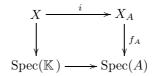
# Deformations of singularities via differential graded Lie algebras

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## 1 Introduction

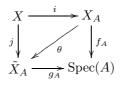
Let  $\mathbb{K}$  be a fixed algebraically closed field of characteristic 0,  $X \subset \mathbb{A}^n = \mathbb{A}^n_{\mathbb{K}}$  a closed subscheme. Denote by **Art** the category of local artinian  $\mathbb{K}$ -algebras with residue field  $\mathbb{K}$ .

**Definition 1.1.** An infinitesimal deformation of X over  $A \in Art$  is a commutative diagram of schemes

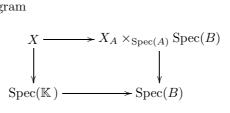


such that  $f_A$  is flat and the induced morphism  $X \to X_A \times_{\operatorname{Spec}(A)} \operatorname{Spec}(\mathbb{K})$  is an isomorphism.

It is not difficult to see (cf. [1]) that  $X_A$  is affine and more precisely it is isomorphic to a closed subscheme of  $\mathbb{A}^n \times \operatorname{Spec}(A)$ . Two deformations  $X \xrightarrow{i} X_A \xrightarrow{f_A} \operatorname{Spec}(A), X \xrightarrow{j} X_A \xrightarrow{g_A} \operatorname{Spec}(A)$  are isomorphic if there exists a commutative diagram of schemes



It is easy to prove that necessarily  $\theta$  is an isomorphism (cf. [5]). Since flatness commutes with base change, for every deformations  $X \xrightarrow{i} X_A \xrightarrow{f_A} \operatorname{Spec}(A)$  and every morphism  $A \to B$  in the category **Art**, the diagram



is a deformation of X over  $\operatorname{Spec}(B)$ ; it is then defined a covariant functor  $\operatorname{Def}_X \colon \operatorname{Art} \to \operatorname{Set}$ ,

 $Def_X(A) = \{ \text{ isomorphism classes of deformations of } X \text{ over } A \}.$ 

The set  $Def_X(\mathbb{K})$  contains only one point.

In a similar way we can define the functor  $\operatorname{Hilb}_X \colon \operatorname{Art} \to \operatorname{Set}$  of embedded deformations of X into  $\mathbb{A}^n$ :  $\operatorname{Hilb}_X(A)$  is the set of closed subschemes  $X_A \subset \mathbb{A}^n \times \operatorname{Spec}(A)$  such that the restriction to  $X_A$  of the projection on the second factor is a flat map  $X_A \to \text{Spec}(A)$  and  $X_A \cap (\mathbb{A}^n \times \text{Spec}(\mathbb{K})) = X \times \text{Spec}(\mathbb{K}).$ 

In these notes we give a recipe for the construction of two differential graded Lie algebras  $\mathcal{H}, \mathcal{L}$  together two isomorphism of functors

$$\operatorname{Def}_{\mathcal{L}} = \frac{MC_{\mathcal{L}}}{\operatorname{gauge}} \to \operatorname{Def}_X, \qquad \operatorname{Def}_{\mathcal{H}} = \frac{MC_{\mathcal{H}}}{\operatorname{gauge}} \to \operatorname{Hilb}_X.$$

The DGLAs  $\mathcal{L}$ ,  $\mathcal{H}$  are unique up to quasiisomorphism and their cohomology can be computed in terms of the cotangent complex of X. For the notion of differential graded Lie algebra, Maurer-Cartan functors and gauge equivalence we refer to [3], [5], [2].

Moreover we can choose  $\mathcal{H}$  as a differential graded Lie subalgebra of  $\mathcal{L}$  such that  $\mathcal{H}^i = \mathcal{L}^i$ for every i > 0.

#### 2 Flatness and relations

In this section  $A \in \operatorname{Art}$  is a fixed local artinian  $\mathbb{K}$ -algebra with residue field  $\mathbb{K}$ .

**Lemma 2.1.** Let M be an A-module, if  $M \otimes_A \mathbb{K} = 0$  then M = 0.

*Proof.* If M is finitely generated this is Nakayama's lemma. In the general case consider a filtration of ideals  $0 = I_0 \subset I_1 \subset \ldots \subset I_n = A$  such that  $I_{i+1}/I_i = \mathbb{K}$  for every *i*. Applying the right exact functor  $\otimes_A M$  to the exact sequences of A-modules

$$0 \longrightarrow \mathbb{K} = \frac{I_{i+1}}{I_i} \longrightarrow \frac{A}{I_i} \longrightarrow \frac{A}{I_{i+1}} \longrightarrow 0$$

we get by induction that  $M \otimes_A (A/I_i) = 0$  for every *i*.

The following is a special case of the *local flatness criterion* [6, Thm. 22.3]

**Theorem 2.2.** For an A-module M the following conditions are equivalent:

- 1. M is free.
- 2. M is flat.
- 3.  $\operatorname{Tor}_{1}^{A}(M, \mathbb{K}) = 0.$

*Proof.* The only nontrivial assertion is  $3) \Rightarrow 1$ ). Assume  $\operatorname{Tor}_1^A(M, \mathbb{K}) = 0$  and let F be a free module such that  $F \otimes_A \mathbb{K} = M \otimes_A \mathbb{K}$ . Since  $M \to M \otimes_A \mathbb{K}$  is surjective there exists a morphism  $\alpha \colon F \to M$  such that its reduction  $\overline{\alpha} \colon F \otimes_A \mathbb{K} \to M \otimes_A \mathbb{K}$  is an isomorphism. Denoting by K the kernel of  $\alpha$  and by C its cokernel we have  $C \otimes_A \mathbb{K} = 0$  and then C = 0;  $K \otimes_A \mathbb{K} = \operatorname{Tor}_1^A(M, \mathbb{K}) = 0$  and then K = 0.

**Corollary 2.3.** Let  $h: P \to L$  be a morphism of flat A-modules,  $A \in \operatorname{Art}$ . If  $\overline{h}: P \otimes_A \mathbb{K} \to L \otimes_A \mathbb{K}$  is injective (resp.: surjective) then also h is injective (resp.: surjective).

Proof. Same proof of Theorem 2.2.

**Corollary 2.4.** Let  $0 \to M \to N \to P \to 0$  be an exact sequence of A-modules with N flat. Then:

- 1.  $M \otimes_A \mathbb{K} \to N \otimes_A \mathbb{K}$  injective  $\Rightarrow P$  flat.
- 2. P flat  $\Rightarrow$  M flat and  $M \otimes_A \mathbb{K} \rightarrow N \otimes_A \mathbb{K}$  injective.

*Proof.* Take the associated long  $\operatorname{Tor}_*^A(-,\mathbb{K})$  exact sequence and apply 2.2 and 2.3.

Corollary 2.5. Let

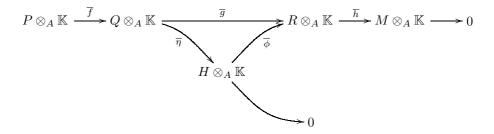
$$P \xrightarrow{f} Q \xrightarrow{g} R \xrightarrow{h} M \longrightarrow 0 \tag{1}$$

be a complex of A-modules such that:

- 1. P, Q, R are flat.
- 2.  $Q \xrightarrow{g} R \xrightarrow{h} M \longrightarrow 0$  is exact.
- $3. \ P \otimes_A \mathbb{K} \xrightarrow{\overline{f}} Q \otimes_A \mathbb{K} \xrightarrow{\overline{g}} R \otimes_A \mathbb{K} \xrightarrow{\overline{h}} M \otimes_A \mathbb{K} \longrightarrow 0 \ is \ exact.$

Then M is flat and the sequence (1) is exact.

*Proof.* Denote by  $H = \ker h = \operatorname{Im} g$  and  $g = \phi \eta$ , where  $\phi: H \to R$  is the inclusion and  $\eta: Q \to H$ ; by assumption we have an exact diagram



which allows to prove, after an easy diagram chase, that  $\overline{\phi}$  is injective. According to Corollary 2.4 H and M are flat modules. Denoting  $L = \ker g$  we have, since H is flat, that also L is flat and  $L \otimes_A K \to Q \otimes_A \mathbb{K}$  injective. This implies that  $P \otimes_A \mathbb{K} \to L \otimes_A \mathbb{K}$  is surjective. By Corollary 2.3  $P \to L$  is surjective.

Corollary 2.6. Let n > 0 and

$$0 \longrightarrow I \longrightarrow P_0 \xrightarrow{d_1} P_1 \longrightarrow \dots \xrightarrow{d_n} P_n,$$

a complex of A-modules with  $P_0, \ldots, P_n$  flat. Assume that

$$0 \longrightarrow I \otimes_A \mathbb{K} \longrightarrow P_0 \otimes_A \mathbb{K} \xrightarrow{\overline{d_1}} P_1 \otimes_A \mathbb{K} \longrightarrow \dots \xrightarrow{\overline{d_n}} P_n \otimes_A \mathbb{K}$$

is exact; then I, coker $(d_n)$  are flat modules and the natural morphism  $I \to \ker(P_0 \otimes_A \mathbb{K} \to P_1 \otimes_A \mathbb{K})$  is surjective.

*Proof.* Induction on n and Corollary 2.5.

# 3 Differential graded algebras, I

Unless otherwise specified by the symbol  $\otimes$  we mean the tensor product  $\otimes_{\mathbb{K}}$  over the field  $\mathbb{K}$ . We denote by:

- **G** the category of  $\mathbb{Z}$ -graded  $\mathbb{K}$ -vector space; given an object  $V = \bigoplus V_i$ ,  $i \in \mathbb{Z}$ , of **G** and a homogeneous element  $v \in V_i$  we denote by  $\overline{v} = i$  its degree.
- **DG** the category of Z-graded differential K-vector space (also called complexes of vector spaces).

Given (V, d) in **DG** we denote as usual by  $Z(V) = \ker d$ , B(V) = d(V), H(V) = Z(V)/B(V). Given an integer n, the shift functor  $[n]: \mathbf{DG} \to \mathbf{DG}$  is defined by setting  $V[n] = \mathbb{K}[n] \otimes V$ ,  $V \in \mathbf{DG}$ ,  $f[n] = Id_{\mathbb{K}[n]} \otimes f$ ,  $f \in \operatorname{Mor}_{\mathbf{DG}}$ , where

$$\mathbb{K}[n]_i = \begin{cases} \mathbb{K} & \text{if } i+n=0\\ 0 & \text{otherwise} \end{cases}$$

More informally, the complex V[n] is the complex V with degrees shifted by n, i.e.  $V[n]_i = V_{i+n}$ , and differential multiplied by  $(-1)^n$ .

Given two graded vector spaces V, W, the "graded Hom" is the graded vector space

$$\operatorname{Hom}_{\mathbb{K}}^{*}(V,W) = \bigoplus_{n} \operatorname{Hom}_{\mathbb{K}}^{n}(V,W) \in \mathbf{G},$$

where by definition  $\operatorname{Hom}_{\mathbb{K}}^{n}(V, W)$  is the set of  $\mathbb{K}$ -linear map  $f: V \to W$  such that  $f(V_{i}) \subset W_{i+n}$  for every  $i \in \mathbb{Z}$ . Note that  $\operatorname{Hom}_{\mathbb{K}}^{0}(V, W) = \operatorname{Hom}_{\mathbf{G}}(V, W)$  is the space of morphisms in the category  $\mathbf{G}$  and there exist natural isomorphisms

$$\operatorname{Hom}_{\mathbb{K}}^{n}(V,W) = \operatorname{Hom}_{\mathbf{G}}(V[-n],W) = \operatorname{Hom}_{\mathbf{G}}(V,W[n]).$$

A morphism in  $\mathbf{DG}$  is called a quasiisomorphism if it induces an isomorphism in homology. A commutative diagram in  $\mathbf{DG}$ 

$$\begin{array}{c} A \longrightarrow B \\ \downarrow^{g} \qquad \downarrow^{f} \\ C \longrightarrow D \end{array}$$

is called cartesian if the morphism  $A \to C \times_D B$  is an isomorphism; it is an easy exercise in homological algebra to prove that if f is a surjective (resp.: injective) quasiisomorphism, then g is a surjective (resp.: injective) quasiisomorphism.

**Definition 3.1.** A graded (associative,  $\mathbb{Z}$ -commutative) algebra is a graded vector space  $A = \bigoplus A_i \in \mathbf{G}$  endowed with a product  $A_i \times A_j \to A_{i+j}$  satisfying the properties:

- 1. a(bc) = (ab)c.
- 2. a(b+c) = ab + ac, (a+b)c = ac + bc.
- 3. (Koszul sign convention)  $ab = (-1)^{\overline{a} \overline{b}} ba$  for a, b homogeneous.

The algebra A is unitary if there exists  $1 \in A_0$  such that 1a = a1 = a for every  $a \in A$ .

Let A be a graded algebra, then  $A_0$  is a commutative  $\mathbb{K}$ -algebra in the usual sense; conversely every commutative  $\mathbb{K}$ -algebra can be considered as a graded algebra concentrated in degree 0. If  $I \subset A$  is a homogeneous left (resp.: right) ideal then I is also a right (resp.: left) ideal and the quotient A/I has a natural structure of graded algebra.

**Example 3.2.** Polynomial algebras. Given a set  $\{x_i\}, i \in I$ , of homogeneous indeterminates of integral degree  $\overline{x_i} \in \mathbb{Z}$  we can consider the graded algebra  $\mathbb{K}[\{x_i\}]$ . As a  $\mathbb{K}$ -vector space  $\mathbb{K}[\{x_i\}]$  is generated by monomials in the indeterminates  $x_i$ . Equivalently  $\mathbb{K}[\{x_i\}]$  can be defined as the symmetric algebra  $\bigoplus_{n\geq 0} \bigcirc^n V$ , where  $V = \bigoplus_{i\in I} \mathbb{K} x_i \in \mathbf{G}$ . In some cases, in order to avoid confusion about terminology, for a monomial  $x_{i_1}^{\alpha_1} \dots x_{i_n}^{\alpha_n}$  it is defined:

- The internal degree  $\sum_{h} \overline{x_{i_h}} \alpha_h$ .
- The external degree  $\sum_{h} \alpha_h$ .

In a similar way it is defined  $A[\{x_i\}]$  for every graded algebra A.

**Definition 3.3.** A dg-algebra (differential graded algebra) is the data of a graded algebra A and a  $\mathbb{K}$ -linear map  $s: A \to A$ , called differential, with the properties:

- 1.  $s(A_n) \subset A_{n+1}, s^2 = 0.$
- 2. (graded Leibnitz rule)  $s(ab) = s(a)b + (-1)^{\overline{a}}as(b)$ .

A morphism of dg-algebras is a morphism of graded algebras commuting with differentials; the category of dg-algebras is denoted by **DGA**.

In the sequel, for every dg-algebra A we denote by  $A_{\sharp}$  the underlying graded algebra.

**Exercise 3.4.** Let (A, s) be a unitary dg-algebra; prove:

- 1.  $1 \in Z(A)$ .
- 2.  $1 \in B(A)$  if and only if H(A) = 0.
- 3. Z(A) is a graded subalgebra of A and B(A) is a homogeneous ideal of Z(A).
- 4. If A is local with maximal ideal M then  $s(M) \subset M$  if and only if  $H(A) \neq 0$ .

 $\triangle$ 

A differential ideal of a dg-algebra (A, s) is a homogeneous ideal I of A such that  $s(I) \subset I$ ; there exists an obvious bijection between differential ideals and kernels of morphisms of dgalgebras.

On a polynomial algebra  $\mathbb{K}[\{x_i\}]$  a differential s is uniquely determined by the values  $s(x_i)$ .

**Example 3.5.** Let t, dt be inderminates of degrees  $\overline{t} = 0$ ,  $\overline{dt} = 1$ ; on the polynomial algebra  $\mathbb{K}[t, dt] = \mathbb{K}[t] \oplus \mathbb{K}[t]dt$  there exists an obvious differential d such that d(t) = dt, d(dt) = 0. Since  $\mathbb{K}$  has characteristic 0, we have  $H(\mathbb{K}[t, dt]) = \mathbb{K}$ . More generally if (A, s) is a dg-algebra then A[t, dt] is a dg-algebra with differential  $s(a \otimes p(t)) = s(a) \otimes p(t) + (-1)^{\overline{a}}a \otimes p'(t)dt$ ,  $s(a \otimes q(t)dt) = s(a) \otimes q(t)dt$ .

**Definition 3.6.** A morphism of dg-algebras  $B \to A$  is a quasiisomorphism if the induced morphism  $H(B) \to H(A)$  is an isomorphism.

Given a morphism of dg-algebras  $B \to A$  the space  $\operatorname{Der}^n_B(A, A)$  of B-derivations of degree n is by definition

$$\operatorname{Der}_{B}^{n}(A,A) = \{\phi \in \operatorname{Hom}_{\mathbb{K}}^{n}(A,A) \mid \phi(ab) = \phi(a)b + (-1)^{na}a\phi(b), \ \phi(B) = 0\}.$$

We also consider the graded vector space

$$\operatorname{Der}_{B}^{*}(A, A) = \bigoplus_{n \in \mathbb{Z}} \operatorname{Der}_{B}^{n}(A, A) \in \mathbf{G}.$$

There exists a structure of differential graded Lie algebra on  $\text{Der}^*_B(A, A)$  with differential

$$d: \operatorname{Der}_B^n(A, A) \to \operatorname{Der}_B^{n+1}(A, A), \qquad d\phi = d_A \phi - (-1)^n \phi d_A$$

and bracket

$$[f,g] = fg - (-1)^{f\overline{g}}gf.$$

**Exercise 3.7.** Verify that  $d[f,g] = [df,g] + (-1)^{\overline{f}}[f,dg].$ 

**Exercise 3.8.** Let A be graded algebra: if every  $a \neq 0$  is invertible then  $A = A_0$  is a field.  $\triangle$ 

**Exercise 3.9.** Let A be a graded algebra and let  $I \subset A$  be a left ideal. Then the following conditions are equivalent:

- 1. I is the unique left maximal ideal.
- 2.  $A_0$  is a local ring with maximal ideal M and  $I = M \oplus_{i \neq 0} A_i$ .

 $\triangle$ 

# 4 The DG-resolvent

Let  $X \subset \mathbb{A}^n$  be a closed subscheme,  $R_0 = \mathbb{K}[x_1, \ldots, x_n]$  the ring of regular functions on  $\mathbb{A}^n$ ,  $I_0 \subset R_0$  the ideal of X and  $\mathcal{O}_X = R_0/I$  the function ring of X.

Our aim is to construct a dg-algebra (R, d) and a quasiisomorphism  $R \to \mathcal{O}_X$  such that  $R = R_0[y_1, y_2, \ldots]$  is a countably generated graded polynomial  $R_0$ -algebra, every indeterminate  $y_i$  has negative degree and, if  $R = \bigoplus_{i \leq 0} R_i$ , then  $R_i$  is a finitely generated free  $R_0$  module.

Choosing a set of generators  $f_1, \ldots, f_{s_1}$  of the ideal  $I_0$  we first consider the graded-commutative polynomial dg-algebra

$$R(1) = \mathbb{K}[x_1, \dots, x_n, y_1, \dots, y_{s_1}] = R_0[y_1, \dots, y_{s_1}], \qquad \overline{x_i} = 0, \quad \overline{y_i} = -1$$

with differential d defined by  $dx_i = 0$ ,  $dy_j = f_j$ . Note that (R(1), d), considered as a complex of  $R_0$  modules, is the Koszul complex of the sequence  $f_1, \ldots, f_{s_1}$ . By construction the complex of  $R_0$ -modules

$$\dots \longrightarrow R(1)_{-2} \xrightarrow{d} R(1)_{-1} \xrightarrow{d} R_0 \xrightarrow{\pi} \mathcal{O}_X \longrightarrow 0$$

is exact in  $R_0$  and  $\mathcal{O}_X$ . If  $(R(-1), d) \to \mathcal{O}_X$  is a quasiisomorphism of dg-algebras (e.g. if X is a complete intersection) the construction is done. Otherwise let  $f_{s_1+1}, \ldots, f_{s_2} \in \ker d \cap R(1)_{-1}$  be a set of generators of the  $R_0$  module ( $\ker d \cap R(1)_{-1}$ )/ $dR(1)_{-2}$  and define

$$R(2) = R(1)[y_{s_1+1}, \dots, y_{s_2}], \quad \overline{y_j} = -2, \quad dy_j = f_j, \quad j = s_1 + 1, \dots, s_2.$$

Repeating in a recursive way the above argument (step by step killing cycles) we get a chain of polynomial dg-algebras

$$R_0 = R(0) \subset R(1) \subset \ldots \subset R(i) \subset \ldots$$

such that  $(R(i), d) \to \mathcal{O}_X$  is a quasiisomorphism in degree > -i. Setting

$$R = \bigcup R(i) = \mathbb{K} [x_1, \dots, x_n, y_1, \dots, y_m, \dots] = \bigoplus_{i \le 0} R_i$$

the projection  $\pi: R \to \mathcal{O}_X$  is a quasiisomorphism of dg-algebras; in particular

 $\dots \xrightarrow{d} R_{-i} \xrightarrow{d} \dots \xrightarrow{d} R_{-2} \xrightarrow{d} R_{-1} \xrightarrow{d} R_{0} \xrightarrow{\pi} \mathcal{O}_{X} \longrightarrow 0$ 

is a free resolution of the  $R_0$  module  $\mathcal{O}_X$ .

We denote by:

1.  $Z_i = \ker d \cap R_i$ .

- 2.  $\mathcal{L} = \operatorname{Der}^*_{\mathbb{K}}(R, R).$
- 3.  $\mathcal{H} = \operatorname{Der}_{R_0}^*(R, R) = \{g \in \mathcal{L} \mid g(R_0) = 0\}.$

It is clear that, since  $gR_i \subset R_{i+j}$  for every  $g \in \mathcal{L}^j$ ,  $\mathcal{L}^i = \mathcal{H}^i$  for every i > 0 and then the DGLAs  $\mathcal{L}$ ,  $\mathcal{H}$  have the same Maurer-Cartan functor  $MC_{\mathcal{H}} = MC_{\mathcal{L}}$ . Moreover R is a free graded algebra and then  $\mathcal{L}^j$  is in bijection with the maps of "degree j"  $\{x_i, y_h\} \to R$ .

Consider a fixed  $\eta \in MC_{\mathcal{H}}(A)$ . Recalling the definition of  $MC_{\mathcal{H}}$  we have that  $\eta = \sum \eta_i \otimes a_i \in \text{Der}^1_{R_0}(R, R) \otimes m_A$  and the A-derivation

$$d + \eta \colon R \otimes A \to R \otimes A, \qquad (d + \eta)(x \otimes a) = dx \otimes a + \sum \eta_i(x) \otimes a_i a$$

is a differential. Denoting by  $\mathcal{O}_A$  the cokernel of  $d + \eta \colon R_{-1} \otimes A \to R_0 \otimes A$  we have by Corollary 2.5 that  $(R \otimes A, d + \eta) \to \mathcal{O}_A$  is a quasiisomorphism,  $\mathcal{O}_A$  is flat and  $\mathcal{O}_A \otimes \mathbb{K} = \mathcal{O}_X$ . Therefore we have natural transformations of functors

$$MC_{\mathcal{H}} = MC_{\mathcal{L}} \to \operatorname{Hilb}_X \to \operatorname{Def}_X.$$

Lemma 4.1. The above morphisms of functors are surjective.

Proof. Let  $\mathcal{O}_A$  be a flat A-algebra such that  $\mathcal{O}_A \otimes_A \mathbb{K} = \mathcal{O}_X$ ; since  $R_0$  is a free  $\mathbb{K}$ -algebra, the projection  $R_0 \xrightarrow{\pi} \mathcal{O}_X$  can be extended to a morphism of flat A-algebras  $R_0 \otimes A \xrightarrow{\pi_A} \mathcal{O}_A$ . According to Corollary 2.3  $\pi_A$  is surjective; this proves that  $\operatorname{Hilb}_X(A) \to \operatorname{Def}_X(A)$  is surjective (in effect it is possible to prove directly that  $\operatorname{Hilb}_X \to \operatorname{Def}_X$  is smooth, cf. [1]). An element of  $\operatorname{Hilb}_X(A)$  gives an exact sequence of flat A-modules

$$R_0 \otimes A \xrightarrow{\pi_A} \mathcal{O}_A \longrightarrow 0.$$

Denoting by  $I_{0,A} \subset R_0 \otimes A$  the kernel of  $\pi_A$  we have that  $I_{0,A}$  is A-flat and the projection  $I_{0,A} \to I_0$  is surjective. We can therefore extend the restriction to R(1) of the differential  $d_A$  to a differential  $d_A$  on  $R(1) \otimes A$  by setting  $d_A(y_j) \in I_{0,A}$  a lifting of  $d(y_j)$ ,  $j = 1, \ldots, s_1$ . Again by local flatness criterion the kernel  $Z_{-1,A}$  of  $R_{-1} \otimes A = R(1)_{-1} \otimes A \xrightarrow{d_A} R_0 \otimes A$  is flat and surjects onto  $Z_{-1}$ . The same argument as above, with  $I_{0,A}$  replaced by  $Z_{-1,A}$  shows that d can be extended to a differential  $d_A$  on R(2) and then by induction to a differential  $d_A$  on  $R \otimes A$  such that  $(R \otimes A, d_A) \to \mathcal{O}_A$  is a quasiisomorphism. If  $a_1, \ldots, a_r$  is a  $\mathbb{K}$ -basis of the maximal ideal of A we can write  $d_A(x \otimes 1) = dx \otimes 1 + \sum \eta_i(x) \otimes a_i$  and then  $\eta = \sum \eta_i \otimes a_i \in MC_{\mathcal{H}}(A)$ .

If  $\xi \in \operatorname{Der}_{R_0}^0(R,R) \otimes m_A$ ,  $A \in \operatorname{Art}$ , then  $e^{\xi} \colon R \otimes A \to R \otimes A$  is an automorphism inducing the identity on R and  $R_0 \otimes A$ . Therefore the morphism  $MC_{\mathcal{H}}(A) \to \operatorname{Hilb}_X(A)$ factors through  $\operatorname{Def}_{\mathcal{H}}(A) \to \operatorname{Hilb}_X(A)$ . Similarly the morphism  $MC_{\mathcal{L}}(A) \to \operatorname{Def}_X(A)$  factors through  $\operatorname{Def}_{\mathcal{L}}(A) \to \operatorname{Def}_X(A)$ .

**Theorem 4.2.** The natural transformations

 $\operatorname{Def}_{\mathcal{H}} \to \operatorname{Hilb}_X, \qquad \operatorname{Def}_{\mathcal{L}} \to \operatorname{Def}_X$ 

are isomorphisms of functors.

Proof. We have already proved the surjectivity. The injectivity follows from the following lifting argument. Given  $d_A, d'_A \colon R \otimes A \to R \otimes A$  two liftings of the differential d and  $f_0 \colon R_0 \otimes A \to R_0 \otimes A$  a lifting of the identity on  $R_0$  such that  $f_0 d_A(R_{-1} \otimes A) \subset d'_A(R_{-1} \otimes A)$  there exists an isomorphism  $f \colon (R \otimes A, d_A) \to (R \otimes A, d'_A)$  extending  $f_0$  and the identity on R. This is essentially trivial because  $R \otimes A$  is a free  $R_0 \otimes A$  graded algebra and  $(R \otimes A, d'_A)$  is exact in degree < 0. Thinking f as an automorphism of the graded algebra  $R \otimes A$  we have, since  $\mathbb{K}$  has characteristic 0, that  $f = e^{\xi}$  for some  $\xi \in \mathcal{L}^0$  and  $\xi \in \mathcal{H}^0$  if and only if  $f_0 = Id$ . By the definition of gauge action  $d'_A - d = exp(\xi)(d_A - d)$ ; the injectivity follows.

**Proposition 4.3.** If  $I \subset R_0$  is the ideal of  $X \subset \mathbb{A}^n$  then:

- 1.  $H^i(\mathcal{H}) = H^i(\mathcal{L}) = 0$  for every i < 0.
- 2.  $H^0(\mathcal{H}) = 0, \ H^0(\mathcal{L}) = \operatorname{Der}_{\mathbb{K}}(\mathcal{O}_X, \mathcal{O}_X).$
- 3.  $H^1(\mathcal{H}) = \operatorname{Hom}_{\mathcal{O}_X}(I/I^2, \mathcal{O}_X)$  and  $H^1(\mathcal{L})$  is the cohernel of the natural morphism

 $\operatorname{Der}_{\mathbb{K}}(R_0, \mathcal{O}_X) \xrightarrow{\alpha} \operatorname{Hom}_{\mathcal{O}_X}(I/I^2, \mathcal{O}_X).$ 

*Proof.* There exists a short exact sequence of complexes

$$0 \longrightarrow \mathcal{H} \longrightarrow \mathcal{L} \longrightarrow \mathrm{Der}^*_{\mathbb{K}}(R_0, R) \longrightarrow 0.$$

Since  $R_0$  is free and R is exact in degree < 0 we have:

$$H^{i}(\operatorname{Der}_{\mathbb{K}}^{*}(R_{0},R)) = \begin{cases} 0 & i \neq 0, \\ \operatorname{Der}_{\mathbb{K}}(R_{0},\mathcal{O}_{X}) & i = 0. \end{cases}$$

Moreover  $\operatorname{Der}_{\mathbb{K}}(\mathcal{O}_X, \mathcal{O}_X)$  is the kernel of  $\alpha$  and then it is sufficient to compute  $H^i(\mathcal{H})$  for  $i \leq 1$ .

Every  $g \in Z^i(\mathcal{H}), i \leq 0$ , is a  $R_0$ -derivation  $g: \mathbb{R} \to \mathbb{R}$  such that  $g(\mathbb{R}) \subset \bigoplus_{i < 0} R_i$  and  $gd = \pm dg$ . As above  $\mathbb{R}$  is free and exact in degree < 0, a standard argument shows that g is a coboundary. If  $g \in Z^1(\mathcal{H})$  then  $g(\mathbb{R}_{-1}) \subset \mathbb{R}_0$  and, since gd + dg = 0, g induces a morphism

$$\overline{g} \colon \frac{R_{-1}}{dR_{-2}} = I \longrightarrow \frac{R_0}{dR_{-1}} = \mathcal{O}_X.$$

The easy verification that  $Z^1(\mathcal{H}) \to \operatorname{Hom}_{R_0}(I, \mathcal{O}_X)$  induces an isomorphism  $H^1(\mathcal{H}) \to \operatorname{Hom}_{R_0}(I, \mathcal{O}_X)$  is left to the reader.

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