Connected components of moduli spaces of irreducible holomorphic symplectic manifolds of Kummer type

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Abstract. In this paper we determine the number of connected components of moduli spaces of both marked and polarised irreducible holomorphic symplectic manifolds deformation equivalent to generalised Kummer varieties.

1. Introduction

An irreducible holomorphic symplectic manifold X is a simply connected compact Kähler manifold with an everywhere nondegenerate holomorphic 2-form that is unique up to constant. They are seen as higher dimensional analogous to K3 surfaces, that are the lowest dimensional example, and with which they share a lot of properties. The existence of the nondegenerate form implies that the dimension is even. For any $n \geq 2$, Beauville constructed two families of irreducible holomorphic symplectic manifolds of dimension 2n, which are not deformation equivalent each other (2). These families are constructed starting from Hilbert schemes of points on K3 and abelian surfaces, and are respectively called Hilbert powers of K3 surfaces and generalised Kummer varieties. Together with two sporadic families constructed by O'Grady in dimension 6 and 10 (see [18] and [17]), and not deformation equivalent to the previous ones, these are all the known deformation types of irreducible holomorphic symplectic manifolds. The question whether this is a complete list or not is still open. In this paper we investigate some topological properties of moduli spaces of one of these types, namely the generalised Kummer deformation type, and we simply refer to these manifolds as manifolds of Kummer type.

More precisely we focus on moduli problems. Fixed a deformation type, one can costruct moduli spaces of marked and polarised irreducible holomorphic symplectic manifolds. These moduli spaces behave very much like the corresponding moduli spaces of K3 surfaces. For example, we have local and global Torelli theorems that create a bridge between geometry and combinatorics (see for example [5] and [9]). We address the topological question: how many connected components do these moduli spaces have?

For K3 surfaces it is known that the marked moduli space has two connected components, corresponding to the two pairs (S, η) and $(S, -\eta)$, where S is a K3

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surface and η is a marking. In other words, this reflects the fact that the monodromy group Mon²(K3) has index 2 in the isometry group O($H^2(K3,\mathbb{Z})$), and -id is not a monodromy operator. On the other hand, fixing a polarisation does not add connected components, i.e. the moduli space of polarised K3 surfaces is connected (this is mostly a consequence of the fact that the K3 lattice $H^2(K3,\mathbb{Z})$ is unimodular). It is quite natural to ask what is the situation in higher dimensions.

Let us also point out that determining the number of connected components also gives information about the geometry itself. If X and X' are two nonbirational irreducible holomorphic symplectic manifolds, that are Hodge isometric (i.e. they have the same period), then there exist markings η and η' such that (X, η) and (X', η') belong to different connected components. This is a consequence of the Hodge-theoretic Torelli theorem ([9, Theorem 1.3]). For example, Namikawa noticed that the generalised Kummer varieties constructed on an abelian surface and its dual are not birational, at least when A is generic, but they are Hodge isometric ([15]). Markman and Mehrotra also constructed a Hodge isometry between this two varieties in [12]. As a consequence one deduces that the moduli space of marked manifolds of Kummer type has always at least four connected components, and this bound is reached in the special situation when n+1 is the power of a prime (recall that 2n is the dimension of the manifold). Even though similar phenomena appear for Hilbert powers of K3 surfaces as well in certain dimensions (cf. [8, Proposition 4.10]), when n-1 is the power of a prime the moduli space of marked manifolds of $K3^{[n]}$ type has only two connected components. This difference between Hilbert powers of K3 surfaces and generalised Kummer varieties is due to the aforementioned Namikawa phenomenon, which is very geometrical in nature.

As we recall in Section 2, the set of connected components is strictly related to the shape of the monodromy group. Monodromy groups are important gadgets attached to any irreducible holomorphic symplectic manifolds, that can be naively thought of as groups of geometric isometries of the H^2 lattice (see Definition 2.2). Their computation is paramount to study the geometry of irreducible holomorphic symplectic manifolds, and they have now be computed in all the known deformation classes (see [7] for the $K3^{[n]}$ type, [13] and [11] for the Kummer type, [14] for the OG6 type, and [19] for the OG10 type).

Apostolov in [1] computes the number of connected components of moduli spaces of both marked and polarised irreducible holomorphic symplectic manifolds deformation equivalent to Hilbert powers of K3 surfaces. We extend his results to manifolds of Kummer type. Since the Beauville–Bogomolov–Fujiki lattice of Hilbert powers of K3 surfaces and generalised Kummer varieties are both of the form $L \oplus \langle l \rangle$, where L is unimodular and $l^2 < 0$, our result is, as expected, very similar to Apostolov's result.

The main result of the paper is the following.

Theorem 1.1. Let $n \geq 2$.

1. (Corollary 4.2) The moduli space of marked irreducible holomorphic symplectic manifolds of Kummer type has $2^{\rho(n+1)+1}$ connected components, where

 $\rho(k)$ is the number of distinct primes in the factorisation of k.

2. (Theorem 5.5) The moduli space of polarised irreducible holomorphic symplectic manifolds of Kummer type is not connected in general. Moreover, the number of connected components can get arbitrarily large as the degree of the polarisation increases (see Theorem 5.5 for the precise statement).

The main tool used to prove the Theorem 1.1 above is a characterisation of (polarised) parallel transport operators in terms of a distinguished orbit of primitive embeddings of the Beauville–Bogomolov–Fujiki lattice in the Mukai lattice (see Proposition 3.6 and the Corollary thereafter). This characterisation solves [9, Problem 10.3] for manifolds of Kummer type.

Plan of the paper. In Section 2 we recall the main definitions, notations and background to state and prove the results in the rest of the paper. In Section 3 we recall the definition of generalised Kummer varieties and give a characterisation of (polarised) parallel transport operators (cf. Proposition 3.6). In Section 4 we focus on the moduli space of marked irreducible holomorphic symplectic manifolds of Kummer type; in Section 5 we focus on the moduli space of polarised irreducible holomorphic symplectic manifolds of Kummer type.

Note added in proof. This manuscript has lived a long time as a preprint before being submitted for publication. In the meantime progresses have been done in the understanding of the other two known deformation types, namely the so-called OG6 and OG10 deformation types. It has been proved in [14] and [19] that in both these cases the monodromy group is maximal, i.e. it coincides with the group of orientation preserving isometries. In particular the number of connected components is easy to compute in these cases: we have two connected components for the moduli space of marked manifolds of type OG6 and OG10 (corresponding to change the sign of the marking), and only one connected component for the moduli space of polarised manifolds with fixed polarisation type.

2. Preliminaries and notations

Definition 2.1. A compact Kähler manifold X is called *irreducible holomorphic* symplectic if it is simply connected and $H^0(X, \Omega_X^2) = \mathbb{C}\sigma_X$, where σ_X is nondegenerate at any point.

It follows directly from the definition that $H^2(X, \mathbb{Z})$ is a torsion free \mathbb{Z} -module; it turns out to be a lattice thanks to the Beauville–Bogomolov–Fujiki form q_X (see for example [2]). This lattice structure is paramount to study the geometry of an irreducible holomorphic symplectic manifold X; we refer to [5] and [9] for a detailed account of results on their geometry.

Let X_1 and X_2 be two irreducible holomorphic symplectic manifolds that are deformation equivalent.

Definition 2.2. We say that $g: H^2(X_1, \mathbb{Z}) \to H^2(X_2, \mathbb{Z})$ is a parallel transport operator if there exists a family $p: \mathcal{X} \to B$, points $b_1, b_2 \in B$ and isomorphisms $\varphi_i: X_i \xrightarrow{\sim} \mathcal{X}_{b_i}$ such that the composition $(\varphi_2^*)^{-1} \circ g \circ \varphi_1^*$ is the parallel transport inside the local system $R^2 p_* \mathbb{Z}$ along a path γ from b_1 to b_2 . Here $R^2 p_* \mathbb{Z}$ is endowed with the Gauss–Manin connection.

When $X_1 = X_2 = X$ and γ is a loop we talk about *monodromy operators*. Such isometries form a subgroup $\text{Mon}^2(X)$ of the orthogonal group $O(H^2(X,\mathbb{Z}))$, called *monodromy group*.

Remark 2.3. If X is an irreducible holomorphic symplectic manifold, the Beauville–Bogomolov–Fujiki lattice $H^2(X, \mathbb{Z})$ has signature $(3, b_2(X) - 3)$. As shown in [9, Lemma 4.1], the cone \tilde{C}_X of positive classes $H^2(X, \mathbb{R})$ (not to be confused with the positive cone of X) has a 1-dimensional cohomology space, i.e. $H^2(\tilde{C}_X, \mathbb{Z}) \cong \mathbb{Z}$. A choice of a generator is usually referred to as an *orientation* of $H^2(X, \mathbb{Z})$. Any isometry of $H^2(X, \mathbb{Z})$ induces an automorphism of $H^2(\tilde{C}_X, \mathbb{Z})$, and an isometry is said to be *orientation preserving* if it is in the kernel of the map $O(H^2(X, \mathbb{Z})) \to$ $Aut(H^2(\tilde{C}_X, \mathbb{Z})) \cong \mathbb{Z}_2$. By a direct check, or again by [9, Lemma 4.1], reflections around vectors of degree -2 are orientation preserving; reflections around vectors of degree 2 are not orientation preserving. We remark that, up to a sign, this definition of orientation preserving isometry coincides with the notion of spinor norm in lattice theory.

If now ω_X is a Kähler class and σ_X is a holomorphic symplectic 2-form, then the positive 3-space $\langle \operatorname{Re}(\sigma_X), \operatorname{Im}(\sigma_X), \omega_X \rangle \subset H^2(X, \mathbb{R})$ determines a preferred orientation: in fact, by [9, Lemma 4.1] again, for any positive 3-space W in $H^2(X, \mathbb{R})$, the space $W \setminus 0$ is a retract of \widetilde{C}_X . Notice that the orientation so defined does not depend on the choice of the Kähler class, nor on the choice of the symplectic form (see [9, Section 4]). In particular, if $g: H^2(X, \mathbb{Z}) \to H^2(Y, \mathbb{Z})$ is an isometry, then we say that g is orientation preserving if it preserves the preferred orientations of X and Y.

Notice that, by definition, any parallel transport operator is orientation preserving. If $O^+(H^2(X,\mathbb{Z}))$ denotes the group of orientation preserving isometries, then $Mon^2(X) \subset O^+(H^2(X,\mathbb{Z}))$.

Let Λ be a lattice. A marking is an isometry $\eta: H^2(X, \mathbb{Z}) \to \Lambda$. We denote by \mathfrak{M}_{Λ} the moduli space parametrising pairs (X, η) where X has a fixed deformation type, and η is a marking. \mathfrak{M}_{Λ} is a smooth (but not Hausdorff) complex manifold of dimension $\operatorname{rk} \Lambda - 2$ (complex charts are given by Kuranishi spaces $\operatorname{Def}(X)$). Let Ξ_{Λ} be the set of connected components of \mathfrak{M}_{Λ} .

The group $O(\Lambda)$ acts on \mathfrak{M}_{Λ} by changing the marking, and the induced action on Ξ_{Λ} is transitive. Moreover, the stabiliser of a connected component is a group isomorphic to the monodromy group (see [9] for the detailed statement). Therefore, the cardinality of Ξ_{Λ} is equal to the index of the monodromy group $Mon^2(X)$ in $O(H^2(X,\mathbb{Z}))$, where X is any irreducible holomorphic symplectic manifold in \mathfrak{M}_{Λ} . By [6, Theorem 2.6] and [20, Theorem 3.5.(iv), Theorem 7.2], this number is finite.

Now, let $h \in \Lambda$ be a primitive and positive element; we denote by \bar{h} the O(Λ)orbit determined by h. The moduli space $\mathfrak{M}^a_{\bar{h}}$ parametrising triples (X, H, η) , where η is a marking and H is a polarisation on X such that $\eta(c_1(H)) \in \bar{h}$, is the moduli space of marked polarised irreducible holomorphic symplectic manifolds of type \bar{h} (cf. [9, Section 7]). If we denote by $\mathfrak{M}_{\bar{h}}^{t,a}$ a connected component of $\mathfrak{M}_{\bar{h}}^{a}$, then by [9, Lemma 8.1] there is an isomorphism of analytic spaces

$$\mathfrak{M}^{a}_{\overline{h}}/\mathcal{O}(\Lambda) \cong \mathfrak{M}^{t,a}_{\overline{h}}/\Gamma$$

where Γ is an arithmetic subgroup of $O(\Lambda)$ isomorphic to the subgroup of the monodromy group preserving the polarisation.

By [9, Lemma 8.3] (cf. also [4, Theorem 1.5]), the quotient $\mathcal{V}_{\bar{h}}^t := \mathfrak{M}_{\bar{h}}^{t,a}/\Gamma \cong \mathfrak{M}_{\bar{h}}^a/O(\Lambda)$ is (analytically) isomorphic to a connected component of the moduli space $\mathcal{V}_{n,d}$ of polarised irreducible holomorphic symplectic manifolds of dimension 2n and with a polarisation of degree d. Here and after by *degree* we always mean the Beauville–Bogomolov–Fujiki degree. The moduli space $\mathcal{V}_{n,d}$ exists as a quasi-projective variety with quotient singularities (cf. [21]).

In [9], the moduli space

$$\mathcal{V}_{\bar{h}} := \coprod \mathcal{V}_{\bar{h}}^t$$

is referred to as the moduli space of polarised irreducible holomorphic symplectic manifolds of type \bar{h} .

Recall that the divisibility $\operatorname{div}(h)$ of a non-zero and primitive element $h \in \Lambda$ is the positve generator of the ideal $(h, \Lambda) \subset \mathbb{Z}$. Notice that this number always divides the determinant of Λ . Fixing the degree and the divisibility of h does not determine its orbit \overline{h} in general. If $\mathcal{V}_{n,d,\delta} \subset \mathcal{V}_{n,d}$ is the sub-moduli space in which the polarisation has divisibility δ , then

$$\mathcal{V}_{n,d,\delta}\cong\coprod\mathcal{V}_{\bar{h}},$$

where the disjoint union runs over all the O(Λ)-orbits of elements h with degree d and divisibility δ . In particular, if $\Upsilon_{n,d,\delta}$ is the set of connected components of $\mathcal{V}_{n,d,\delta}$, then

$$\Upsilon_{n,d,\delta} = \coprod \Upsilon_{\bar{h}}$$

Notice that all these sets are finite.

The sub-moduli spaces $\mathcal{V}_{n,d,\delta}$ are the main objects in Section 5.

3. Generalised Kummer varieties and a characterisation of parallel transport operators

The main characters of this paper are the so-called generalised Kummer varieties. We recall the two main constructions of such manifolds.

Example 1 ([2]). Let A be an abelian surface and $n \ge 2$. The Hilbert scheme $A^{[n+1]}$ of (n + 1)-points on A is smooth and its Albanese map $a: A^{[n+1]} \to A$ is just the sum map (defined using the group structure of A). If $p \in A$, then the fibre $a^{-1}(p) =: \operatorname{Kum}^n(A)$ is an irreducible holomorphic symplectic manifold of dimension 2n.

Let A be an abelian surface. The even cohomology ring

$$H^{\operatorname{even}}(A,\mathbb{Z}) = H^0(A,\mathbb{Z}) \oplus H^2(A,\mathbb{Z}) \oplus H^4(A,\mathbb{Z})$$

has a natural quadratic form

$$(\alpha_1, \alpha_2, \alpha_3)^2 = \int_A (\alpha_2^2 - 2\alpha_1 \alpha_3)$$

turning it into an even unimodular lattice called *Mukai lattice*. It has signature (4, 4) and is isometric to the abstract lattice $\tilde{\Lambda} := U^{\oplus 4}$, where U is the hyperbolic plane.

Example 2 ([23]). Let again A be an abelian surface and let $v \in H^{\text{even}}(A, \mathbb{Z})$ be a primitive and effective Mukai vector such that $v^2 \geq 6$ (see [23, Definition 0.1]). The moduli space $M_v(A)$ (with respect to a *v*-generic polarisation) is again smooth and the Albanese map is

$$\mathfrak{a}\colon M_v(A)\longrightarrow A\times A^{\vee}$$

defined by

$$\mathfrak{a}(E) = \left(\det\left(\mathfrak{F}(E)\otimes\mathfrak{F}(E_0)^{\vee}\right), \det(E)\otimes\det(E_0)^{\vee}\right)$$

where \mathfrak{F} is the Fourier–Mukai transform, $E_0 \in M_v(A)$ is fixed and $A^{\vee} = \operatorname{Pic}^0(A)$ is the dual abelian variety. The fibre $\mathfrak{a}^{-1}(E_0) =: \mathrm{K}_v(A)$ is an irreducible holomorphic symplectic manifold of dimension $v^2 - 2$ deformation equivalent to $\operatorname{Kum}^{\frac{v^2}{2}-1}(A)$.

Any irreducible holomorphic symplectic manifold deformation equivalent to one of the examples above is called of *Kummer type*. If X is one such manifold, the lattice structure on $H^2(X, \mathbb{Z})$ is isometric to

$$\Lambda_n := U^{\oplus 3} \oplus \langle -2n - 2 \rangle, \tag{3.1}$$

where U is the hyperbolic plane.

The discriminant group A_X of an irreducible holomorphic symplectic manifold is the quotient $H^2(X,\mathbb{Z})^*/H^2(X,\mathbb{Z})$; any isometry $g \in O(H^2(X,\mathbb{Z}))$ naturally acts on A_X . Define

$$W(X) = \{g \in \mathcal{O}(H^2(X,\mathbb{Z})) \mid g \text{ acts as } \pm id \text{ on } A_X\}$$
(3.2)

and consider the associated character $\chi \colon W(X) \longrightarrow \{\pm 1\}$. Let $f \colon W(X) \longrightarrow \{\pm 1\}$ be the map $f(g) = \chi(g) \det(g)$ and define

$$N(X) = \ker f. \tag{3.3}$$

Remark 3.1. If $u \in H^2(X, \mathbb{Z})$ is such that $q(u) = \pm 2$, define the reflections

$$\rho_u(v) = \begin{cases} v + q(u, v)u & q(v) = -2\\ -v + q(u, v)u & q(v) = 2 \end{cases}$$
(3.4)

Notice that W(X) is the group generated by products of reflections ρ_u , where $(u, u) = \pm 2$ ([8, Lemma 4.2]). It follows that N(X) is the group generated by products $\rho_{u_1} \cdots \rho_{u_k}$, where $(u_j, u_j) = -2$ for an even number of indices, and $(u_j, u_j) = 2$ for the remaining ones.

Proposition 3.2 ([13, Theorem 2.3]). Let X be an irreducible holomorphic symplectic manifold of Kummer type. Then

$$\operatorname{Mon}^2(X) = N(X).$$

When $X = K_v(A)$ is a moduli space as in Example 2, we have a natural isometry $H^2(X,\mathbb{Z}) \cong v^{\perp}$ and so a natural primitive embedding $i_v \colon H^2(X,\mathbb{Z}) \to H^{\text{even}}(A,\mathbb{Z}) \cong \widetilde{\Lambda}$.

Remark 3.3. Notice that if $g \in W(X)$ then it extends to the lattice $H^{\text{even}}(A, \mathbb{Z})$, i.e. there exists an isometry $\tilde{g} \in O(H^{\text{even}}(A, \mathbb{Z}))$ such that $\tilde{g}|_{H^2(X,\mathbb{Z})} = g$ ([16, Proposition 1.5.1]).

Let $O(\Lambda_n, \widetilde{\Lambda})$ be the set of primitive embeddings of Λ_n inside $\widetilde{\Lambda}$. Both $O(\Lambda_n)$ and $O(\widetilde{\Lambda})$ act on $O(\Lambda_n, \widetilde{\Lambda})$ by, respectively, pre- and post-composition.

Proposition 3.4 ([22, Theorem 4.9]). There exists a distinguished $Mon^2(X)$ -invariant $O(\widetilde{\Lambda})$ -orbit

$$[i_X] \in \mathcal{O}(\widetilde{\Lambda}) \setminus \mathcal{O}(H^2(X,\mathbb{Z}),\widetilde{\Lambda}).$$

Let us recall how this orbit is constructed. Deform X to $K_v(A)$ and pick a parallel transport operator $P: H^2(X, \mathbb{Z}) \to H^2(K_v(A), \mathbb{Z})$. As we said above, there exists a distinguished primitive embedding $i_v: H^2(K(v), \mathbb{Z}) \to H^{even}(A, \mathbb{Z})$ and hence a distinguished $O(\tilde{\Lambda})$ -orbit $[i_v] \in O(\tilde{\Lambda}) \setminus O(H^2(K(v), \mathbb{Z}), \tilde{\Lambda})$. Put then

$$[i_X] := [i_v \circ P] \in \mathcal{O}(\widetilde{\Lambda}) \setminus \mathcal{O}(H^2(X, \mathbb{Z}), \widetilde{\Lambda}).$$

Remark 3.5. Notice that W(X) is identified with the stabiliser with respect to the $O^+(H^2(X,\mathbb{Z}))$ -action of $[i_X]$ in $O(\widetilde{\Lambda}) \setminus O(H^2(X,\mathbb{Z}),\widetilde{\Lambda})$ ([8, Lemma 4.3]).

Proposition 3.6. Let X_1 and X_2 be two irreducible holomorphic symplectic manifolds of Kummer type, and let $g: H^2(X_1, \mathbb{Z}) \to H^2(X_2, \mathbb{Z})$ be an orientation preserving isometry. Then,

- 1. if g is a parallel transport operator, then $[i_{X_1}] = [i_{X_2}] \circ g$;
- 2. if $[i_{X_1}] = [i_{X_2}] \circ g$, then either g is a parallel transport operator or $\tau_{X_2} \circ g$ is, where τ_{X_2} is any element in $W(X_2) \setminus N(X_2)$.

Notice that since N(X) has index 2 in W(X), the choice of τ_{X_2} is essentially unique.

Proof. Assume first that g is a parallel transport operator. Let us deform both X_1 and X_2 to the same moduli space $K_v(A)$ and pick two parallel transport operators $P_i: H^2(X_i, \mathbb{Z}) \to H^2(K_v(A), \mathbb{Z})$. By assumption on g, we can choose $P_1 = P_2 \circ g$ and then

$$[i_{X_1}] = [i_v \circ P_1] = [i_v \circ P_2 \circ g] = [i_v \circ P_2] \circ g = [i_{X_2}] \circ g$$

by the definition of $[i_X]$.

Vice versa, let us suppose that $[i_{X_1}] = [i_{X_2}] \circ g$. Since X_1 and X_2 are deformation equivalent, we can pick a parallel transport operator $f: H^2(X_2, \mathbb{Z}) \to H^2(X_1, \mathbb{Z})$ and by the previous part of the proof we have $[i_{X_2}] = [i_{X_1}] \circ f$. Putting together these two equalities, we get the relation $[i_{X_1}] = [i_{X_1}] \circ (f \circ g)$, that is $f \circ g \in W(X_1)$.

If $f \circ g \in N(X_1)$, then we conclude as before. If $f \circ g \notin N(X_1)$, then there exists $h \in W(X_1) \setminus N(X_1)$ such that $h \circ f \circ g \in N(X_1)$ is a monodromy operator. As before, the composition $(f^{-1} \circ h \circ f) \circ g$ is a parallel transport operator and $f^{-1} \circ h \circ f = \tau_X$ is the required element in $W(X_1)$ but not in $N(X_1)$. \Box

Now let (X_1, H_1) and (X_2, H_2) be two polarised deformation equivalent irreducible holomorphic symplectic manifolds. Let us put $h_i := c_1(H_i)$ for convenience. Using [9, Proposition 7.4], we get the following corollary.

Corollary 3.7. Suppose X_1 and X_2 are irreducible holomorphic symplectic manifolds of generalised Kummer type, and let $g: H^2(X_1, \mathbb{Z}) \to H^2(X_2, \mathbb{Z})$ be an orientation preserving isometry. Then:

- 1. if g is a polarised parallel transport operator, then $[i_{X_1}] = [i_{X_2}] \circ g$ and $g(h_1) = h_2;$
- 2. if $[i_{X_1}] = [i_{X_2}] \circ g$ and $g(h_1) = h_2$, then either g is a polarised parallel transport operator or there exists an element $u \in H^2(X_2, \mathbb{Z})$, with (u, u) = -2 and $(u, h_2) = 0$, such that $\rho_u \circ g$ is a parallel transport operator.

Proof. By Remark 3.1, ρ_u is an element in $W(X_2)$ but not in $N(X_2)$ as soon as (u, u) = -2. The only thing to prove is then the existence of elements u such that (u, u) = -2 and $(u, h_2) = 0$. Since (-2)-elements exist in hyperbolic planes, this follows from the Eichler criterion ([3, Proposition 3.3]).

4. Moduli spaces of marked irreducible holomorphic symplectic manifolds

Let $\Lambda_n = U^{\oplus 3} \oplus \langle -2n - 2 \rangle$ be the abstract lattice of an irreducible holomorphic symplectic manifold of dimension 2n of Kummer type, and \mathfrak{M}_{Λ_n} the moduli space of marked irreducible holomorphic symplectic manifolds of Kummer type. We denote by Ξ_n the set of connected components of \mathfrak{M}_{Λ_n} .

By Remark 2.3, any X comes with a preferred orientation on $H^2(X,\mathbb{Z})$ (induced by the choice of a Kähler class and a holomorphic symplectic class). If η is a marking, then η induces an orientation on the abstract lattice Λ_n . (As in Remark 2.3, one defines the orientation of a lattice Λ of signature (3, t) to be a generator of $H^2(\tilde{C}_{\Lambda},\mathbb{Z})$, where \tilde{C}_{Λ} is the cone of positive vectors - see [9, Section 4].) Let us call orient (X, η) this orientation. As explained in [9, Section 4], the induced orientation map

orient:
$$\Xi_n \longrightarrow \operatorname{orient}(\Lambda_n) = \{\pm 1\},\$$

defined by sending each connected component $\mathfrak{M}_{\Lambda_n}^t$ to $\operatorname{orient}(X,\eta)$ for any $(X,\eta) \in \mathfrak{M}_{\Lambda_n}^t$, is well defined and independent of the choice of (X,η) . Essentially this is due to the fact that if (X_1,η_1) and (X_2,η_2) are in the same connected component, then the composition $\eta_2^{-1} \circ \eta_1$ is a parallel transport operator, and hence it is orientation preserving.

On the other hand we can define the map

orb:
$$\Xi_n \longrightarrow \mathcal{O}(\widetilde{\Lambda}) \setminus \mathcal{O}(\Lambda_n, \widetilde{\Lambda})$$

by sending $\mathfrak{M}_{\Lambda_n}^t$ to $[i_X] \circ \eta^{-1}$, where $(X, \eta) \in \mathfrak{M}_{\Lambda_n}^t$. This is well defined, because if $(X', \eta') \in \mathfrak{M}_{\Lambda_n}^t$ is another marked pair, then the composition $\eta^{-1} \circ \eta'$ is a parallel transport operator and, by Proposition 3.6, $[i_{X'}] \circ \eta'^{-1} = [i_X] \circ \eta^{-1}$.

Proposition 4.1. The product map

orb × orient:
$$\Xi_n \longrightarrow \mathcal{O}(\widetilde{\Lambda}) \setminus \mathcal{O}(\Lambda_n, \widetilde{\Lambda}) \times \{\pm 1\}$$

is 2:1 and surjective.

Proof. It directly follows from Proposition 3.6.

Corollary 4.2. The number of connected components of the moduli space \mathfrak{M}_{Λ_n} of marked irreducible holomorphic symplectic manifolds of Kummer type is

$$|\Xi_n| = 2^{\rho(n+1)+1}$$
.

where $\rho(k)$ is the number of distinct primes in the factorisation of k.

Proof. According to [8, Lemma 4.3.(1)], the cardinality of $O(\tilde{\Lambda}) \setminus O(\Lambda_n, \tilde{\Lambda})$ is equal to $2^{\rho(n+1)-1}$. Hence the claim follows directly from Proposition 4.1.

5. Moduli spaces of polarised irreducible holomorphic symplectic manifolds

Let Λ_n be the abstract lattice of an irreducible holomorphic symplectic manifold of dimension 2n of Kummer type and let $h \in \Lambda_n$ be a primitive element such that (h, h) = 2d > 0 (notice that Λ_n is even). Denote by \bar{h} the $O(\Lambda_n)$ -orbit of h. Recall from Section 2 that $\mathcal{V}_{\bar{h}}^t = \mathfrak{M}_{\bar{h}}/O(\Lambda_n)$ is (isomorphic to) one connected component of the moduli space of polarised irreducible holomorphic symplectic manifolds (X, H) of dimension 2n and such that $q_X(c_1(H)) = 2d$. If δ is a positive divisor of 2n + 2, then $\mathcal{V}_{n,d,\delta} \cong \coprod \mathcal{V}_{\bar{h}}^t$ is (isomorphic to) the moduli space of polarised manifolds with polarisation of degree 2d and divisibility δ . Here the sum runs over all the $O(\Lambda_n)$ -orbits of vectors of degree 2d and divisibility δ , and over all the connected components of the moduli space $\mathcal{V}_{\bar{h}}$ (see Section 2). We want to compute the cardinality of the set $\Upsilon_{n,d,\delta}$ of connected components of $\mathcal{V}_{n,d,\delta}$.

Let $(X, H) \in \mathfrak{M}_{\overline{h}} / \mathcal{O}(\Lambda_n)$ be a polarised pair and pick a representative $i \in [i_X]$. The orthogonal complement $i(H^2(X, \mathbb{Z}))^{\perp} \subset \widetilde{\Lambda}$ is a positive rank 1 sublattice. If

 $T_{(X,H)}$ is the saturation of the lattice generated by $i(H^2(X,\mathbb{Z}))^{\perp}$ and $i(c_1(H))$, then $T_{(X,H)}$ is a positive and primitive rank 2 sublattice of $\tilde{\Lambda}$.

Notice that if i' is another representative of $[i_X]$, then there exists an isometry $\tilde{g} \in O(\tilde{\Lambda})$ which restricts to an isometry $g \in O(T_{(X,h)})$. Moreover, by construction $g(i(c_1(H))) = i'(c_1(H))$.

This suggests the definition of the following set

$$\Sigma_n = \left\{ (T,h) \mid \begin{array}{c} T \text{ positive rank 2 lattice and } h \in T \\ \text{ primitive s.t. } h^{\perp} = \langle 2n+2 \rangle \end{array} \right\} / \sim$$

where $(T,h) \sim (T',h')$ if there exists an isometry $g: T \to T'$ such that g(h) = h'. We denote by [T,h] the equivalence classes.

Remark 5.1. By [16, Theorem 1.1.2], T can be primitively embedded in $\widetilde{\Lambda}$ in a unique way (up to an isometry of $\widetilde{\Lambda}$).

Now let I(X) be the set of positive and primitive classes in $H^2(X, \mathbb{Z})$. There is a well-defined map

$$f_X \colon I(X) \longrightarrow \Sigma_n$$

defined by sending $h \in I(X)$ to $[T_{(X,h)}, i(h)]$ for any $i \in [i_X]$. In the following, we drop the dependence on $[i_X]$ from the notation and we simply write [T(X,h),h].

Proposition 5.2. Given two polarised pairs (X_1, H_1) and (X_2, H_2) of manifolds of Kummer type, a polarised parallel transport operator between them exists if and only if $f_{X_1}(c_1(H_1)) = f_{X_2}(c_1(H_2))$.

The following proof is a translation to our case of the proof of [1, Proposition 1.6]. For sake of notation, we put $h_i = c_1(H_1)$.

Proof. Suppose that $P: H^2(X_1, \mathbb{Z}) \to H^2(X_2, \mathbb{Z})$ is a polarised parallel transport operator. By [9, Proposition 7.4] and Corollary 3.7, we immediately get an isometry $\tilde{g} \in O(\widetilde{\Lambda})$ which restricts to an isometry $g: T_{(X_1,h_1)} \to T_{(X_2,h_2)}$ such that $g(h_1) = h_2$.

Vice versa, suppose that such an isometry g exists. In particular $T_{(X_1,h_1)}$ has two primitive embeddings inside $\tilde{\Lambda}$, the second one given by composing the natural embedding $T_{(X_2,h_2)} \subset \tilde{\Lambda}$ with g. By Remark 5.1, there exists a unique (up to isometry) such primitive embedding and hence there exists an isometry $\tilde{g} \in O(\tilde{\Lambda})$ such that the diagram



commutes.

Since $\tilde{g}(i_1(H^2(X_1,\mathbb{Z}))) = i_2(H^2(X_2,\mathbb{Z}))$, it follows that \tilde{g} restricts to an isometry P from $H^2(X_1,h_1)$ to $H^2(X_2,h_2)$. Here $i_1 \in [i_{X_1}]$ and $i_2 \in [i_{X_2}]$, and we have a commutative diagram



In particular $[i_{X_2}] \circ P = [i_{X_1}]$ and $P(h_1) = h_2$. By Corollary 3.7, we get a polarised parallel transport operator from P as long as P is orientation preserving.

Let us then suppose that P is not orientation preserving. Let us pick an element $u \in H^2(X_2, \mathbb{Z})$ such that (u, u) = 2 and $(u, h_2) = 0$, and let us consider the reflection ρ_u . Since ρ_u is orientation preserving by definition and $\rho_u(h_2) = -h_2$, then $P' = -\rho_u \circ P$ is an orientation preserving isometry such that $[i_{X_2}] \circ P' = [i_{X_2}]$ and $P'(h_2) = h_2$, and we can apply Corollary 3.7 to produce a polarised parallel transport operator.

Remark 5.3. f_X is a *faithful monodromy invariant*, as defined in [10, Section 5.3].

For the next result, we define $\mathcal{V}_n := \coprod \mathcal{V}_{\bar{h}}$, where the disjoint union runs over all the O(Λ)-orbits (in particular, we are not even fixing the degree of the polarisation). The set of the connected components of \mathcal{V}_n is denoted by $\Upsilon_n = \coprod \Upsilon_{\bar{h}}$. Furthermore, define $\Sigma_{n,d,\delta} \subset \Sigma_n$ as the subset consisting of pairs [T,h]such that (h,h) = 2d and div $(h) = \delta$; notice that $\Sigma_n = \coprod \Sigma_{n,d,\delta}$.

The existence of a polarised parallel transport operator between (X_1, H_1) and (X_2, H_2) is equivalent to saying that (X_1, H_1) and (X_2, H_2) belong to the same connected component.

Proposition 5.4. There exists a well-defined injective map

$$f: \Upsilon_n \longrightarrow \Sigma_n \tag{5.1}$$

defined by sending a connected component $\mathcal{V}_{\bar{h}}^t$ to $f_X(c_1(H))$, for any $(X, H) \in \mathcal{V}_{\bar{h}}^t$. Moreover, $f(\Upsilon_{n,d,\delta}) = \Sigma_{n,d,\delta}$.

Proof. The proof is the same as the proof of [1, Theorem 1.7, Proposition 2.3], up to use Proposition 5.2 above istead of [1, Proposition 1.6]. \Box

In the rest of this section we want to compute the cardinality of $\Sigma_{n,d,\delta}$. The first remark is that a pair $[T,h] \in \Sigma_n$ is completely determined by the primitive embedding $j: \langle h \rangle \to T$ such that $j(h)^{\perp} = \langle 2n+2 \rangle$. Therefore we want to count the number of such primitive embeddings. Let us note that, by [16, Theorem 1.1.2], without loss of generality we can think of both $\langle h \rangle$ and T as sublattices of $\widetilde{\Lambda}$.

The main result of this section is the following. First of all, we make the following definitions (which will be useful during the proof of the theorem below):

$$d_{1} = \frac{2d}{\gcd(2d, 2n+2)}, \quad n_{1} = \frac{2n+2}{\gcd(2d, 2n+2)}, \quad g = \frac{\gcd(2d, 2n+2)}{\delta}$$

$$w = \gcd(g, \delta), \qquad g_{1} = \frac{g}{w}, \qquad \delta_{1} = \frac{\delta}{w}.$$
(5.2)

Furthermore, the following notation is used in the statement of the main theorem: for an integer l we write $\phi(l)$ for the Euler function and $\rho(l)$ for the number of distinct primes in the factorisation of l; for w and δ_1 as defined above, we write $w = w_+(\delta_1)w_-(\delta_1)$, where $w_+(\delta_1)$ is the product of all powers of the primes that appear in the factorisation of w and that divide $gcd(w, \delta_1)$ (that is, $w_-(\delta_1)$ is the part coprime to δ_1). More precisely, if p^k is a factor of w and p divides $gcd(w, \delta_1)$, then p^k is a factor of $w_+(\delta_1)$.

Theorem 5.5. With the notations as above, we have:

- 1. $|\Upsilon_{n,d,\delta}| = w_+(\delta_1)\phi(w_-(\delta_1))2^{\rho(\delta_1)-1}$ if $\delta > 2$ and one of the following holds:
 - (a) g_1 is even, $gcd(d_1, \delta_1) = 1 = gcd(n_1, \delta_1)$ and $-d_1/n_1$ is a quadratic residue mod δ_1 ;
 - (b) g_1, δ_1 and d_1 are odd, $gcd(d_1, \delta_1) = 1 = gcd(n_1, 2\delta_1)$ and $-d_1/n_1$ is a quadratic residue mod $2\delta_1$;
 - (c) g_1, δ_1 and w are odd, d_1 is even, $gcd(d_1, \delta_1) = 1 = gcd(n_1, 2\delta_1)$ and $-d_1/4n_1$ is a quadratic residue mod δ_1 .
- 2. $|\Upsilon_{n,d,\delta}| = w_+(\delta_1)\phi(w_-(\delta_1))2^{\rho(\delta_1/2)-1}$ if $\delta > 2$, g_1 is odd, , $gcd(d_1, \delta_1) = 1 = gcd(n_1, 2\delta_1)$, δ_1 is even and $-d_1/n_1$ is a quadratic residue mod $2\delta_1$.
- 3. $|\Upsilon_{n,d,\delta}| = 1$ if $\delta \leq 2$ and one of the following holds:
 - (a) g_1 is even, $gcd(d_1, \delta_1) = 1 = gcd(n_1, \delta_1)$ and $-d_1/n_1$ is a quadratic residue mod δ_1 ;
 - (b) g_1, δ_1 and d_1 are odd, $gcd(d_1, \delta_1) = 1 = gcd(n_1, 2\delta_1)$ and $-d_1/n_1$ is a quadratic residue mod $2\delta_1$;
 - (c) g_1, δ_1 and w are odd, d_1 is even, $gcd(d_1, \delta_1) = 1 = gcd(n_1, 2\delta_1)$ and $-d_1/4n_1$ is a quadratic residue mod δ_1 ;
 - (d) g_1 is odd, δ_1 is even, $gcd(d_1, \delta_1) = 1 = gcd(n_1, 2\delta_1)$ and $-d_1/n_1$ is a quadratic residue mod $2\delta_1$.
- 4. $|\Upsilon_{n,d,\delta}| = 0$ otherwise.

Proof. Using the bijection (5.1) and the discussion above, $|\Upsilon_{n,d,\delta}| = |\Sigma_{n,d,\delta}|$ and the latter is the number of primitive embeddings $j: \langle 2d \rangle \to T$ such that $j(\langle 2d \rangle)^{\perp} = \langle 2n+2 \rangle$.

By [16, Proposition 1.5.1], an embedding $j: \langle 2d \rangle \to T$ is determined by the pair (H, γ) , where $H \subset A_{2d}$ is a subgroup, $\gamma: H \to A_{2n+2}$ is an injective homomorphism and the pushout $\Gamma_{\gamma} = H \subset A_{2d} \oplus A_{2n+2}$ is isotropic. Since we have also fixed div $(h) = \delta$, it follows that H must be of order δ (see [1, Proposition 2.2]).

Remark 5.6. Recall that two pairs (H, γ) and (H', γ') determine the same primitive embedding j if H = H' and there exist an isometry $\varphi \in O(\langle 2d \rangle) \cong \mathbb{Z}/2\mathbb{Z}$ and an isometry $\psi \in O(\langle 2n + 2 \rangle) \cong \mathbb{Z}/2\mathbb{Z}$ such that $\gamma \circ \overline{\varphi} = \overline{\psi} \circ \gamma'$ ([16, Section 5]).

Identifying A_{2d} with $\mathbb{Z}/2d\mathbb{Z}$ and picking generators h of $\langle 2d \rangle$ and v of $\langle 2n+2 \rangle$, we can write $H = \langle h/\delta \rangle$. Then γ is uniquely determined by the image $\gamma(h/\delta) = cv/\delta$, where c is coprime with δ . The isotropy condition is

$$\frac{2d}{\delta^2} + \frac{c^2(2n+2)}{\delta^2} \equiv 0 \pmod{2}.$$
 (5.3)

Substituting (5.2) in equation (5.3), we eventually get

$$\delta_1\left(\frac{2d}{\delta^2} + \frac{c^2(2n+2)}{\delta^2}\right) = g_1(d_1 + c^2n_1) \equiv 0 \pmod{2\delta_1}.$$
 (5.4)

The problem is now reduced to determine all the solutions c of equation (5.4) such that $gcd(c, \delta) = 1$. This problem has already been solved by Gritsenko, Hulek and Sankaran in the proof of [4, Proposition 3.6]. Since we are interested in isometric embeddings, we have to understand which of these solutions are invariant under the isometries in Remark 5.6. Both $O(\langle 2d \rangle)$ and $O(\langle 2n + 2 \rangle)$ act on H by changing the sign of the first, respectively the second, coordinate. Moreover, notice that H has a central symmetry, i.e. $(x, y) \in H$ if and only if $(-x, -y) \in H$. We can then distinguish two cases:

- $\delta \leq 2$: then any subgroup *H* is fixed by this action and the number of solutions *c* corresponds to the number of primitive embeddings;
- $\delta > 2$: then there are no fixed subgroups H and we must divide the number of solutions c by 2.

This concludes the proof.

Remark 5.7. When w = 1, the values of d and δ determine the orbit of h, i.e. $\mathcal{V}_{n,d,\delta} = \mathcal{V}_{\bar{h}}$ (cf. [4, Corollary 3.7]).

We conclude this section by giving a few examples.

Example 3. If $\delta = 1$, then the orbit of h is determined and moreover the corresponding moduli space is connected.

Example 4. Let p and q be two (different) odd primes and put $\delta = d = pq$ and n + 1 = mpq, where gcd(m, pq) = 1 and -m is a quadratic residue mod pq. Then the moduli space $\mathcal{V}_{n,d,\delta}$ has two connected components.

Example 5. If gcd(2d, 2n + 2) is square free, then w = 1 (cf. [4, Remark 3.13]). This is the case, for example, when 2n + 2 is square free.

Example 6. Let X be a fourfold of Kummer type. Then 2n + 2 = 6 is square free, so that w = 1 and the values of the degree and the divisibility determine the $O(\Lambda_2)$ -orbit of the polarisation (see Example 5 and Remark 5.7). We claim that the moduli space $\mathcal{V}_{2,d,\delta} = \mathcal{V}_{\bar{h}}$ is always connected.

In fact suppose that $h = c_1(H)$ is the class of a polarisation on X with $q_X(h) = 2d$ and $\operatorname{div}(h) = \delta$.

- 1. If $\delta = 1$, then this is Example 3.
- 2. If $\delta = 2$, then 2d = 8k 6 for some integer k. We have two cases: either k is a multiple of 3, or k is coprime to 3. In the first case $d_1 = 4k' 1$, $n_1 = 1$, $g_1 = 3$ and $-d_1/n_1 = 1 4k' \equiv 1 \mod 4$. In the second case $d_1 = 4k 3$, $n_1 = 3$, $g_1 = 1$ and $-d_1/n_1 = 9 12k \equiv 1 \mod 4$. In both cases we have just one connected component by Theorem 5.5.(3.(d)).
- 3. If $\delta = 3$, then $2d = 18k 6t^2$ for some integer t coprime to 3. Then $d_1 = 3k t^2$, $n_1 = 1$, $g_1 = 2$ and $-d_1/n_1 \equiv t^2 \mod 3$ is a quadratic residue. Then the claim follows from Theorem 5.5.(1.(a)).
- 4. If $\delta = 6$, then $2d = 72k 6t^2$ for some integer t coprime to 6. Then $d_1 = 12k t^2$, $n_1 = 1$, $g_1 = 1$ and $-d_1/n_1 \equiv t^2 \mod 12$ is a quadratic residue. The claim follows from Theorem 5.5.(2).

Example 7. Let X be a manifold of Kummer type of dimension 6, and suppose that h is the class of a polarisation such that $\operatorname{div}(h) = 4$. The degree $q_X(h) = 2d$ must be of the form $2d = 32k - 8t^2$, with t coprime to 4 and one can check that g = w = 2. Therefore we are in the situation of Theorem 5.5.(2) and the number of connected components of $\mathcal{V}_{3,d,4}$ is 2.

To conclude, let us remark that the number of connected components can get arbitrarily large as the dimension and the degree of the polarisation increase.

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