigenvalue  $i$ . Similarly, extend  $J$  to  $H \otimes \mathbf{C}$  and set  $\mathcal{H} = \text{Eigen}(i)$ .

PROPOSITION 2.  $\mathcal{H} = T_{1,0}(M)/P_M^{1,0}$

PROOF. Let  $\sigma \in \mathcal{H}$  and  $Y_\sigma \in H(M) \otimes \mathbf{C}$  with  $\pi Y_\sigma = \sigma$ . Then  $J\sigma = i\sigma$  yields:

$$(17) \quad J_M Y_\sigma - i Y_\sigma = Z$$

for some  $Z \in P_M \otimes \mathbf{C}$ . By applying  $J_M$  to (17) one gets  $J_M Z = -iZ$ , that is  $Z \in P_M^{0,1}$  (we set  $P_M^{0,1} = \overline{P_M^{1,0}}$ ). Let us define  $W \in H(M) \otimes \mathbf{C}$  by setting:

$$(18) \quad W = Y_\sigma + \frac{i}{2}(\overline{Z} - Z).$$

Then  $W \in T_{1,0}(M)$  and (by (18))  $\pi W = \sigma$ , *i.e.*  $\sigma \in T_{1,0}(M)/P_M^{1,0}$ .

As  $P_M \rfloor \theta_M = 0$  there is a unique  $\theta \in \Gamma^\infty(Q^*)$  so that  $\theta \circ \pi = \theta_M$ . We have:

THEOREM 6. Assume  $\mathcal{L}_X J_M = 0$  and  $\mathcal{L}_X \theta_M = 0$  for any  $X \in \Gamma^\infty(P_M)$ . Then i)  $\mathcal{H}$  is a transverse almost CR structure, and ii)  $\theta$  is a transverse pseudohermitian structure.



REMARK 1. 1) The Lie derivative  $\mathcal{L}_X J_M$  is well defined because  $[X, Y] \in \Gamma^\infty(H(M))$  for any  $X \in \Gamma^\infty(P_M)$  and  $Y \in \Gamma^\infty(H(M))$ .  
 2) Due to  $(\mathcal{L}_X \theta_M)Y = X(\theta_M Y) - \theta_M([X, Y]) = 0$  for any  $Y \in \Gamma^\infty(H(M))$ , the hypothesis  $\mathcal{L}_X \theta_M = 0$  in Theorem 6 may be weakened to

$$F \lrcorner \mathcal{L}_X \theta_M = 0$$

for some complement  $F$  of  $H(M)$  in  $T(M)$ .

3) Recall that any CR-straightenable complex foliation is a CR foliation. Thus (by a result of [14]) if  $M$  is realized in  $\mathbf{C}^{N+1}$  then a sufficient condition for the integrability of  $\mathcal{H}$  in Theorem 6 is that the Gauss map  $x \in M \mapsto P_{M,x} \in G(k, N+1)$  is a CR map. Here  $G(k, N+1)$  denotes the (complex) Grassmann manifold of all complex  $k$ -subspaces of  $\mathbf{C}^{N+1}$ .

To prove Theorem 6 let  $s \in \Gamma^\infty(H)$ . Then:

$$Y_{J_s} - J_M Y_s = V$$

for some  $V \in \Gamma^\infty(P_M)$ . Thus  $[X, V] \in \Gamma^\infty(P_M)$  and we may conduct the calculation:

$$(\mathcal{L}_X J)s = \pi\{[X, Y_{J_s}] - J_M[X, Y_s]\} = \pi(\mathcal{L}_X J_M)Y_s = 0$$

Clearly  $H = \text{Ker}(\theta)$ . Also  $\mathcal{L}_X \theta = 0$  iff  $\mathcal{L}_X \theta_M = 0$ , Q.E.D.

REMARK 2. 1) Let  $f : M \rightarrow N$  be a CR submersion and  $P = \text{Ker}(f_*)$ . Then:

$$(19) \quad P \subseteq P_M$$

Note that  $d_x f : H(M)_x \rightarrow H(N)_{f(x)}$  is on-to. Indeed, let  $v \in H(N)_{f(x)}$ . As  $f$  is a submersion, there is  $u \in T_x(M)$  so that  $(d_x f)u = v$ . Then:

$$0 = \theta_{N, f(x)}(v) = \theta_{N, f(x)}(d_x f)u = (f^* \theta_N)_x(u) = \lambda(x) \theta_{M,x}(u)$$

yields  $u \in H(M)_x$ , Q.E.D. Let  $X \in P_M$ . Then  $f_* X \in H(N)$  and:

$$\begin{aligned} (d\theta_N)(f_* X, H(N)) &= (d\theta_N)(f_* X, f_* H(M)) = \\ &= (d\lambda \wedge \theta_M + \lambda d\theta_M)(X, H(M)) = 0. \end{aligned}$$

Consequently:

$$f_*P_M \subseteq P_N$$

Assume  $(N, T_{1,0}(N))$  to be nondegenerate. Then  $P_N = \{0\}$ . Hence  $P_M \subseteq \text{Ker}(f_*) = P$ . Thus, if  $N$  is nondegenerate, then (by (19))  $P$  is the Levi foliation of  $M$ .

2) In practice, the hypothesis of our Theorem 6 often hold good. For instance, let  $(N, T_{1,0}(N))$  be a nondegenerate CR manifold (of hypersurface type). The product manifold  $M = N \times \mathbf{C}^k$  carries the complex foliation  $\mathcal{F}$  whose leaves are  $\{y\} \times \mathbf{C}^k$ ,  $y \in N$ . It is easy to see that  $M$  is a CR manifold and  $\mathcal{F}$  its Levi foliation. Indeed, if  $x = (y, \zeta) \in M$  let  $\phi_\zeta : N \rightarrow M$  and  $\psi_y : \mathbf{C}^k \rightarrow M$  given by  $\phi_\zeta(y) = \psi_y(\zeta) = x$ . Then:

$$(d_y\phi_\zeta)T_{1,0}(N)_y \oplus (d_\zeta\psi_y)T^{1,0}(\mathbf{C}^k)_\zeta, \quad (y, \zeta) \in M$$

is a CR structure on  $M$  (and  $\phi_\zeta$  is a CR immersion). Also, the natural projection  $f : M \rightarrow N$  is a CR submersion and  $\mathcal{F}$  is tangent to  $P_M = \text{Ker}(f_*)$ . Then (by Theorem 1)  $\mathcal{F}$  is a CR foliation of  $M$ . In particular  $(\mathcal{L}_X J_M)Y = (\mathcal{L}_X J)\pi Y = 0$  for any  $X \in P_M$  and  $Y \in T(M)$ . Next, let  $\theta_M = f^*\theta_N$  (where  $\theta_N$  is a pseudohermitian structure on  $N$ ) and  $\theta \in \Gamma^\infty(Q^*)$  given by  $\theta \circ \pi = \theta_M$ . Then (by Theorem 4)  $(\mathcal{L}_X \theta_M)Y = (\mathcal{L}_X \theta)\pi Y = 0$ . Clearly  $\mathcal{F}$  is a CR-straightenable complex foliation of  $M$ .

A *realized* CR manifold is a real submanifold  $M \subset \mathbf{C}^{N+1}$  whose CR structure is given by  $T_{1,0}(M) = T^{1,0}(\mathbf{C}^{N+1}) \cap [T(M) \otimes \mathbf{C}]$ . A CR manifold is *realizable* if it is CR diffeomorphic to a realized one. Assume that the Levi form of  $M$  has a nontrivial kernel  $P_M$  of constant dimension and the transverse Levi form is positive definite (*i.e.* one may factor out the degeneracy toward a strictly pseudoconvex transverse structure). The (local) embeddability problem for degenerate CR manifolds is open (in the  $C^\infty$  category). However, in the light of our Theorem 11 it is tempting to conjecture that “foliated” versions of known embeddability results (*e.g.* any strictly pseudoconvex CR manifold  $M$  is locally realizable if  $M$  is compact (cf. [3]) or if  $M$  is noncompact yet of CR dimension  $\geq 3$  (cf. [12] and [1])) should hold for strictly pseudoconvex transverse CR structures (occurring on degenerate CR manifolds).

## 5 – The transverse CR complex

Let  $(\mathcal{F}, \mathcal{H})$  be a CR foliation. We consider the differential operator:

$$\bar{\partial}_Q : \Gamma_B^\infty(\Lambda^k \bar{\mathcal{H}}^*) \rightarrow \Gamma_B^\infty(\Lambda^{k+1} \bar{\mathcal{H}}^*)$$

defined by the following considerations. Let  $\omega \in \Gamma_B^\infty(\Lambda^k \bar{\mathcal{H}}^*)$  and  $\alpha_j \in \Gamma^\infty(\bar{\mathcal{H}})$ ,  $1 \leq j \leq k+1$ . Let  $Y_j \in \Gamma^\infty(T(M) \otimes \mathbf{C})$  so that  $\pi Y_j = \alpha_j$ ,  $1 \leq j \leq k+1$ . Finally, set:

$$(20) \quad \begin{aligned} (\bar{\partial}_Q \omega)(\alpha_1, \dots, \alpha_{k+1}) &= \sum_{j=1}^{k+1} (-1)^{j+1} Y_j(\omega(\alpha_1, \dots, \hat{\alpha}_j, \dots, \alpha_{k+1})) + \\ &+ \sum_{1 \leq i < j \leq k+1} (-1)^{i+j} \omega(\pi[Y_i, Y_j], \alpha_1, \dots, \hat{\alpha}_i, \dots, \hat{\alpha}_j, \dots, \alpha_{k+1}) \end{aligned}$$

The definition (20) does not depend upon the choice of representatives  $Y_j$  of  $\alpha_j$ .

**THEOREM 7.** *Let  $(\mathcal{F}, \mathcal{H})$  be a CR foliation of  $M$ . Then:*

$$(21) \quad \Omega_B^0(\mathcal{F}) \otimes \mathbf{C} \xrightarrow{\bar{\partial}_Q} \Gamma_B^\infty(\bar{\mathcal{H}}^*) \xrightarrow{\bar{\partial}_Q} \Gamma_B^\infty(\Lambda^2 \bar{\mathcal{H}}^*) \xrightarrow{\bar{\partial}_Q} \dots$$

*is a cochain complex, i.e.*

$$(22) \quad \bar{\partial}_Q \circ \bar{\partial}_Q = 0$$

This follows from (20) and the integrability property of  $\mathcal{H}$ . We refer to (21) as the *transverse Cauchy-Riemann complex* of  $(M, \mathcal{F}, \mathcal{H})$ .

Let  $\mathcal{F}$  be nondegenerate. Let  $\theta$  be a transverse pseudohermitian structure. Let  $\xi$  be the corresponding characteristic direction. Then each  $\eta \in \Gamma_B^\infty(\Lambda^k Q^* \otimes \mathbf{C})$  with  $\mathcal{H} \lrcorner \eta = 0$  and  $\xi \lrcorner \eta = 0$  may be regarded as an element of  $\Gamma_B^\infty(\Lambda^k \bar{\mathcal{H}}^*)$ , and conversely. Then  $\eta$  (respectively  $\Phi_k \eta$ ) is referred to as a *transverse  $(0, k)$ -form* (respectively as a *basic  $(0, k)$ -form*) on  $M$ . In this pseudohermitian setting the complex (21) may be redefined by declaring  $\bar{\partial}_Q \eta$  to be the unique transverse  $(0, k+1)$ -form which coincides with  $d_Q \eta$  on  $\bar{\mathcal{H}} \otimes \dots \otimes \bar{\mathcal{H}}$  ( $k+1$  factors). By taking into account Proposition 3.11 in [10], vol. I, p. 36, it follows that the

two definitions of  $\bar{\partial}_Q$  are equivalent. Let  $H_{\bar{\partial}_Q}^k(\mathcal{F}) = H_{\bar{\partial}_Q}^k(M, \mathcal{F})$  be the cohomology groups of the complex (21). These are referred to as the *transverse Kohn-Rossi cohomology groups* of  $(M, \mathcal{F}, \mathcal{H})$ .

**THEOREM 8.** *Let  $\mathcal{F}$  be the simple CR foliation on  $M$  defined by a  $C^\infty$  submersion  $f : M \rightarrow N$  on-to a nondegenerate CR manifold  $N$  (of hypersurface type). Then the transverse Kohn-Rossi cohomology groups of  $(M, \mathcal{F})$  are isomorphic to the Kohn-Rossi cohomology groups of the base manifold  $N$ .*

Let  $\theta_N$  be a fixed pseudohermitian structure on  $N$  and  $\xi_N$  the characteristic direction of  $(N, \theta_N)$ . Let  $\alpha$  be a  $(0, k)$ -form on  $N$ , i.e.  $\alpha \in \Gamma^\infty(\Lambda^k T^*(N) \otimes \mathbf{C})$ ,  $T_{1,0}(N) \lrcorner \alpha = 0$ , and  $\xi_N \lrcorner \alpha = 0$ . Pullbacks of forms on  $N$  via  $f$  are basic. Thus  $f^* \alpha \in \Omega_B^k(\mathcal{F}) \otimes \mathbf{C}$ . Set  $\Psi_k = \Phi_k^{-1}$  and:

$$\alpha_f = \Psi_k f^* \alpha.$$

If  $\sigma \in \Gamma^\infty(\mathcal{H})$  then  $f_* Y_\sigma \in \Gamma^\infty(T_{1,0}(N))$  (for some  $Y_\sigma \in \mathcal{X}(M)$  with  $\pi Y_\sigma = \sigma$ ) and:

$$\sigma \lrcorner \alpha_f = \Psi_{k-1} f^* [(f_* Y_\sigma) \lrcorner \alpha] = 0.$$

Similarly  $f_* Y_\xi = \xi_N \circ f$  yields:

$$\xi \lrcorner \alpha_f = \Psi_{k-1} f^* [(f_* Y_\xi) \lrcorner \alpha] = \Psi_{k-1} f^* (\xi_N \lrcorner \alpha) = 0$$

where  $\xi \in \Gamma_B^\infty(Q)$  is the characteristic direction of  $(M, \mathcal{F}, \theta)$  and  $\theta \circ \pi = f^* \theta_N$ . Thus  $\alpha_f \in \Gamma_B^\infty(\Lambda^k \bar{\mathcal{H}}^*)$ . Assume that  $\bar{\partial}_N \alpha = 0$  where:

$$\bar{\partial}_N : \Gamma^\infty(\Lambda^k T_{0,1}(N)^*) \rightarrow \Gamma^\infty(\Lambda^{k+1} T_{0,1}(N)^*)$$

is the tangential Cauchy-Riemann operator of  $(N, T_{1,0}(N))$ . Note that:

$$d_Q \alpha_f = (d\alpha)_f.$$

Thus:

$$(\bar{\partial}_Q \alpha_f)(\sigma_1, \dots, \sigma_{k+1}) = (\bar{\partial}_N \alpha)(f_* Y_{\sigma_1}, \dots, f_* Y_{\sigma_{k+1}}) = 0$$

for any  $\sigma_j \in \Gamma^\infty(\overline{\mathcal{H}})$ . Let  $H_{KR}^{0,k}(N)$  be the Kohn-Rossi cohomology groups (of the tangential Cauchy-Riemann complex of  $N$ , cf. J.J. KOHN [11], p. 83). Define  $\phi : H_{KR}^{0,k}(N) \rightarrow H_{\partial_Q}^k(\mathcal{F})$  by setting  $\phi([\alpha]) = [\alpha_f]$ . To see that  $\phi([\alpha])$  is well defined let  $\alpha' = \alpha + \overline{\partial}_N \beta$  for some  $\beta \in \Gamma^\infty(\Lambda^{k-1}T_{0,1}(N)^*)$ . Then  $\alpha'_f = \alpha_f + \Psi_k f^* \overline{\partial}_N \beta = \alpha_f + \overline{\partial}_Q \beta_f$ . Already  $\alpha \mapsto \alpha_f$  is on-to (indeed  $f^* \alpha = \Phi_k \omega$  with  $\omega \in \Gamma_B^\infty(\Lambda^k \overline{\mathcal{H}}^*)$  may be solved for  $\alpha$  as follows. Set  $\alpha(Z_1, \dots, Z_k) = \omega(\pi Y_1, \dots, \pi Y_k)$  for any  $Z_j \in \Gamma^\infty(T_{0,1}(N))$  and some  $Y_j \in \mathcal{X}(M) \otimes \mathbf{C}$  with  $f_* Y_j = Z_j$ . Then  $\alpha(Z_1, \dots, Z_k)$  is well defined because  $X \lrcorner \Phi_k \omega = 0$  for each  $X \in \Gamma^\infty(P)$ ). To check that  $\phi$  is a monomorphism assume that  $\alpha_f = \overline{\partial}_Q \eta$  for some  $\eta \in \Gamma_B^\infty(\Lambda^{k-1} \overline{\mathcal{H}}^*)$ . As  $\Omega_B^{k-1}(\mathcal{F}) \approx \Gamma^\infty(\Lambda^{k-1}T^*(N))$  there is a unique  $\gamma \in \Gamma^\infty(\Lambda^{k-1}T^*(N) \otimes \mathbf{C})$  so that  $f^* \gamma = \Phi_{k-1} \eta$  and therefore  $\gamma$  is a  $(0, k-1)$ -form on  $N$  and  $\alpha = \overline{\partial}_N \gamma$ , Q.E.D.

In analogy with the study of the basic cohomology of foliated manifolds, and encouraged by the substantial progress there (cf. *e.g.* A. EL-KACIMI and G. HECTOR [6]) one may raise several questions related to the cohomology of the complex (21) (*e.g.* existence of spectral sequences abutting on  $H_{\partial_Q}^*(\mathcal{F})$ , finitude and vanishing theorems, etc.). However, we expect a lack of relationship between  $H_{\partial_Q}^*(\mathcal{F})$  and the basic cohomology of  $(M, \mathcal{F})$  (as a foliated counterpart of the - not sufficiently understood as yet - lack of relationship between the Kohn-Rossi and De Rham cohomologies of a CR manifold).

Let  $(M, T_{1,0}(M))$  be a CR manifold and  $\text{CR}(M)$  the set of all CR functions on  $M$  (*i.e.*  $\lambda \in \text{CR}(M)$  iff  $\overline{\partial}_M \lambda = 0$ ). We establish the following:

**THEOREM 9.** *Let  $(M, T_{1,0}(M))$  be a CR manifold whose Levi form has a nontrivial kernel  $P_M$  with  $\dim_{\mathbf{R}} P_{M,x} = 2k$ ,  $x \in M$ , and let  $\mathcal{F}$  be the foliation of  $M$  by complex  $k$ -manifolds tangent to  $P_M$ . Assume that  $\mathcal{L}_X J_M = 0$ , for any  $X \in \Gamma^\infty(P_M)$ , and that  $\mathcal{H}$  is integrable. Then each  $\lambda \in H_{\partial_Q}^0(\mathcal{F})$  is a basic CR function on  $M$ . Also, there is a natural injection of  $H_{\partial_Q}^1(\mathcal{F})$  into the first Kohn-Rossi cohomology group of  $M$ .*

As  $\mathcal{L}_X J_M = 0$  it follows (by Theorem 6) that  $\mathcal{H}$  is a transverse almost CR structure. Next  $\mathcal{H}$  is assumed to be integrable, so that  $\mathcal{F}$  is a CR foliation. Let  $\lambda \in \Omega_B^0(\mathcal{F}) \otimes \mathbf{C}$  with  $\overline{\partial}_Q \lambda = 0$ . As (by (20))  $\overline{\partial}_M \lambda = (\overline{\partial}_Q \lambda) \circ \pi$

it follows that:

$$H_{\bar{\partial}_Q}^0(\mathcal{F}) = [\Omega_B^0(\mathcal{F}) \otimes \mathbf{C}] \cap \text{CR}(M)$$

Next, let  $\eta$  be a transverse  $(0, 1)$ -form with  $\bar{\partial}_Q \eta = 0$ . Let  $\tilde{\eta}$  be given by (in view of Proposition 2):

$$\tilde{\eta}(Z) = \eta(\pi Z)$$

for any  $Z \in T_{0,1}(M)$ . Then  $(\bar{\partial}_M \tilde{\eta})(Z, W) = (\bar{\partial}_Q \eta)(\pi Z, \pi W)$  for any  $Z, W \in T_{0,1}(M)$ . The map  $H_{\bar{\partial}_Q}^1(\mathcal{F}) \rightarrow H_{KR}^{0,1}(M)$  defined by  $[\eta] \mapsto [\tilde{\eta}]$  is one-to-one. Indeed, if  $[\tilde{\eta}] = 0$  there is  $\lambda \in \Omega^0(M) \otimes \mathbf{C}$  so that  $\tilde{\eta} = \bar{\partial}_M \lambda$ . For any  $X \in P_{0,1}$  we see that  $0 = X \lrcorner \tilde{\eta} = X(\lambda)$  so that  $\lambda$  is basic. Finally  $\bar{\partial}_Q \lambda = \eta$ , Q.E.D.

The authors hope that a theory of CR foliations may lead to a better understanding of degenerate CR manifolds.

## 6 – The transverse Webster connection

Let  $(\mathcal{F}, \mathcal{H})$  be a nondegenerate CR foliation endowed with the transverse pseudohermitian structure  $\theta$ . Let  $\xi$  be the characteristic direction of  $(\mathcal{F}, \theta)$ . Let  $H$  be the transverse Levi distribution. Let us extend its complex structure  $J : H \rightarrow H$  to a bundle morphism  $J : Q \rightarrow Q$  by requesting  $J\xi = 0$ . If  $g_\theta$  is the transverse Webster metric set:

$$g_{\theta, T}(Y, Z) = g_\theta(\pi Y, \pi Z)$$

for any  $Y, Z \in \mathcal{X}(M)$ . Let  $g$  be a semi-Riemannian (*i.e.* nondegenerate and of constant index) metric on  $M$ . Assume  $g$  is nondegenerate on  $P$ . Then  $T(M) = P \oplus P^\perp$  where  $P^\perp$  is the orthogonal complement of  $P$  in  $T(M)$  with respect to  $g$ . Let  $\sigma_g : Q \rightarrow P^\perp$  be the natural isomorphism. Then  $\pi(\sigma_g s) = s$  for any  $s \in Q$ . Also  $g$  induces a bundle metric  $g_Q$  in  $Q$  given by  $g_Q(s, r) = g(\sigma_g s, \sigma_g r)$  for any  $s, r \in Q$ . Then  $g$  is referred to as *bundle-like* if  $g_Q$  is holonomy invariant (*i.e.*  $\mathcal{L}_X g_Q = 0$  for any  $X \in \Gamma^\infty(P)$ ). Also  $g_T(Y, Z) = g_Q(\pi Y, \pi Z)$  is the *associated transverse metric* of  $g$ . By slightly generalizing Proposition 3.3 in [13], p. 80, we see that there is a

bundle-like semi-Riemannian metric  $g$  on  $M$  whose associated transverse metric is precisely  $g_{\theta, T}$ . Indeed, let  $h$  be just any Riemannian metric on  $M$  and  $P_h$  the orthogonal complement of  $P$  in  $T(M)$  with respect to  $h$ . If  $Y \in \mathcal{X}(M)$  then  $Y_P$  and  $Y_{P_h}$  denote respectively its components with respect to the direct sum decomposition  $T(M) = P \oplus P_h$ . Then we define  $g$  by setting:

$$(23) \quad g(Y, Z) = h(Y_P, Z_P) + g_{\theta, T}(Y_{P_h}, Z_{P_h})$$

for any  $Y, Z \in \mathcal{X}(M)$ . If  $L_\theta$  has signature  $(r, s)$ ,  $r + s = n$ , then  $g_\theta$  has signature  $(2r + 1, s)$ . Hence  $g$  (given by (23)) has signature  $(2r + p + 1, 2s)$  where  $p = \dim_{\mathbf{R}} P_x$ ,  $x \in M$ .

Let  $\nabla$  be a connection in  $Q \rightarrow M$  and  $T_\nabla$  its torsion tensor field (i.e.  $T_\nabla(Y, Z) = \nabla_Y \pi Z - \nabla_Z \pi Y - \pi[Y, Z]$  for any  $Y, Z \in \mathcal{X}(M)$ ). If  $\nabla$  is adapted (i.e.  $\nabla_X = \nabla_X^0$  for any  $X \in \Gamma^\infty(P)$ ) then we define  $\text{Tor}$  and  $\tau : Q \rightarrow Q$  by setting:

$$\begin{aligned} \text{Tor}(s, t) &= T_\nabla(Y_s, Y_t) \\ \tau(s) &= \text{Tor}(\xi, s) \end{aligned}$$

for any  $s, t \in \Gamma^\infty(Q)$  and some  $Y_s, Y_t \in \mathcal{X}(M)$  with  $\pi Y_s = s$ ,  $\pi Y_t = t$ . It is easy to check that  $\text{Tor}(s, t)$  is well defined. Indeed, for any  $X, X' \in \Gamma^\infty(P)$  we have  $T_\nabla(X, X') = 0$  due to the integrability of  $P$  and  $T_\nabla(Y_s, X) = 0$  because  $\nabla$  is adapted. We may state

**THEOREM 10.** *Let  $(\mathcal{F}, \mathcal{H})$  be a nondegenerate CR foliation and  $\theta$  a fixed transverse pseudohermitian structure. Then there is a unique adapted connection  $\nabla$  in  $Q$  satisfying the following axioms:*

- i)  $H$  is parallel with respect to  $\nabla$
- ii)  $\nabla J = 0$ ,  $\nabla g_\theta = 0$
- iii)  $\tau J + J\tau = 0$
- iv)  $\forall \alpha, \beta \in \Gamma^\infty(\mathcal{H}) : \text{Tor}(\alpha, \beta) = 0$ ,  $\text{Tor}(\alpha, \bar{\beta}) = 2iL_\theta(\alpha, \bar{\beta})\xi$ .

Let  $g$  be a bundle-like semi-Riemannian metric on  $M$  whose associated transverse metric is  $g_{\theta, T}$ . To establish uniqueness, let  $\nabla$  be an adapted connection in  $Q$  obeying to i)-iv). By i) and  $\nabla J = 0$  it follows that:

$$\nabla_X \Gamma^\infty(\mathcal{H}) \subset \Gamma^\infty(\mathcal{H}), \quad \nabla_X \Gamma^\infty(\bar{\mathcal{H}}) \subset \Gamma^\infty(\bar{\mathcal{H}})$$

for any  $X \in \mathcal{X}(M)$ . Let  $\rho_+ : Q \otimes \mathbf{C} \rightarrow \mathcal{H}$  and  $\rho_- : Q \otimes \mathbf{C} \rightarrow \overline{\mathcal{H}}$  be the natural projections associated with the direct sum decomposition:

$$Q \otimes \mathbf{C} = \mathcal{H} \oplus \overline{\mathcal{H}} \oplus \mathbf{C}\xi.$$

By iv) we have:

$$\text{Tor}(\overline{\alpha}, \beta) = -2iL_\theta(\beta, \overline{\alpha})\xi$$

or:

$$\nabla_{\sigma_g \overline{\alpha}} \beta - \nabla_{\sigma_g \beta} \overline{\alpha} - \pi[\sigma_g \overline{\alpha}, \sigma_g \beta] = -2iL_\theta(\beta, \overline{\alpha})\xi$$

which yields:

$$(24) \quad \nabla_{\sigma_g \overline{\alpha}} \beta = \rho_+ \pi[\sigma_g \overline{\alpha}, \sigma_g \beta]$$

for any  $\alpha, \beta \in \Gamma^\infty(\mathcal{H})$ . Let  $\omega$  be given by:

$$\omega = -d_Q \theta.$$

The axiom  $\nabla g_\theta = 0$  may be written:

$$X(g_\theta(s, r)) = g_\theta(\nabla_X s, r) + g_\theta(s, \nabla_X r)$$

for any  $X \in T(M)$ ,  $s, r \in Q$ . In particular for  $s = \xi$  one has:

$$(25) \quad (\nabla_X \theta)r = g_\theta(\nabla_X \xi, r).$$

If  $r \in H$  then (25) gives  $g_\theta(\nabla_X \xi, r) = 0$  or  $\pi_H \nabla_X \xi = 0$  (where  $\pi_H : Q \rightarrow H$  is the natural projection associated with (13)). Similarly, if  $r = \xi$  then (25) becomes  $\theta(\nabla_X \xi) = 0$ . Therefore:

$$(26) \quad \nabla \xi = 0.$$

Note that:

$$(27) \quad \nabla \omega = 0$$

as a consequence of ii) and  $\omega(\alpha, \overline{\beta}) = -ig_\theta(\alpha, \overline{\beta})$ . Let us take the complex conjugate of (24) and use (27) so that to obtain:

$$(28) \quad \omega(\nabla_{\sigma_g \alpha} \beta, \overline{\gamma}) = (\sigma_g \alpha)(\omega(\beta, \overline{\gamma})) - \omega(\beta, \rho_- \pi[\sigma_g \alpha, \sigma_g \overline{\gamma}])$$



for any  $\alpha, \beta, \gamma \in \mathcal{H}$ . Set:

$$S(g) = \sigma_g(\xi).$$

Next, define  $T_{S(g)}$  by setting:

$$T_{S(g)} = -\frac{1}{2}J \circ (\mathcal{L}_{S(g)}J).$$

Then (by (26)):

$$(29) \quad \nabla_{S(g)}r = \mathcal{L}_{S(g)}r + \tau(r).$$

By ii) and (29) we have:

$$\begin{aligned} 0 &= (\nabla_{S(g)}J)r = \nabla_{S(g)}Jr - J\nabla_{S(g)}r = \\ &= \pi[S(g), \sigma_g Jr] + \tau(Jr) - J\pi[S(g), \sigma_g r] - J\tau(r) = \\ &= \mathcal{L}_{S(g)}Jr - J\mathcal{L}_{S(g)}r + (\tau J - J\tau)r \end{aligned}$$

so that (by iii)):

$$(30) \quad \tau = T_{S(g)}.$$

Summing up (by (24), (26), and (28)-(30)) we have:

$$(31) \quad \begin{cases} \nabla_{\sigma_g \bar{\alpha}} \beta &= \rho_+ \pi[\sigma_g \bar{\alpha}, \sigma_g \beta] \\ \nabla_{\sigma_g \alpha} \beta &= U_{\alpha\beta}(g) \\ \nabla_{S(g)} \beta &= \mathcal{L}_{S(g)}\beta + T_{S(g)}\beta \\ \nabla \xi &= 0 \end{cases}$$

where  $U_{\alpha\beta}(g) \in \mathcal{H}$  is defined by:

$$\omega(U_{\alpha\beta}(g), \bar{\gamma}) = (\sigma_g \alpha)(\omega(\beta, \bar{\gamma})) - \omega(\beta, \rho_- \pi[\sigma_g \alpha, \sigma_g \bar{\gamma}]).$$

The uniqueness statement in Theorem 10 is completely proved. To establish existence, let  $g$  be a bundle-like semi-Riemannian metric on  $M$  inducing the transverse Webster metric in  $Q$ . Let  $\nabla : \Gamma^\infty(T(M) \otimes \mathbf{C}) \times \Gamma^\infty(Q \otimes \mathbf{C}) \rightarrow \Gamma^\infty(Q \otimes \mathbf{C})$  be defined by (31) together with:

$$(32) \quad \begin{cases} \nabla_{\sigma_g \alpha} \bar{\beta} &= \overline{\nabla_{\sigma_g \bar{\alpha}} \beta} \\ \nabla_{\sigma_g \bar{\alpha}} \bar{\beta} &= \overline{\nabla_{\sigma_g \alpha} \beta} \\ \nabla_{S(g)} \bar{\beta} &= \overline{\nabla_{S(g)} \beta} \end{cases}$$

and:

$$\nabla_X = \nabla_X^0$$

for any  $\alpha, \beta \in \mathcal{H}$ ,  $X \in P$ . Before going any further, note that the definition (31) does not depend upon the choice of  $g$ . Indeed, if  $g'$  is another bundle-like semi-Riemannian metric inducing  $g_\theta$  then there is a natural bundle morphism:

$$\epsilon = \epsilon_{g, g'} : Q \rightarrow P$$

given by  $\epsilon_{g, g'}(s) = \sigma_{g'}(s) - \sigma_g(s)$  for any  $s \in Q$ . Then:

$$T_{S(g')} \beta = T_{S(g)} \beta + \frac{1}{2} J \{ \nabla_{\epsilon(J\beta)}^0 \xi - \nabla_{\epsilon(\xi)}^0 J\beta - J \nabla_{\epsilon(\beta)}^0 \xi + J \nabla_{\epsilon(\xi)}^0 \beta \}.$$

Using  $\nabla^0 J = 0$  (as  $\mathcal{H}$  is a transverse CR structure) and  $\xi \in \Gamma_B^\infty(Q)$  we obtain:

$$(33) \quad T_{S(g')} \beta = T_{S(g)} \beta$$

for any  $\beta \in \mathcal{H}$ . At this point we may use:

$$\begin{aligned} [\epsilon(\bar{\alpha}), \epsilon(\beta)] &\in P \otimes \mathbf{C} \\ \nabla_{\epsilon(\beta)}^0 \bar{\alpha} &\in \bar{\mathcal{H}} \end{aligned}$$

and the first identity in (31) to conduct the following calculation:

$$\nabla_{\sigma_{g'} \bar{\alpha}} \beta = \nabla_{\sigma_g \bar{\alpha}} \beta + \nabla_{\epsilon(\bar{\alpha})}^0 \beta = \rho_+ \pi [\sigma_g \bar{\alpha}, \sigma_g \beta] + \nabla_{\epsilon(\bar{\alpha})}^0 \beta = \rho_+ \pi [\sigma_{g'} \bar{\alpha}, \sigma_{g'} \beta].$$

Next, using  $\nabla^0 \omega = 0$  one may derive:

$$U_{\alpha\beta}(g') = U_{\alpha\beta}(g) + \nabla_{\epsilon(\alpha)}^0 \beta$$

so that (by the second identity in (31)):

$$\nabla_{\sigma_{g'} \alpha} \beta = \nabla_{\sigma_g \alpha} \beta + \nabla_{\epsilon(\alpha)}^0 \beta = U_{\alpha\beta}(g').$$

Finally:

$$\nabla_{S(g')} \beta = \nabla_{S(g)} \beta + \nabla_{\epsilon(\xi)}^0 \beta = \mathcal{L}_{S(g)} \beta + T_{S(g)} \beta + \nabla_{\epsilon(\xi)}^0 \beta = \mathcal{L}_{S(g')} \beta + T_{S(g')} \beta.$$

Taking into account (33) we adopt the notation  $T_\xi = T_{S(g)}$ . Note that:

$$(34) \quad J^2 = -I + \theta \otimes \xi.$$

Also:

$$(35) \quad \mathcal{L}_{S(g)}\theta = 0$$

as a consequence of:

$$(\mathcal{L}_{S(g)}\theta)r = S(g)(\theta r) - \theta(\pi[S(g), \sigma_g r]) = 2(d_Q\theta)(\xi, r) = 0.$$

It is straightforward that:

$$(36) \quad J \circ (\mathcal{L}_{S(g)}J) + (\mathcal{L}_{S(g)}J) \circ J = 0$$

$$(37) \quad \mathcal{L}_{S(g)}\xi = 0, \quad \theta(\mathcal{L}_{S(g)}r) = 0$$

for any  $r \in H$ . Next (36) yields:

$$(38) \quad JT_\xi + T_\xi J = 0.$$

Let  $\beta \in \mathcal{H}$ . We have (by (36) and (38)):

$$\begin{aligned} J(\mathcal{L}_{S(g)}\beta + T_\xi\beta) &= \mathcal{L}_{S(g)}J\beta - (\mathcal{L}_{S(g)}J)\beta + JT_\xi\beta = \\ &= \mathcal{L}_{S(g)}J\beta + (\mathcal{L}_{S(g)}J)J^2\beta + JT_\xi\beta = \\ &= \mathcal{L}_{S(g)}J\beta + JT_\xi\beta - J(\mathcal{L}_{S(g)}J)J\beta = i(\mathcal{L}_{S(g)}\beta + T_\xi\beta) \end{aligned}$$

that is:

$$(39) \quad \beta \in \mathcal{H} \implies \mathcal{L}_{S(g)}\beta + T_\xi\beta \in \mathcal{H}$$

We may conduct the following calculation:

$$\begin{aligned} 0 &= (d_Q^2\theta)(\xi, \beta, \bar{\gamma}) = -(d_Q\omega)(\xi, \beta, \bar{\gamma}) = \\ &= -\frac{1}{3}\{S(\omega(\beta, \bar{\gamma})) + \\ &\quad + (\sigma_g\beta)(\omega(\bar{\gamma}, \xi)) + (\sigma_g\bar{\gamma})(\omega(\xi, \beta)) - \omega(\pi[S(g), \sigma_g\beta], \bar{\gamma}) + \\ &\quad - \omega(\pi[\sigma_g\beta, \sigma_g\bar{\gamma}], \xi) - \omega(\pi[\sigma\bar{\gamma}, S(g)], \beta)\} = \\ &= -\frac{1}{3}\{S(g)(\omega(\beta, \bar{\gamma})) - \omega(\mathcal{L}_S\beta, \bar{\gamma}) + \omega(\mathcal{L}_{S(g)}\bar{\gamma}, \beta)\} \end{aligned}$$

which yields:

$$(40) \quad \mathcal{L}_{S(g)}\omega = 0.$$

Next, the following calculation:

$$\begin{aligned} \omega(T_\xi r, t) + \omega(r, T_\xi t) &= \frac{1}{2} \{ \omega((\mathcal{L}_{S(g)}J)r, Jt) + \omega(Jr, (\mathcal{L}_{S(g)}J)t) \} = \\ &= \frac{1}{2} \{ (\mathcal{L}_{S(g)}\omega)(r, t) - (\mathcal{L}_{S(g)}\omega)(Jr, Jt) \} \end{aligned}$$

leads (by (40)) to:

$$(41) \quad \omega(T_\xi r, t) + \omega(r, T_\xi t) = 0$$

for any  $r, t \in Q$ . Finally:

$$(d_Q\omega)(\alpha, \beta, \bar{\gamma}) = 0$$

yields:

$$(42) \quad \begin{aligned} (\sigma_g\alpha)(\omega(\beta, \bar{\gamma})) + (\sigma_g\beta)(\omega(\bar{\gamma}, \alpha)) + \omega(\bar{\gamma}, \pi[\sigma_g\alpha, \sigma_g\beta]) + \\ + \omega(\alpha, \rho_-\pi[\sigma_g\beta, \sigma_g\bar{\gamma}]) + \omega(\beta, \rho_-\pi[\sigma_g\bar{\gamma}, \sigma_g\alpha]) = 0 \end{aligned}$$

for any  $\alpha, \beta, \gamma \in \mathcal{H}$ . At this point one may check the axioms. Firstly (by (31)-(32)):

$$\begin{aligned} \text{Tor}(\alpha, \bar{\beta}) &= \nabla_{\sigma_g\alpha}\bar{\beta} - \nabla_{\sigma_g\bar{\beta}}\alpha - \pi[\sigma_g\alpha, \sigma_g\bar{\beta}] = \\ &= \rho_-\pi[\sigma_g\alpha, \sigma_g\bar{\beta}] - \rho_+\pi[\sigma_g\bar{\beta}, \sigma_g\alpha] - \pi[\sigma_g\alpha, \sigma_g\bar{\beta}] = \\ &= -\theta(\pi[\sigma_g\alpha, \sigma_g\bar{\beta}])\xi = 2(d_Q\theta)(\alpha, \bar{\beta})\xi = 2iL_\theta(\alpha, \bar{\beta})\xi \end{aligned}$$

LEMMA 2.

$$\alpha, \beta \in \mathcal{H} \implies \pi[\sigma_g\alpha, \sigma_g\beta] \in \mathcal{H}$$

As  $\text{Tor}(\alpha, \beta) = U_{\alpha\beta}(g) - U_{\beta\alpha}(g) - \pi[\sigma_g\alpha, \sigma_g\beta]$  it follows (by Lemma 2) that  $\text{Tor}(\alpha, \beta) \in \mathcal{H}$ . Then (by (42)) we have  $\omega(\text{Tor}(\alpha, \beta), \bar{\gamma}) = 0$  and axiom iv) is checked. Using (31) we have  $\text{Tor}(\xi, \alpha) = \nabla_{S(g)}\alpha - \pi[S(g), \sigma_g\alpha] = T_\xi\alpha$ . Noting also that:

$$(43) \quad T_\xi\xi = 0$$

we may conclude that:

$$(44) \quad \text{Tor}(\xi, r) = T_\xi r$$

for any  $r \in Q$ . Then (38) yields iii) in Theorem 10. Finally:

$$\begin{aligned} (\nabla_{\sigma_g\alpha}\omega)(\beta, \bar{\gamma}) &= (\sigma_g\alpha)(\omega(\beta, \bar{\gamma})) - \omega(\nabla_{\sigma_g\alpha}\beta, \bar{\gamma}) - \omega(\beta, \nabla_{\sigma_g\alpha}\bar{\gamma}) = \\ &= (\sigma_g\alpha)(\omega(\beta, \bar{\gamma})) - \omega(U_{\alpha\beta}(g), \bar{\gamma}) - \omega(\beta, \rho_- \pi[\sigma_g\alpha, \sigma_g\bar{\gamma}]) = 0 \end{aligned}$$

and:

$$\begin{aligned} (\nabla_{S(g)}\omega)(\beta, \bar{\gamma}) &= S(g)(\omega(\beta, \bar{\gamma})) - \omega(\nabla_{S(g)}\beta, \bar{\gamma}) - \omega(\beta, \nabla_{S(g)}\bar{\gamma}) = \\ &= S(g)(\omega(\beta, \bar{\gamma})) + \\ &\quad - \omega(\mathcal{L}_{S(g)}\beta + T_\xi\beta, \bar{\gamma}) - \omega(\beta, \mathcal{L}_{S(g)}\bar{\gamma} + T_\xi\bar{\gamma}) = \\ &= (\mathcal{L}_{S(g)}\omega)(\beta, \bar{\gamma}) - \{\omega(T_\xi\beta, \bar{\gamma}) + \omega(\beta, T_\xi\bar{\gamma})\} = 0 \end{aligned}$$

(by (40)-(41)) yield  $\nabla\omega = 0$  (which together with  $\nabla\xi = 0$  implies  $\nabla g_\theta = 0$ ).

It is known that with the Webster connection of a nondegenerate CR manifold  $M$  (of CR dimension  $n$ ) one may associate the pseudoconformal curvature tensor  $S_{\beta\rho\bar{\sigma}}^\alpha$  (cf. (3.8) in [15], p. 35) and  $S_{\beta\rho\bar{\sigma}}^\alpha = 0$  iff  $M$  is locally CR equivalent to the sphere  $S^{2n+1} \subset \mathbf{C}^{n+1}$  (cf. [4]). In view of our Theorem 10 it is tempting to look for a foliated analogue of this result.

## 7 – Embedding transverse CR structures

Let  $M$  be a  $m$ -dimensional  $C^\infty$  manifold,  $m = 2n + k + p$ , and  $(\mathcal{F}, \mathcal{H})$  a CR foliation of  $M$  of codimension  $q = 2n + k$  and transverse CR dimension  $n$ . An *embedding* of  $(M, \mathcal{H})$  is a  $C^\infty$  immersion:

$$\psi = (g_1, \dots, g_{n+k}, f_1, \dots, f_r) : M \rightarrow \mathbf{C}^N$$

with  $N = n + k + r$ ,  $r \geq p$ , so that the following conditions are satisfied:

- i)  $g_j \in \Omega_B^0(\mathcal{F}) \otimes \mathbf{C}$ ,
- ii)  $\bar{\partial}_Q g_j = 0$ ,  $1 \leq j \leq n + k$ .

Set  $g = (g_1, \dots, g_{n+k}) : M \rightarrow \mathbf{C}^{n+k}$ . As each  $g_j$  is basic the differential  $d_x g$  induces a map  $G_x : Q_x \rightarrow T_{g(x)}(\mathbf{C}^{n+k})$ . Finally, we request:

- iii) for any  $x \in M$ ,  $G_x$  is one-to-one.

The embedding  $\psi$  is *generic* if  $r = p$ . A pair  $(M, \mathcal{H})$  for which an embedding  $\psi$  exists is termed *embeddable*.

Let  $\psi : M \rightarrow \mathbf{C}^N$  be an embedding of  $(M, \mathcal{H})$ . Then:

$$(45) \quad G_x(\mathcal{H}_x) \subset T^{1,0}(\mathbf{C}^{n+k})_{g(x)}.$$

Here  $T^{1,0}(\mathbf{C}^{n+k})$  denotes the holomorphic tangent bundle of  $\mathbf{C}^{n+k}$ . To prove (45) let  $\{\zeta_\alpha\}$  be an admissible frame and  $T_\alpha$  some foliate complex vector fields with  $\pi T_\alpha = \zeta_\alpha$ . Then (by ii) in the definition of  $\psi$ ) we have  $T_{\bar{\alpha}}(g_j) = 0$  where  $T_{\bar{\alpha}} = \bar{T}_\alpha$ . Let  $(\zeta^1, \dots, \zeta^{n+k})$  be the natural complex coordinates on  $\mathbf{C}^{n+k}$ . Finally:

$$\begin{aligned} G_x \zeta_{\bar{\alpha}}(x) &= (d_x g) T_{\bar{\alpha}}(x) = \\ &= T_{\bar{\alpha}}(g_j) \frac{\partial}{\partial \zeta^j} + T_{\bar{\alpha}}(\bar{g}_j) \frac{\partial}{\partial \bar{\zeta}^j} \in T^{1,0}(\mathbf{C}^{n+k})_{g(x)}. \end{aligned}$$

If additionally  $q = 2n + 1$  then:

$$(46) \quad G_x \mathcal{H}_x = T^{0,1}(\mathbf{C}^{n+1})_{g(x)} \cap G_x(Q_x \otimes \mathbf{C})$$

for any  $x \in M$ . Indeed, let  $d$  be the complex dimension of the right hand term in (46). Then (by (45))  $n \leq d \leq n + 1$ . If  $d = n + 1$  then  $T^{1,0}(\mathbf{C}^{n+1})_{g(x)} \subset G_x(Q_x \otimes \mathbf{C})$  and (by taking complex conjugates) one gets a contradiction. Thus  $d = n$ , Q.E.D.

We call  $(M, \mathcal{H})$  *locally embeddable* if for any  $x \in M$  there is an open neighborhood  $U$  of  $x$  in  $M$  and an embedding  $\psi : U \rightarrow \mathbf{C}^N$  of  $(U, \mathcal{H}_U)$ , where  $\mathcal{H}_U$  denotes the portion of  $\mathcal{H}$  over  $U$ . We may state the following:

**THEOREM 11.** *Let  $M$  be a  $m$ -dimensional real analytic manifold,  $m = 2n + k + p$ , and  $(N, T_{1,0}(N))$  a real analytic CR manifold of type  $(n, k)$ . Let  $\mathcal{F}$  be a  $\Gamma_{\text{CR}}^\omega(N)$ -foliation of  $M$  of codimension  $q = 2n + k$  and  $\mathcal{H}$  its (real analytic) transverse CR structure. Then  $(M, \mathcal{H})$  is locally embeddable.*

Near  $x_0 \in M$ ,  $\mathcal{H}$  is generated by  $n$  real analytic sections  $\zeta_\alpha \in \Gamma_B^\omega(\mathcal{H})$  so that  $[\zeta_\alpha, \zeta_\beta] \in \Gamma^\omega(\mathcal{H})$ . Using a real analytic foliated coordinate system  $(y^1, \dots, y^q, x^1, \dots, x^p)$  for  $M$ , we may assume that  $M$  is an open subset of  $\mathbf{R}^{q+p}$  containing the origin and that  $\zeta_\alpha = \pi L_\alpha$  for some real analytic foliate vector field  $L_\alpha$  in  $T(\mathbf{R}^{q+p}) \otimes \mathbf{C}$ . We write:

$$\bar{L}_\alpha = \sum_{j=1}^q a_{\alpha j}(y, x) \frac{\partial}{\partial y^j}, \quad 1 \leq \alpha \leq n$$

for some  $C^\omega$  functions  $a_{\alpha j} : \mathbf{R}^{q+p} \rightarrow \mathbf{C}$ . As both  $\bar{L}_\alpha$  and  $\partial/\partial y^j$  are foliate,  $a_{\alpha j}$  are basic, *i.e.*  $a_{\alpha j} = a_{\alpha j}(y)$ . Since  $\{\zeta_{\bar{\alpha}}\}_{1 \leq \alpha \leq n}$  are linearly independent and  $\{\pi(\partial/\partial y^j)\}_{1 \leq j \leq q}$  a frame of  $Q$ , the matrix  $[a_{\alpha j}(0)]$  has complex rank  $n$ . By reordering the coordinates if needed, we may assume the  $n \times n$  block  $A = [a_{\alpha\beta}]$  is nonsingular in a neighborhood  $U$  of  $0 \in \mathbf{R}^{q+p}$ . Set  $y = (t, u)$ ,  $t \in \mathbf{R}^n$ ,  $u \in \mathbf{R}^{n+k}$ . By multiplying the coefficients of  $\{\zeta_{\bar{\alpha}}\}$  with  $A^{-1}$  we obtain another admissible frame of  $\bar{\mathcal{H}}$  (over  $U$ ) of the form  $\zeta_{\bar{\alpha}} = \pi \bar{L}_\alpha$  where:

$$\bar{L}_\alpha = \frac{\partial}{\partial t^\alpha} + \sum_{j=1}^{n+k} \lambda_{\alpha j}(t, u) \frac{\partial}{\partial u^j}, \quad 1 \leq \alpha \leq n$$

for some  $C^\omega$  functions  $\lambda_{\alpha j} : U \rightarrow \mathbf{C}$  (depending only on  $y = (t, u)$ ). The Lie product  $[\bar{L}_\alpha, \bar{L}_\beta]$  has no  $(\partial/\partial t^\alpha)$ -component. Also:

$$[\zeta_{\bar{\alpha}}, \zeta_{\bar{\beta}}] = \sum_{\gamma=1}^n C_{\alpha\beta}^\gamma \zeta_{\bar{\gamma}}$$

(because  $\{\zeta_{\bar{\alpha}}\}$  is admissible) so that:

$$(47) \quad [\zeta_{\bar{\alpha}}, \zeta_{\bar{\beta}}] = 0, \quad 1 \leq \alpha, \beta \leq n.$$

Let  $\zeta \in \mathbf{C}^n$ ,  $w \in \mathbf{C}^{n+k}$  and  $z \in \mathbf{C}^p$  be the complexifications of  $t \in \mathbf{R}^n$ ,  $u \in \mathbf{R}^{n+k}$  and  $x \in \mathbf{R}^p$ , respectively (so that  $t = \text{Re}(\zeta)$ ,  $u = \text{Re}(w)$  and  $x = \text{Re}(z)$ ). By replacing  $t$  and  $u$  by  $\zeta$  and  $w$  in the power series expansion of  $\lambda_{\alpha j}$  (about 0) we get functions  $\tilde{\lambda}_{\alpha j} : \mathbf{C}^{2n+k} \rightarrow \mathbf{C}$  which are holomorphic in a neighborhood  $\tilde{U}$  of  $0 \in \mathbf{C}^{2n+k}$  and  $\tilde{\lambda}_{\alpha j}(t, u) = \lambda_{\alpha j}(t, u)$ . Define:

$$\tilde{L}_\alpha = \frac{\partial}{\partial \zeta^\alpha} + \sum_{j=1}^{n+k} \tilde{\lambda}_{\alpha j}(\zeta, w) \frac{\partial}{\partial w^j}, \quad 1 \leq \alpha \leq n.$$

Note that:

$$(48) \quad \frac{\partial \tilde{\lambda}_{\alpha j}}{\partial \zeta^\alpha}(t, u) = \frac{\partial \lambda_{\alpha j}}{\partial t^\alpha}(t, u), \quad \frac{\partial \tilde{\lambda}_{\alpha j}}{\partial w^\ell}(t, u) = \frac{\partial \lambda_{\alpha j}}{\partial u^\ell}(t, u)$$

(because  $\tilde{\lambda}_{\alpha j}(\zeta, w)$  are holomorphic in  $\zeta$  and  $w$ ). At this point (47)-(48) and the identity theorem for holomorphic functions yield:

$$[\tilde{L}_\alpha, \tilde{L}_\beta] = 0$$

on  $\tilde{U} \subset \mathbf{C}^{2n+k}$ . Therefore we may apply Lemma 1 in A. BOGGESS, [2], p. 56, to conclude that there is a holomorphic map  $(W_1, \dots, W_{n+k}) : \mathbf{C}^n \times \mathbf{C}^{n+k} \rightarrow \mathbf{C}^{n+k}$  (defined on a possibly smaller neighborhood  $\tilde{U}$  of  $0 \in \mathbf{C}^{2n+k}$ ) so that:

$$\begin{aligned} \tilde{L}_\alpha W_j &= 0 \\ W_j(0, w) &= w_j, \quad (0, w) \in \tilde{U}. \end{aligned}$$

Similarly (*i.e.* again by Lemma 1 in [2], p. 56, for the operators:

$$\tilde{L}_\alpha + \sum_{s=1}^p \mu_{\alpha s} \frac{\partial}{\partial z^s}$$

with  $\mu_{\alpha s} = 0$ ) there is a holomorphic function  $(V_1, \dots, V_{n+k}, \tilde{f}_1, \dots, \tilde{f}_p) : \mathbf{C}^n \times \mathbf{C}^{n+k} \times \mathbf{C}^p \rightarrow \mathbf{C}^{n+k} \times \mathbf{C}^p$  so that:

$$\begin{aligned} \tilde{L}_\alpha V_j &= 0, & \tilde{L}_\alpha \tilde{f}_s &= 0 \\ V_j(0, w, z) &= w_j, & \tilde{f}_s(0, w, z) &= z_s. \end{aligned}$$

Let  $\rho$  be the projection  $(\zeta, w, z) \mapsto (\zeta, w)$  and set  $\tilde{g}_j = W_j \circ \rho$  for  $1 \leq j \leq n+k$ . Next consider  $\tilde{\psi} = (\tilde{g}_1, \dots, \tilde{g}_{n+k}, \tilde{f}_1, \dots, \tilde{f}_p)$  and define the  $C^\omega$  map  $\psi = (g_1, \dots, g_{n+k}, f_1, \dots, f_p)$  by setting:

$$\psi(t, u, x) = \tilde{\psi}(t, u, x)$$

for  $(t, u, x) \in \mathbf{R}^n \times \mathbf{R}^{n+k} \times \mathbf{R}^p$ . Note that  $\bar{L}_\alpha g_j = \tilde{L}_\alpha \tilde{g}_j = 0$  on  $\tilde{U} \cap (\mathbf{R}^n \times \mathbf{R}^{n+k})$ . Also  $g_j$  are basic. Moreover  $\psi(0, u, x) = \tilde{\psi}(0, u, x) = (u, x)$ . Let



us show that  $\psi$  is a generic embedding. As  $\bar{L}_\alpha g_j = 0$  yields  $\bar{\partial}_Q g_j = 0$  one should only check that  $d_0\psi$  has real rank  $2n + k + p$ . Set  $\psi = X + iY$ . Note that  $(u, x) = \psi(0, u, x) = X(0, u, x) + iY(0, u, x)$  yields:

$$d_0\psi = \begin{pmatrix} (\partial X/\partial t)(0) & I_{n+k+p} \\ (\partial Y/\partial t)(0) & 0 \end{pmatrix}.$$

Also, the imaginary parts of  $\bar{L}_\alpha g_j = 0$  and  $\bar{L}_\alpha f_s = 0$  may be written in the following matrix form:

$$\frac{\partial Y}{\partial t}(0) = - \begin{pmatrix} I_{n+k} \\ 0 \end{pmatrix} \cdot (\text{Im } \lambda)^t(0)$$

where  $\text{Im } \lambda = [\text{Im}(\lambda_{\alpha_j})]$ . Next (by  $\mathcal{H} \cap \bar{\mathcal{H}} = \{0\}$ )  $\{\zeta_1 - \zeta_{\bar{1}}, \dots, \zeta_n - \zeta_{\bar{n}}\}$  are linearly independent (over  $\mathbf{C}$ ) and therefore:

$$\frac{1}{2i}(\zeta_{\bar{\alpha}} - \zeta_\alpha) = \sum_{j=1}^{n+k} \text{Im}(\lambda_{\alpha_j}) \pi \frac{\partial}{\partial u^j}$$

shows that  $(\text{Im } \lambda)(0)$  has rank  $n$ . Set  $g = (g_1, \dots, g_{n+k})$ . Similarly, to show that  $\text{rank}(d_0g) = 2n + k$  set  $g = U + iV$ . Then  $g(0, u, x) = u$  yields:

$$d_0g = \begin{pmatrix} (\partial U/\partial t)(0) & I_{n+k} & 0 \\ (\partial V/\partial t)(0) & 0 & 0 \end{pmatrix}$$

and the imaginary part of  $\bar{L}_\alpha g_j = 0$  may be written:

$$\frac{\partial V}{\partial t} = -(\text{Im } \lambda)^t(0)$$

so that  $\text{rank}(\partial V/\partial t)(0) = n$ , Q.E.D.

To give an example of embedded transverse CR structure, let  $N \subset \mathbf{C}^{n+1}$  be a nondegenerate real hypersurface and  $M = N \times \mathbf{C}^{k+1}$  with the natural complex foliation  $\mathcal{F}$ . Let  $\mathcal{H}$  be the transverse CR structure of  $\mathcal{F}$ . Then:

$$\psi = (g_1, \dots, g_{n+1}, f_1, \dots, f_{2k}) : M \rightarrow \mathbf{C}^{n+1+2k}$$

given by  $g_j(z, \zeta) = z^j$  and  $f_a(z, \zeta) = \zeta^a$ ,  $f_{k+a}(z, \zeta) = 0$  for  $1 \leq j \leq n+1$  and  $1 \leq a \leq k$ , is a generic embedding of  $(M, \mathcal{H})$ . Indeed, let  $\{T_\alpha\}$  be a (local) frame of  $T_{1,0}(N)$ . Thus  $\{T_\alpha, \partial/\partial\zeta^a\}$  is a (local) frame of  $T_{1,0}(M)$ . The coordinate functions  $z^j$  are holomorphic so that  $z^j|_N \in \text{CR}(N)$  and hence  $g_j$  are CR functions on  $M$ . For each leaf  $S = \{z\} \times \mathbf{C}^k$  of  $\mathcal{F}$  we have  $(g_j)|_S = \text{const.}$  so that  $g_j \in \Omega_B^0(\mathcal{F})$ . Then (by Theorem 9)  $\bar{\partial}_Q g_j = 0$ . If  $E$  is a vector bundle over  $\mathbf{C}^k$  let  $g^*E$  be the pullback of  $E$  by  $g : M \rightarrow \mathbf{C}^{n+1}$ ,  $g(z, \zeta) = z$ . Finally, we need to check that  $G : Q \rightarrow g^*T(\mathbf{C}^{n+1})$  is a bundle monomorphism. To this end let  $G_x s = 0$ . There is  $Y = (V, W)$  so that  $\pi_x Y = s$  and  $V \in T_z(N)$ ,  $W \in T_\zeta(\mathbf{C}^k)$ . Then  $V = (d_x g)Y = Gs = 0$  so that  $Y = (0, W) \in P_{M,x}$ , Q.E.D.

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