

# Catalan idempotents, from resummation theory to Rota-Baxter algebras and trees.

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**Prehistory.** ( $\approx 1996$ ) Catalan derivations (J. Ecalle)

- act on an algebra of functions (resurgent functions)
- belong to an algebra of so-called “alien” operators

**Middle age.** ( $\approx 2006$ ) The algebra of “alien” operators is isomorphic to  $\text{Sym}$ .

**Up to the present days.** Many properties related to algebraic and combinatorial structures, Rota-Baxter algebras, Quadrialgebras, Hopf algebras of plane forest, Tamari order.

- Lie idempotents and Noncommutative symmetric functions
- The family of Catalan idempotents
- Resummation theory and the Catalan idempotent as an alien operator
- The Catalan idempotents in the Lie Module
- The Catalan idempotents and Rota-Baxter algebras
- Catalan idempotents and trees

# 1 Lie idempotents and Noncommutative symmetric function.

## 1.1 Lie idempotents.

Let  $V$  a vector space over  $\mathbb{K}$ ,  $T(V)$  its tensor algebra and  $L(V)$  its free Lie algebra

$$T(V) = \mathbb{K}1 \oplus \left( \bigoplus_{n \geq 1} T_n(V) \right), \quad , \quad T_n(V) = V^{\otimes n}, \quad L_n(V) = L(V) \cap T_n(V).$$

There is a right action of  $\mathbb{K}\mathfrak{S}_n$  on  $T_n(V)$  :

$$(v_1 \otimes \cdots \otimes v_n) \cdot \sigma = v_{\sigma(1)} \otimes \cdots \otimes v_{\sigma(n)}.$$

and  $\pi_n \in \mathbb{K}\mathfrak{S}_n$  is a Lie idempotent (resp. quasi-idempotent) if

$$\begin{aligned} \Pi_n & : T_n(V) \rightarrow T_n(V) \\ \mathbf{v} & \mapsto \mathbf{v} \cdot \pi_n \end{aligned}$$

is an idempotent (resp. quasi-idempotent) such that  $\text{Im } \Pi_n = L_n(V)$ .

$$\forall \mathbf{v} \in L_n(V), \quad \Pi_n(\mathbf{v}) = \mathbf{v} \quad (\text{resp. } \Pi_n(\mathbf{v}) = \alpha \mathbf{v}).$$

Among idempotents, the Solomon idempotent and the Dynkin idempotent can be characterized as elements of the Hopf algebra  $\text{Sym}$  of noncommutative symmetric functions.

## 1.2 A short reminder on noncommutative symmetric functions.

$\text{Sym}$  is the free associative algebra  $\mathbb{K}\langle S_1, S_2, \dots \rangle$  over an infinite sequence  $S_n$ , endowed with the grading  $\deg S_n = n$  and the coproduct

$$\Delta S_n = \sum_{k=0}^n S_k \otimes S_{n-k} \quad (S_0 := 1)$$

If  $\sigma_t = 1 + \sum_{n \geq 1} t^n S_n$ ,  $\sigma_t$  is group-like:

$$\Delta \sigma_t = \sigma_t \otimes \sigma_t.$$

Bases:

$$\text{Sym}_n = \text{Vect}\{S^I = S_{i_1} S_{i_2} \cdots S_{i_r}, I \models n\} = \text{Vect}\{\Phi^I = \Phi_{i_1} \Phi_{i_2} \cdots \Phi_{i_r}, I \models n\}$$

With  $I \models n$  compositions of  $n$  that is:

$$I = (i_1, \dots, i_r) \text{ with } |I| = i_1 + \cdots + i_r = n \text{ and } i_k \in \mathbb{N}^{>0}$$

and

$$\sum_{n \geq 1} t^n \Phi_n = \log(\sigma_t) \quad (\Delta \Phi_n = 1 \otimes \Phi_n + \Phi_n \otimes 1).$$

One can also consider the noncommutative ribbon Schur functions  $R_I$  as a basis:

$$S^I = \sum_{J \leqslant I} R_J, \text{ for instance } S^{113} = R_{113} + R_{23} + R_5.$$

or rather the signed ribbons basis. Let  $\mathcal{E} = \{\emptyset\} \cup_{n \geq 1} \{\varepsilon = \varepsilon_1 \dots \varepsilon_n, \varepsilon_i = \pm\} = \bigcup_{n \geq 0} \mathcal{E}_n$ . For  $I = (i_1, \dots, i_r) \models n$ ,

$$(-1)^{\ell(I)-1} R_I = R_{\varepsilon_1 \dots \varepsilon_{n-1} \bullet} = R_{\varepsilon \bullet} \quad \text{with} \quad \varepsilon_i = \begin{cases} - & \text{if } i \in \text{Des}(I) = \{i_1, i_1 + i_2, i_1 + \dots + i_{r-1}\} \\ + & \text{otherwise} \end{cases}$$

For instance,

$$R_{-+--+--\bullet} = -R_{1322}$$

As for the product

$$R_a \bullet R_b \bullet = R_{a+b \bullet} - R_{a-b \bullet}$$

### 1.3 Descent algebra and Lie idempotents.

$$\forall \sigma \in \mathfrak{S}_n, \quad \text{Sgn}(\sigma) = \varepsilon_1 \dots \varepsilon_{n-1}, \quad \varepsilon_i = \begin{cases} - & \text{if } \sigma(i) > \sigma(i+1) \\ + & \text{if } \sigma(i) < \sigma(i+1) \end{cases}$$

$$\text{Sgn}((623145)) = -+-++$$

The descent algebra  $\Sigma_n$  of  $\mathfrak{S}_n$  is spanned by

$$D_{\varepsilon \bullet} = D_{\varepsilon_1 \dots \varepsilon_{n-1} \bullet} = \sum_{\text{Sgn}(\sigma) = \varepsilon} \sigma$$

Let

$$\begin{aligned} \alpha : \Sigma_n &\rightarrow \text{Sym}_n \\ D_{\varepsilon \bullet} &\mapsto (-1)^{m(\varepsilon)} R_{\varepsilon \bullet} \end{aligned} \quad m(\varepsilon) = \#\{i; \varepsilon_i = -\}$$

**Theorem 1.** [Gelfand, Krob, Lascoux, Leclerc, Retakh, Thibon (1995)]. Let  $F = \alpha(\pi)$  be an element of  $\text{Sym}_n$ , where  $\pi \in \Sigma_n$ . The following assertions are equivalent:

1.  $\pi$  is a Lie quasi-idempotent;
2.  $F$  is a primitive element for  $\Delta$ ;
3.  $F$  belongs to the Lie algebra  $L(\Phi)$  generated by the  $\Phi_n$ .

Moreover,  $\pi$  is a Lie idempotent iff  $F - \Phi_n$  is in the Lie ideal  $[L(\Phi), L(\Phi)]$ .

## 1.4 The example of the Solomon idempotent.

If  $\sum_{n \geq 1} t^n \Phi_n = \log(\sigma_t) = \log(1 + \sum_{n \geq 1} t^n S_n)$ ,

$$\Phi_n = \sum_{\varepsilon = \varepsilon_1 \dots \varepsilon_{n-1}} \frac{m(\varepsilon)! p(\varepsilon)!}{n!} R_{\varepsilon \bullet} \quad m(\varepsilon) = \#\{i; \varepsilon_i = -\}, p(\varepsilon) = \#\{i; \varepsilon_i = +\}$$

$$\begin{aligned} \Phi_3 &= \frac{0!2!}{3!} R_{++\bullet} + \frac{1!1!}{3!} R_{-+\bullet} + \frac{1!1!}{3!} R_{+-\bullet} + \frac{2!1!}{3!} R_{--\bullet} \\ &= \frac{1}{3} R_{++\bullet} + \frac{1}{6} R_{-+\bullet} + \frac{1}{6} R_{+-\bullet} + \frac{1}{6} R_{--\bullet} \\ &= \alpha \left( \frac{1}{3}(123) - \frac{1}{6}((213) + (312)) - \frac{1}{6}((132) + (231)) + \frac{1}{3}(321) \right) \\ &= \alpha \left( \frac{1}{6}([[1, 2], 3] + [[1, [2, 3]]]) \right) \\ &= \alpha(\phi_3) \end{aligned}$$

and

$$(v_1 \otimes v_2 \otimes v_3) \cdot \phi_3 = \frac{1}{6} ([[v_1, v_2], v_3] + [[v_1, [v_2, v_3]]]) \in L_3(V)$$

## 2 The family of Catalan idempotents.

Consider the Narayana polynomials :

$$\begin{aligned} \text{ca}_1^{a,b} &= 1 \\ \text{ca}_2^{a,b} &= a + b \\ \text{ca}_3^{a,b} &= a^2 + 3ab + b^2 \\ \text{ca}_4^{a,b} &= a^3 + 6a^2b + 6ab^2 + b^3 \\ \text{ca}_5^{a,b} &= a^4 + 10a^3b + 20a^2b^2 + 10ab^3 + b^4 \end{aligned}$$

For any word  $\varepsilon = \varepsilon_1 \dots \varepsilon_r$ , consider its “stack” decomposition:

$$\varepsilon = (\eta_1)^{r_1} \dots (\eta_s)^{r_s} = (\pm)^{r_1} (\mp)^{r_2} \dots \quad (\overbrace{\cdots + - + - + + + +} = (-)^3 (+)^2 (-)^1 (+)^4).$$

**Theorem 2.** [Ecalle, M., 1996], [M., Novelli, Thibon, 2008]

For  $n \geq 2$ ,

$$C_n^{a,b} = \sum_{\varepsilon = (\varepsilon_1, \dots, \varepsilon_n) = (\eta_1)^{r_1} \dots (\eta_s)^{r_s}} \left( \prod_{\eta_i=+, i < s} a \right) \left( \prod_{\eta_i=-, i < s} b \right) \text{ca}_{r_1}^{a,b} \dots \text{ca}_{r_s}^{a,b} R_{\varepsilon \bullet}$$

is primitive and thus corresponds to a Lie (quasi)-idempotent.

$$\begin{aligned} C_2^{a,b} &= R_{+\bullet} + R_{-\bullet} \\ C_3^{a,b} &= (a+b) R_{++\bullet} + a R_{+-\bullet} + b R_{-+\bullet} + (a+b) R_{--\bullet} \\ C_4^{a,b} &= (a^2 + 3ab + b^2) R_{+++ \bullet} + a(a+b) R_{++-\bullet} + ab R_{+-+\bullet} + (a+b)b R_{-++\bullet} \\ &\quad + a(a+b) R_{+--\bullet} + ab R_{--\bullet} + (a+b)b R_{--+ \bullet} + (a^2 + 3ab + b^2) R_{---\bullet} \end{aligned}$$

### 3 Resummation theory and the Catalan idempotent as an alien operator.

#### 3.1 Algebras of resurgent functions and alien operators.

In resummation theory :

$$\tilde{\varphi}(t) = \sum_{n \geq 0} a_n t^{n+1} \in \mathbb{C}[[t]] \xrightarrow{\mathcal{B}} \hat{\varphi}(\zeta) = \sum_{n \geq 0} \frac{a_n}{n!} \zeta^n \in \mathbb{C}\{\zeta\} \xrightarrow{\mathcal{L}_\theta} \varphi(t) = \int_0^{e^{i\theta}\infty} \hat{\varphi}(\zeta) e^{-\zeta/t} d\zeta$$

$\text{Res}_{\mathbb{N}}$  is a an algebra of functions  $\hat{\varphi}$  holomorphic near the origin, analytically continuable along any path that follows  $\mathbb{R}^+$  and dodges each point of  $\mathbb{N}^*$ .

Moreover,  $\text{Res}_{\mathbb{N}}$  is stable by convolution

$$(\hat{\varphi} * \hat{\psi})(\zeta) = \int_0^\zeta \hat{\varphi}(\zeta_1) \hat{\psi}(\zeta - \zeta_1) d\zeta_1.$$

and stable under the action of **alien operators**.

Let  $\mathcal{E} = \{\emptyset\} \cup_{n \geq 1} \{\varepsilon = \varepsilon_1 \dots \varepsilon_n, \varepsilon_i = \pm\} = \bigcup_{n \geq 0} \mathcal{E}_n$ , one can label the analytic continuations of a function  $\hat{\varphi}$  by such sequences: For  $\zeta \in ]4, 5[$ ,  $\hat{\varphi}^{+-+}(\zeta)$  is the continuation along the path



Let  $A_\emptyset = \text{Id}$  ( $\text{ALIEN}_0 = \mathbb{C}D_\emptyset$ ) and for  $\varepsilon \in \mathcal{E}_{n-1}$  let  $A_{\varepsilon \bullet} \in \text{ALIEN}_n$  the endomorphism of  $\text{Res}_{\mathbb{N}}$  such that:

$$\forall \hat{\varphi} \in \text{Res}_{\mathbb{N}}, \forall \zeta \approx 0, \quad (A_{\varepsilon \bullet} \hat{\varphi})(\zeta) = \hat{\varphi}^{\varepsilon+}(\zeta + l(\varepsilon \bullet)) - \hat{\varphi}^{\varepsilon-}(\zeta + l(\varepsilon \bullet))$$

$$(A_{+-+-+} \hat{\varphi})(\zeta) = \hat{\varphi}^{+-+-+}(\zeta + 5) - \hat{\varphi}^{+-+-+}(\zeta + 5)$$

**Proposition 3.** For  $(\varepsilon, \eta) \in \mathcal{E}$ ,

$$A_{\varepsilon \bullet} A_{\eta \bullet} = A_{\eta + \varepsilon \bullet} - A_{\eta - \varepsilon \bullet}$$

thus ALIEN is a graded algebra with unit  $\mathbf{1} = A_\emptyset$ .

For  $n \geq 1$ , let

$$\Delta_n^+ = A_{+^{n-1} \bullet}, \quad \Delta_n = \sum_{\varepsilon = (\varepsilon_1, \dots, \varepsilon_{n-1})} \frac{(\#\{\varepsilon_i = +\})! (\#\{\varepsilon_i = -\})!}{n!} A_{\varepsilon \bullet}$$

then  $\sum \Delta_n = \log(1 + \sum \Delta_n^+)$

and ALIEN is the polynomial algebra generated by the family  $\{\Delta_n^+\}$  (or  $\{\Delta_n\}$ ).

### 3.2 Derivations, automorphisms and the Catalan derivation.

The action of these operators induces a coproduct  $\delta$  on ALIEN:  $\forall \hat{\varphi}, \hat{\psi} \in \text{Res}_{\mathbb{N}}$ ,

$$\begin{aligned}\Delta_n^+(\hat{\varphi} * \hat{\psi}) &= \sum_{k=0}^n \Delta_k^+(\hat{\varphi}) * \Delta_{n-k}^+(\hat{\psi}) \longrightarrow \delta(\Delta_n^+) = \sum_{k=0}^n \Delta_k^+ \otimes \Delta_{n-k}^+ \quad (\Delta_0^+ = 1) \\ \Delta_n(\hat{\varphi} * \hat{\psi}) &= \Delta_n(\hat{\varphi}) * \hat{\psi} + \hat{\varphi} * \Delta_n(\hat{\psi}) \longrightarrow \delta(\Delta_n) = 1 \otimes \Delta_n + \Delta_n \otimes 1\end{aligned}$$

It turns ALIEN into a graded Hopf algebra where:

- The derivations  $\Delta_n$  are primitives elements.
- The automorphisms  $\Delta^+ = 1 + \sum \Delta_n^+$  is group-like elements.

**Theorem 4.** ALIEN is isomorphic to the Hopf algebra Sym

A natural isomorphism send  $\Delta_n^+$  to  $S_n$ ,  $\Delta_n$  to  $\Phi_n$  and  $A_{\varepsilon_1 \dots \varepsilon_n \bullet}$  to  $R_{\varepsilon_n \dots \varepsilon_1 \bullet}$ .

Compare

$$\begin{aligned}A_{\varepsilon \bullet} A_{\eta \bullet} &= A_{\eta+\varepsilon \bullet} - A_{\eta-\varepsilon \bullet} \\ R_{\varepsilon \bullet} R_{\eta \bullet} &= A_{\varepsilon+\eta \bullet} - A_{\varepsilon-\eta \bullet}\end{aligned}$$

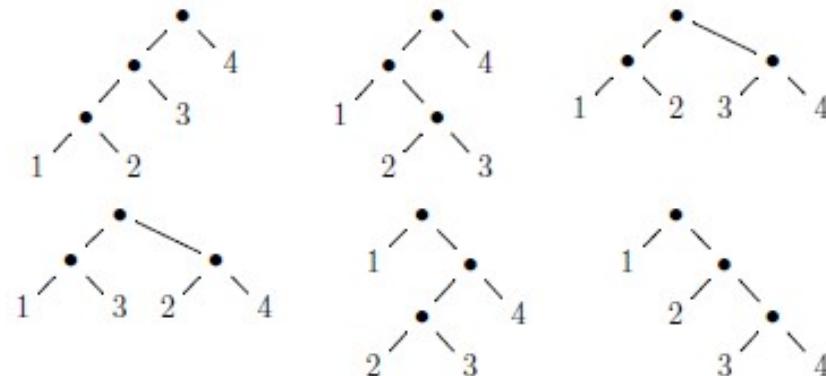
## 4 The Catalan idempotents in the Lie Module.

For the Solomon idempotent:

$$\begin{aligned}\phi_3 &= \frac{1}{3}(123) - \frac{1}{6}((213) + (312)) - \frac{1}{6}((132) + (231)) + \frac{1}{3}(321) \in \mathbb{K}\mathfrak{S}_3 \\ &= \frac{1}{6}([[1, 2], 3] + [[1, [2, 3]]]) \in \text{Lie}(3)\end{aligned}$$

The Poincaré-Birkhoff-Witt basis of  $\text{Lie}(n)$  (of dimension  $(n-1)!$ ) is indexed by complete binary trees with  $n$  leaves labelled by  $[n]$  such that, for each internal node, the smallest (resp. greatest) label is in the left (resp. right) subtree.

For  $n=4$ , the basis  $\text{PBW}_4$  is indexed by

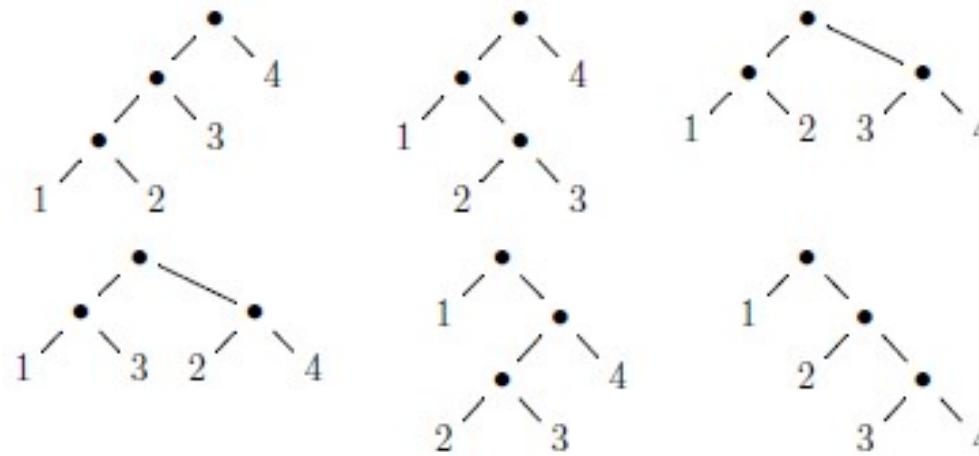


corresponding to

$$\begin{aligned}&[[[1, 2], 3], 4] , [[1, [2, 3]], 4] , [[1, 2], [3, 4]] \\ &[[1, 3], [2, 4]] , [1, [[2, 3], 4]] , [1, [2, [3, 4]]]\end{aligned}$$

**Theorem 5.** [Foissy, M., Novelli, Thibon, 2022]. For  $n \geq 2$  the Lie quasi-idempotent  $abC_n^{a,b}$  corresponds in  $\text{Lie}(n)$  to

$$abC_n^{a,b} = \sum_{T \in \text{PBW}_n} a^{\#\{\text{left leaves}\}} b^{\#\{\text{right leaves}\}} T$$



$$\begin{aligned} abC_4^{a,b} = & ab^3[[[1, 2], 3], 4] + a^2b^2[[1, [2, 3]], 4] + a^2b^2[[1, 2], [3, 4]] \\ & + a^2b^2[[1, 3], [2, 4]] + a^2b^2[1, [[2, 3], 4]] + a^3b[1, [2, [3, 4]]] \end{aligned}$$

Proof based on a fixed point equation in the Malvenuto-Reutenauer Hopf algebra involving its quadrialgebra structure.

## 5 The Catalan idempotents and Rota-Baxter algebras.

### 5.1 Rota-Baxter algebras and Birkhoff decomposition.

A commutative algebra  $\mathcal{A}$  over a field  $\mathbb{K}$  is a Rota-Baxter algebra if  $\mathcal{A} = \mathcal{A}^- \oplus \mathcal{A}^+$  where  $\mathcal{A}^+$  and  $\mathcal{A}^-$  are subalgebras. If  $P_+$  (resp.  $P_-$ ) is the projection  $\mathcal{A}^+$  (resp.  $\mathcal{A}^-$ ) parallel to  $\mathcal{A}^-$  (resp.  $\mathcal{A}^+$ ) then,

$$\begin{aligned} P_+(xy) &= P_+(xP_+(y) + P_+(x)y) - P_+(x)P_+(y) \\ P_+(xP_+(y)) &= P_+(x)P_+(y) + P_+(P_-(x)y) \end{aligned}$$

In the  $\mathcal{A}$ -module  $\text{Sym}_{\mathcal{A}}$ , there exists a unique Birkhoff decomposition  $(\sigma_x^+, \sigma_x^-) \in \text{Sym}_{\mathcal{A}^+} \times \text{Sym}_{\mathcal{A}^-}$  of  $\sigma_x = 1 + \sum x^n S_n$  ( $x \in \mathcal{A}$ ):

$$\sigma_x^+ = \sigma_x^- \sigma_x.$$

Moreover, if  $V: \mathcal{A}^+ \rightarrow \mathbb{K}$  is a linear map such that:

$$\forall (x, y) \in \mathcal{A}^+ \times \mathcal{A}^+, \quad V(xy) = 0$$

then  $D_x = V(\sigma_x^+ - 1)$  is primitive in  $\text{Sym}$ .

One has

$$\sigma_x^+ = 1 + \sum_{\varepsilon} c^+(\varepsilon \bullet) R_{\varepsilon \bullet}, \quad V(\sigma_x^+ - 1) = \sum_{\varepsilon} c(\varepsilon \bullet) R_{\varepsilon \bullet}.$$

Let

$$P_{\varepsilon_1 \dots \varepsilon_n}(x) = P_{\varepsilon_n}(x P_{\varepsilon_1 \dots \varepsilon_{n-1}}(x)),$$

for instance,

$$P_{+-}(x) = P_-(x P_-(x P_+(x)))$$

then

$$c^+(\varepsilon \bullet) = P_{\varepsilon+}(x), \quad c(\varepsilon \bullet) = V(P_{\varepsilon+}(x)).$$

## 5.2 The case of the Catalan idempotents.

Consider  $\mathcal{A} = \frac{1}{z}\mathbb{K}\left[\frac{1}{z}\right] \oplus \mathbb{K}[[z]] = \mathcal{A}^+ \oplus \mathcal{A}^-$ , with  $V = \text{Residue}$ .

If  $x = \frac{a}{z} + \frac{b}{1-z} = \frac{a}{z} + b + bz + bz^2 + \dots$  then, for  $n \geq 2$ ,

$$D_{x,n} = abC_n^{a,b}$$

$$abC_3^{a,b} = ab(a+b)R_{++\bullet} + a^2bR_{+-\bullet} + ab^2R_{-+\bullet} + ab(a+b)R_{--\bullet}$$

and

$$\begin{aligned} c^+(++\bullet) &= P_+\left(\left(\frac{a}{z} + \frac{b}{1-z}\right)P_+\left(\left(\frac{a}{z} + \frac{b}{1-z}\right)P_+\left(\frac{a}{z} + \frac{b}{1-z}\right)\right)\right) = P_+\left(\left(\frac{a}{z} + \frac{b}{1-z}\right)P_+\left(\left(\frac{a}{z} + \frac{b}{1-z}\right)\frac{a}{z}\right)\right) \\ &= P_+\left(\left(\frac{a}{z} + \frac{b}{1-z}\right)\left(\frac{a^2}{z^2} + \frac{ab}{z}\right)\right) = \frac{a^3}{z^3} + \frac{2a^2b}{z^2} + \frac{a^2b + ab^2}{z} \end{aligned}$$

Smaller idempotents : replace  $x = \frac{a}{z} + \frac{b}{1-z}$  by  $x = \sum_{n \geq 0} a_n z^{-n-1}$  and isolate monomials in  $a_0, a_1, \dots$

$$D_{x,3} = (a_0^2 a_2 + a_0 a_1^2) R_{++\bullet} + (a_0^2 a_2) R_{+-\bullet} + (a_0 a_1^2) R_{-+\bullet} + (a_0^2 a_2 + a_0 b_1^2) R_{--\bullet}$$

## 6 Catalan idempotents and trees

### 6.1 $\mathcal{H}_{\text{NCK}}^*$ and the morphism $\text{Sym} \rightarrow \mathcal{H}_{\text{NCK}}^*$

Consider planar rooted forest  $\mathbf{F} = \bigcup_{n \geq 0} \mathbf{F}_n$ :

$$\begin{aligned} & \emptyset, ., \dots, \overset{\bullet}{\vdots}, \dots, \overset{\bullet}{\vdots} ., . \overset{\bullet}{\vdots}, \overset{\bullet}{\vee}, \overset{\bullet}{\vdots}, \\ & \dots, \overset{\bullet}{\vdots} \dots, . \overset{\bullet}{\vdots} ., \dots \overset{\bullet}{\vdots}, \overset{\bullet}{\vee} ., \dots \overset{\bullet}{\vee}, \overset{\bullet}{\vdots} ., \overset{\bullet}{\vdots} ., \overset{\bullet}{\vdots} ., \overset{\bullet}{\vdots} ., \end{aligned}$$

$\mathcal{H}_{\text{NCK}}^*$  is the graded Hopf algebra with linear basis  $\{X_F, F \in \mathbf{F}\}$  and deconcatenation coproduct:

$$\Delta X_F = \sum_{F=F_1 F_2} X_{F_1} \otimes X_{F_2}.$$

The product  $X_{F_1} X_{F_2}$  is the sum of  $X_F$  over all the graftings  $F$  of  $F_1$  into  $F_2$ :

$$X_{\cdot \overset{\bullet}{\vdots}} X_{\cdot} = X_{\cdot \overset{\bullet}{\vdots} .} + X_{\cdot \overset{\bullet}{\vdots} \cdot} + X_{\cdot \overset{\bullet}{\vee} \cdot} + X_{\cdot \cdot \overset{\bullet}{\vdots}} + X_{\cdot \overset{\bullet}{\vdots} \cdot} + X_{\cdot \cdot \cdot \overset{\bullet}{\vdots}}$$

The algebra map  $\Theta$  such that:

$$\Theta(S_n) = \sum_{F \in \mathbf{F}_n} X_F$$

is a Hopf morphism.

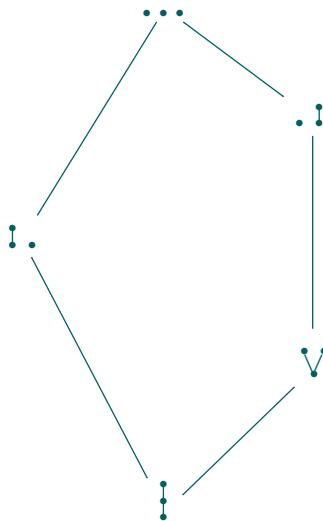
For  $x$  in a Rota-Baxter algebra, the Birkhoff decomposition  $\sigma_x^+ = \sigma_x^- \sigma_x$  gives

$$\Theta(\sigma_x^+) = \sum_{F \in \mathbf{F}} c^+(F) X_F$$

with  $c^+(F_1 F_2) = c^+(F_1) c^+(F_2)$  and, for instance,

$$c^+\left(\begin{array}{c} \bullet \\ \Downarrow \\ \bullet \end{array}\right) = P_+ \left( \underbrace{P_+(P_+(x)x)}_{c^+(\bullet)} \underbrace{P_+(x)}_{c^+(\bullet)} \underbrace{P_+(x)x}_{c^+(\bullet)} \right).$$

## 6.2 The Catalan idempotents in $\mathcal{H}_{\text{NCK}}^*$

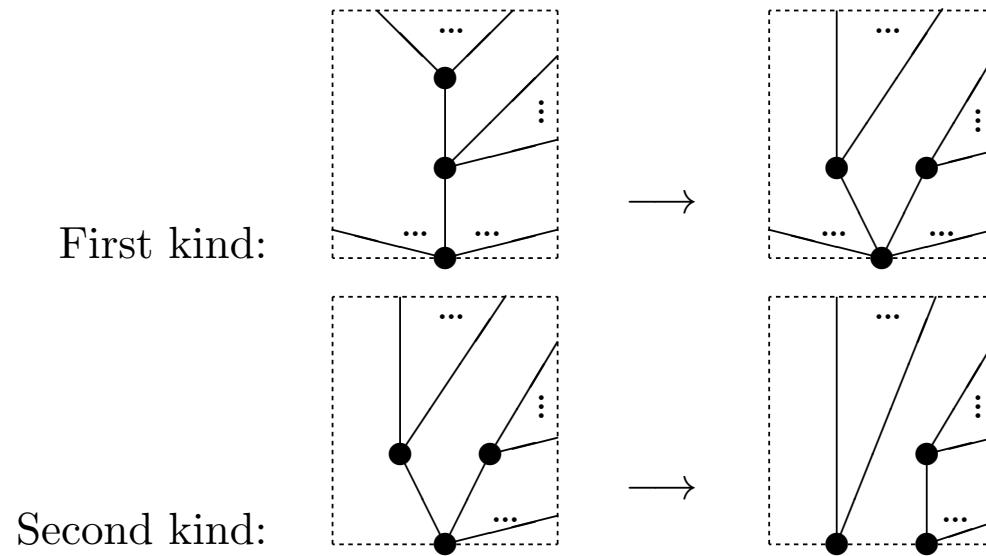


$$\begin{array}{ll}
 c^+(\dots) = \frac{a_0^3}{z^3} & d(\dots) = \frac{a_0^3}{z^3} \\
 c^+(\cdot\ddot{\cdot}) = \frac{a_0^3}{z^3} + \frac{a_0^2 a_1}{z^2} & d(\cdot\ddot{\cdot}) = \frac{a_0^2 a_1}{z^2} \\
 c^+(\ddot{\cdot}\cdot) = \frac{a_0^3}{z^3} + \frac{a_0^2 a_1}{z^2} & d(\ddot{\cdot}\cdot) = \frac{a_0^2 a_1}{z^2} \\
 c^+(\ddot{\vee}) = \frac{a_0^3}{z^3} + \frac{a_0^2 a_1}{z^2} + \frac{a_0^2 a_2}{z} & d(\ddot{\vee}) = \frac{a_0^2 a_2}{z} \\
 c^+(\ddot{\bullet}) = \frac{a_0^3}{z^3} + \frac{2a_0^2 a_1}{z^2} + \frac{a_0^2 a_2}{z} + \frac{a_0 a_1^2}{z} & d(\ddot{\bullet}) = \frac{a_0 a_1^2}{z}
 \end{array}$$

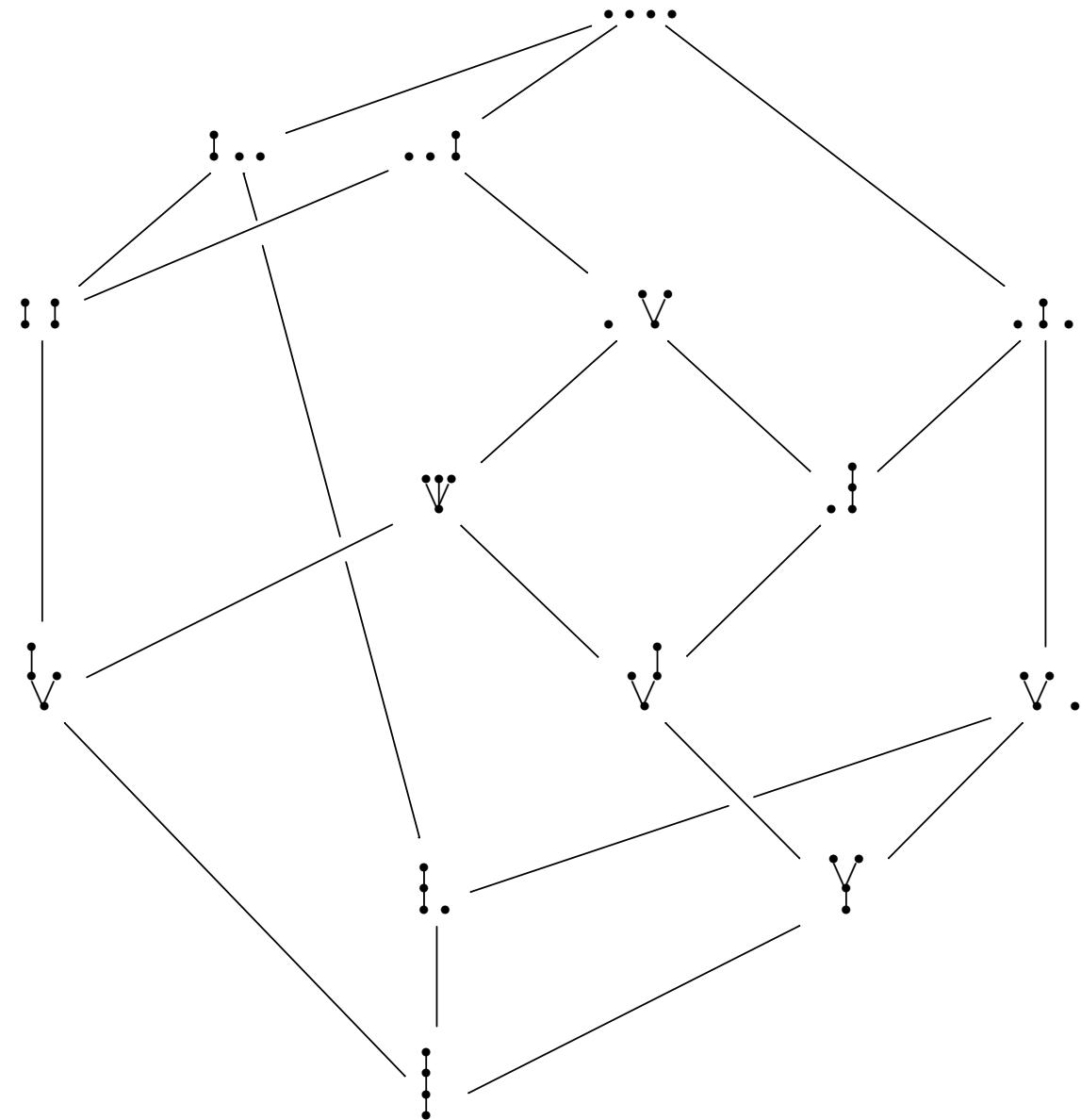
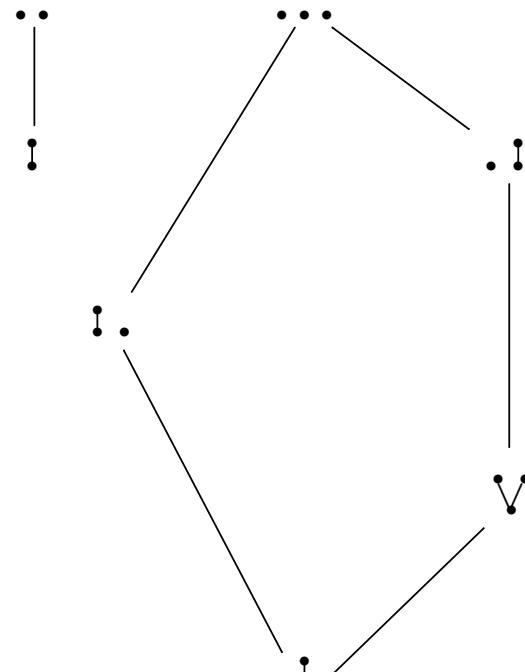
**Theorem 6.** [M., Novelli, Thibon, 2024] For any forest  $F$ ,

$$c^+(F) = \sum_{G \geqslant F} d(G)$$

Consider for a forest  $F$  and on any vertex that is not a leaf, define the transformations:



Let  $F, G$  be two plane forests. We shall say that  $G \geq F$  if  $G$  can be obtained from  $F$  by a finite number of the preceding transformations.



### 6.3 Rota-Baxter algebras and the Tamari order.

For any Rota-Baxter algebra, the coefficients  $c^+(F)$  are sums over Tamari intervals of coefficients  $d(G)$  obtained by the same way, but alternating the signs on each level [Foissy,M., in progress]:

Recall that:

$$c^+(\text{V}) = P_+ \left( \underbrace{P_+(P_+(x)x)}_{c^+(\bullet)} \underbrace{P_+(x)}_{c^+(\bullet)} \underbrace{P_+(x)x}_{c^+(\bullet)} \right).$$

then:

$$d(\text{V}) = P_+ (P_-(P_+(x)x)P_-(x)P_-(x)x)$$

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